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Higher differential objects in additive categories



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ABSTRACT

Given an additive category \mathcal{C} and an integer $n \ge 2$. We form a new additive category $\mathcal{C}[\epsilon]^n$ consisting of objects X in \mathcal{C} equipped with an endomorphism ϵ_X satisfying $\epsilon_X^n = 0$. First, using the descriptions of projective and injective objects in $\mathcal{C}[\epsilon]^n$, we not only establish a connection between Gorenstein flat modules over a ring R and $R[t]/(t^n)$, but also prove that an Artinian algebra R satisfies some homological conjectures if and only if so does $R[t]/(t^n)$. Then we show that the corresponding homotopy category $K(\mathcal{C}[\epsilon]^n)$ is a triangulated category when C is an idempotent complete exact category. Moreover, under some conditions for an abelian category \mathcal{A} , the natural quotient functor Q from $K(\mathcal{A}[\epsilon]^n)$ to the derived category $D(\mathcal{A}[\epsilon]^n)$ produces a recollement of triangulated categories. Finally, we prove that if \mathcal{A} is an Ab4-category with a compact projective generator, then $D(\mathcal{A}[\epsilon]^n)$ is a compactly generated triangulated category.

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1. Introduction

Let R be an arbitrary associative ring with unit. A differential R-module is an R-module M equipped with a square-zero endomorphism ϵ , called the differentiation of M. To be precise, differential modules are exactly modules over the ring of dual numbers over R, that is, the ring $R[\epsilon] := R[t]/(t^2)$ (the factor ring of the polynomial ring R[t] in one variable t modulo the ideal generated by t^2). Differential modules introduced in the monograph of Cartan and Eilenberg [15] are ubiquitous in homological algebra, and were employed as a means to provide a convenient framework for a unified treatment of some problems from ring theory and topology in work by Avramov, Buchweitz and Iyengar [7]. There are a lot of recent work on differential modules, see [35,37–39,41,43] and so on. In particular, Xu, Yang and Yao [43] introduced n-th differential modules with $n \ge 2$ such that 2-nd differential modules are exactly classical differential modules, and they proved that an n-differential module is Gorenstein projective (resp. injective) if and only if its underlying module is Gorenstein projective (resp. injective). It generalized a result about differential modules by Wei [41].

Given an additive category \mathcal{C} . Stai [37] introduced a new additive category $\mathcal{C}[\epsilon]$ in the following way: An object in $\mathcal{C}[\epsilon]$ is a pair (A, ϵ_A) such that $A \in \mathcal{C}$ and $\epsilon_A \in \operatorname{End}_{\mathcal{C}}(A)$ has the property $\epsilon_A{}^2 = 0$; and a morphism $f \in \operatorname{Hom}_{\mathcal{C}[\epsilon]}(A, B)$ is what one might expect, namely a morphism $f \in \operatorname{Hom}_{\mathcal{C}}(A, B)$ satisfying $\epsilon_B f = f \epsilon_A$. It is easily seen that objects in $\mathcal{C}[\epsilon]$ generalize differential modules. Inspired by the above facts, as a higher analogue of $\mathcal{C}[\epsilon]$, we will introduce and study an additive category $\mathcal{C}[\epsilon]^n$ with $n \ge 2$, such that objects in $\mathcal{C}[\epsilon]^n$ are a common generalization of *n*-th differential modules and objects in $\mathcal{C}[\epsilon]$. The paper is organized as follows.

In Section 2, we give some terminology and notations.

Let \mathcal{C} be an additive category, and let $F : \mathcal{C}[\epsilon]^n \to \mathcal{C}$ be the forgetful functor and $T: \mathcal{C} \to \mathcal{C}[\epsilon]^n$ the augmenting functor. In Section 3, we first prove that both pairs (F, T) and (T, F) are adjoint pairs (Proposition 3.1). Let $(\mathcal{C}, \mathscr{E})$ be an idempotent complete exact category, and let \mathscr{E}_F be the class of pairs of composable morphisms in $\mathcal{C}[\epsilon]^n$ that become short exact sequences in \mathcal{C} via the forgetful functor F. Then, with the aid of Proposition 3.1, we have that Y is projective (resp. injective) in $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ if and only if $Y \cong T(X)$ for some projective (resp. injective) object X of \mathcal{C} (Proposition 3.6). These two results are higher analogue of [37, Propositions 2.1 and 2.7] respectively. In the latter part of this section, we give two applications of Proposition 3.6. One of them states that for a ring R, a left $R[t]/(t^n)$ -module M is Gorenstein flat if and only if it is Gorenstein flat as a left R-module (Theorem 3.10). The other states that an Artinian algebra R satisfies any of the finitistic dimension conjecture, strong Nakayama conjecture, generalized Nakayama conjecture, Auslander-Gorenstein conjecture, Nakayama conjecture and Gorenstein symmetry conjecture if and only if $R[t]/(t^n)$ satisfies the same conjecture (Theorem 3.13).

Let \mathcal{C} be an exact category with trivial exact structure \mathscr{E}^t , and let \mathscr{E}^t_F be the induced exact structure via the forgetful functor F in $\mathcal{C}[\epsilon]^n$. In Section 4, we prove that if $(\mathcal{C}, \mathscr{E}^t)$

is an idempotent complete exact category, then $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F^t)$ is a Frobenius category and its stable category $\underline{\mathcal{C}}[\epsilon]^n$ is a triangulated category (Proposition 4.2), which coincides with the homotopy category $K(\mathcal{C}[\epsilon]^n)$ (Theorem 4.7).

In Section 5 we introduce the derived category $D(\mathcal{A}[\epsilon]^n)$ of *n*-th differential objects for an abelian category \mathcal{A} . With mild assumptions on \mathcal{A} , we show that both $(K^p(\mathcal{A}[\epsilon]^n), K^a(\mathcal{A}[\epsilon]^n))$ and $(K^a(\mathcal{A}[\epsilon]^n), K^i(\mathcal{A}[\epsilon]^n)$ are stable *t*-structures, where $K^p(\mathcal{A}[\epsilon]^n), K^i(\mathcal{A}[\epsilon]^n)$ and $K^a(\mathcal{A}[\epsilon]^n)$ are the categories of *K*-projective, *K*-injective and acyclic objects respectively; moreover, these allow us to derive from the quotient functor $Q : K(\mathcal{A}[\epsilon]^n) \to D(\mathcal{A}[\epsilon]^n)$ a recollement of triangulated categories (Theorem 5.11). In particular, if \mathcal{A} is an Ab4-category with a compact projective generator, then $D(\mathcal{A}[\epsilon]^n)$ is a compactly generated triangulated category (Theorem 5.17).

2. Preliminaries

Let \mathcal{C} be an additive category and $n \ge 2$ a fixed positive integer. An *n*-th differential object of \mathcal{C} is a pair (X, ϵ_X) , where $X \in \text{ob} \mathcal{C}$ and $\epsilon_X \in \text{End}_{\mathcal{C}}(X)$ satisfying $\epsilon_X{}^n = 0$. Differential objects [37] are exactly 2-nd differential objects. We define the category $\mathcal{C}[\epsilon]^n$ as follows: The objects of $\mathcal{C}[\epsilon]^n$ are *n*-th differential objects, and the set of morphisms from (X, ϵ_X) to (Y, ϵ_Y) consists of morphisms $f : X \to Y$ of \mathcal{C} satisfying the equality $f\epsilon_X = \epsilon_Y f$. The morphisms in $\mathcal{C}[\epsilon]^n$ are composed in the same way as the morphisms in \mathcal{C} . It is easy to see that $\mathcal{C}[\epsilon]^n$ is also an additive category.

Let R be a ring, and let Mod R be the category of left R-modules and mod R the category of finitely generated left R-modules. Then we have $(\text{Mod } R)[\epsilon]^n \cong \text{Mod}(R[t]/(t^n))$. Indeed, to an object $(X, \epsilon_X) \in (\text{Mod } R)[\epsilon]^n$, associate the left $R[t]/(t^n)$ -module X with

$$(r_0 + r_1 t + \dots + r_{n-1} t^{n-1})x := r_0 x + r_1 \epsilon_X(x) + \dots + r_{n-1} \epsilon_X^{n-1}(x)$$

Conversely, given a left $R[t]/(t^n)$ -module X, we associate it with an n-th differential object (X, ϵ_X) in $(\text{Mod } R)[\epsilon]^n$ where $\epsilon_X(x) := tx$.

The following definition is cited from [14], see also [29] and [34].

Definition 2.1. Let C be an additive category. A *kernel-cokernel pair* (i, p) in C is a pair of composable morphisms $A \xrightarrow{i} B \xrightarrow{p} C$ such that i is a kernel of p and p is a cokernel of i. We shall call i an *admissible monic* and p an *admissible epic*.

An exact category $(\mathcal{C}, \mathscr{E})$ is an additive category \mathcal{C} with a class \mathscr{E} of kernel-cokernel pairs which is closed under isomorphisms and satisfies the following axioms:

- [E0] For all objects $C \in \mathcal{C}$, the identity morphism 1_C is an admissible monic.
- $[E0^{op}]$ For all objects $C \in \mathcal{C}$, the identity morphism 1_C is an admissible epic.
- [E1] The class of admissible monics is closed under compositions.
- [E1^{op}] The class of admissible epics is closed under compositions.

- [E2] The push-out of an admissible monic along an arbitrary morphism exists and yields an admissible monic.
- [E2^{op}] The pull-back of an admissible epic along an arbitrary morphism exists and yields an admissible epic.

Elements of $\mathscr E$ are called *short exact sequences*.

Let $(\mathcal{C}, \mathscr{E})$ and $(\mathcal{C}', \mathscr{E}')$ be exact categories. An (additive) functor $F : \mathcal{C} \to \mathcal{C}'$ is called exact if $F(\mathscr{E}) \subseteq \mathscr{E}'$. Let Ab be the category of abelian groups with the canonical exact structure. An object P of an exact category \mathcal{C} is called *projective* if the represented functor $\operatorname{Hom}_{\mathcal{C}}(P, -) : \mathcal{C} \to \operatorname{Ab}$ is exact. Dually an object I of an exact category \mathcal{C} is called *injective* if the corepresented functor $\operatorname{Hom}_{\mathcal{C}}(-,I) : \mathcal{C} \to \operatorname{Ab}$ is exact. An exact category $(\mathcal{C}, \mathscr{E})$ has enough projectives if for any $X \in \operatorname{ob} \mathcal{C}$ there exists an admissible epic $P \to X$ in \mathcal{C} with P projective. Dually, $(\mathcal{C}, \mathscr{E})$ has enough injectives if for any $X \in \operatorname{ob} \mathcal{C}$ there exists an admissible monic $X \to I$ in \mathcal{C} with I injective. An exact category is *Frobenius* if it has enough projectives and injectives and moreover the projectives coincide with the injectives. For a Frobenius category \mathcal{C} , the corresponding stable category $\underline{\mathcal{C}}$ is the category whose objects are the objects of \mathcal{C} and morphisms are given by, for any $A, B \in \operatorname{ob} \mathcal{C}$, $\operatorname{Hom}_{\underline{\mathcal{C}}}(A, B) = \operatorname{Hom}_{\mathcal{C}}(A, B)/P(A, B)$, where P(A, B) is the subgroup of morphisms $A \to B$ that factor through a projective object of \mathcal{C} (see [22]). For basic notions and terminology on triangulated or derived categories we refer to [22] and [42].

3. From \mathcal{C} to $\mathcal{C}[\epsilon]^n$

Let \mathcal{C} be an additive category and $n \ge 2$. We introduce two functors between \mathcal{C} and $\mathcal{C}[\epsilon]^n$, which will be used for a complete description of the projective and injective objects of $\mathcal{C}[\epsilon]^n$.

- (1) The forgetful functor $F : \mathcal{C}[\epsilon]^n \to \mathcal{C}$ is defined on the objects (X, ϵ_X) of $\mathcal{C}[\epsilon]^n$ by $F(X, \epsilon_X) = X$ and on the morphisms f in $\mathcal{C}[\epsilon]^n$ by F(f) = f.
- (2) We define the augmenting functor $T : \mathcal{C} \to \mathcal{C}[\epsilon]^n$, which takes an object X of \mathcal{C} to the object $T(X) = (X^{\oplus n}, \epsilon_{X^{\oplus n}})$ of $\mathcal{C}[\epsilon]^n$ with $X^{\oplus n} = \underbrace{X \oplus X \oplus \cdots \oplus X}_{n}$ and

$$\epsilon_{X^{\oplus n}} := \begin{pmatrix} 0 & 0 & 0 & \cdots & 0 \\ 1 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix}_{n \times n}$$

and takes a morphism f in \mathcal{C} to the morphism

$$\begin{pmatrix} f & 0 & \cdots & 0 \\ 0 & f & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & f \end{pmatrix}_{n \times n}$$

in $\mathcal{C}[\epsilon]^n$.

3.1. Two-sided adjoints and the consistency of properties

In this subsection, we generalize the results about differential objects in [37, Chapter 2] to the case for higher differential objects.

Proposition 3.1. Both pairs (F,T) and (T,F) are adjoint pairs.

Proof. Fix $(X, \epsilon_X) \in \operatorname{ob} \mathcal{C}[\epsilon]^n$ and $Y \in \operatorname{ob} \mathcal{C}$.

Firstly we show that (F, T) is an adjoint pair. To this end, we need to find an isomorphism ϕ : Hom_{$\mathcal{C}(FX, Y)$} \to Hom_{$\mathcal{C}[\epsilon]^n(X, TY)$} which is natural in both X and Y. Given $f \in \operatorname{Hom}_{\mathcal{C}}(FX, Y)$, we define

$$\phi(f) = \begin{pmatrix} f\epsilon_X^{n-1} \\ f\epsilon_X^{n-2} \\ \vdots \\ f \end{pmatrix}.$$

It is easy to verify that ϕ is well defined. Moreover, let ϕ^{-1} : Hom_{$\mathcal{C}[\epsilon]^n}(X, TY) \to$ Hom_{$\mathcal{C}(FX, Y)$} be given by</sub>

$$\phi^{-1}(g) = g_n \text{ for } g = \begin{pmatrix} g_1 \\ g_2 \\ \vdots \\ g_n \end{pmatrix} \in \operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(X, TY).$$

It is obvious that $\phi^{-1}\phi = 1$. On the other hand, since $g \in \text{Hom}_{\mathcal{C}[\epsilon]^n}(X, TY)$, the equality $\epsilon_{Y^{\oplus n}}g = g\epsilon_X$ implies $g_1\epsilon_X = 0$ and $g_i\epsilon_X = g_{i-1}$ for any $2 \leq i \leq n$. Thus we have

$$g_n \epsilon_X^{\ i} = g_{n-1} \epsilon_X^{\ i-1} = \dots = g_{n-i+1} \epsilon_X = g_{n-i}$$

for any $1 \leq i \leq n-1$, which implies $\phi \phi^{-1} = 1$. Now we will check the naturality of ϕ , let $\alpha : (X, \epsilon_X) \to (X', \epsilon_{X'})$ be a morphism in $\mathcal{C}[\epsilon]^n$. Then $\epsilon_{X'} \alpha = \alpha \epsilon_X$. For any morphism $f \in \operatorname{Hom}_{\mathcal{C}}(FX', Y)$, we have

$$\operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(\alpha, TY)\phi(f) = \operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(\alpha, TY)\begin{pmatrix} f\epsilon_{X'}^{n-1} \\ f\epsilon_{X'}^{n-2} \\ \vdots \\ f \end{pmatrix})$$
$$= \begin{pmatrix} f\epsilon_{X'}^{n-1}\alpha \\ f\epsilon_{X'}^{n-2}\alpha \\ \vdots \\ f\alpha \end{pmatrix} = \begin{pmatrix} f\alpha\epsilon_{X}^{n-1} \\ f\alpha\epsilon_{X}^{n-2} \\ \vdots \\ f\alpha \end{pmatrix} = \phi \operatorname{Hom}_{\mathcal{C}}(F\alpha, Y)(f).$$

On the other hand, let $\beta : Y \to Y'$ be a morphism in \mathcal{C} . For any morphism $f \in \operatorname{Hom}_{\mathcal{C}}(FX, Y)$, we have

$$\operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(X, T\beta)\phi(f) = \operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(X, T\beta)\left(\begin{pmatrix}f\epsilon_{X}^{n-1}\\f\epsilon_{X}^{n-2}\\\vdots\\f\end{pmatrix}\right)$$
$$= \begin{pmatrix}\beta f\epsilon_{X}^{n-1}\\\beta f\epsilon_{X}^{n-2}\\\vdots\\\beta f\end{pmatrix} = \phi \operatorname{Hom}_{\mathcal{C}}(FX, \beta)(f).$$

The arguments above induce the following commutative diagram

$$\operatorname{Hom}_{\mathcal{C}}(FX',Y) \xrightarrow{\operatorname{Hom}_{\mathcal{C}}(F\alpha,Y)} \operatorname{Hom}_{\mathcal{C}}(FX,Y) \xrightarrow{\operatorname{Hom}_{\mathcal{C}}(FX,\beta)} \operatorname{Hom}_{\mathcal{C}}(FX,Y') \xrightarrow{\varphi} \operatorname{Hom}_{\mathcal{C}}(FX,Y') \xrightarrow{\varphi} \operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(X,TY) \xrightarrow{\operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(X,TY)} \operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(X,TY) \xrightarrow{\operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(X,TY')} \operatorname{Hom}_{\mathcal{C}[\epsilon]^{n}}(X,TY').$$

For any $f = (f_1, f_2, \dots, f_n) \in \operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(TY, X)$, let $\psi : \operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(TY, X) \to \operatorname{Hom}_{\mathcal{C}}(Y, FX)$ be given by $\psi(f) := f_1 \in \operatorname{Hom}_{\mathcal{C}}(Y, FX)$. Similarly, we have that ψ is an isomorphism which is natural in X and Y. So (T, F) is also an adjoint pair. \Box

These two functors F and T defined above are useful in transferring an exact structure in the initial category \mathcal{C} to $\mathcal{C}[\epsilon]^n$. Let $(\mathcal{C}, \mathscr{E})$ be an exact category, and let \mathscr{E}_F be the class of pairs of composable morphisms in $\mathcal{C}[\epsilon]^n$ that become short exact sequences in \mathcal{C} via the forgetful functor F.

Lemma 3.2. Let $(\mathcal{C}, \mathscr{E})$ be an exact category. Then the following statements hold.

(1) $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ is an exact category.

- (2) $F: \mathcal{C}[\epsilon]^n \to \mathcal{C}$ is exact.
- (3) $T: \mathcal{C} \to \mathcal{C}[\epsilon]^n$ is exact.

Proof. (1) Let us first show that \mathscr{E}_F is a class of kernel-cokernel pairs. Suppose that $A \xrightarrow{i} B \xrightarrow{p} C$ is a pair of morphisms in $\mathcal{C}[\epsilon]^n$ such that $(i, p) \in \mathscr{E}_F$. Then pi = 0 in $\mathcal{C}[\epsilon]^n$. Let $i' : A' \to B$ be a morphism in $\mathcal{C}[\epsilon]^n$ such that pi' = 0. Since i is the kernel of p in \mathcal{C} , there exists a unique morphism $\phi \in \operatorname{Hom}_{\mathcal{C}}(A', A)$ such that $i\phi = i'$. Thus

$$i\epsilon_A\phi = \epsilon_B i\phi = \epsilon_B i' = i'\epsilon_{A'} = i\phi\epsilon_{A'}.$$

As *i* is the kernel of *p* in \mathcal{C} , *i* is left cancelable. So $\epsilon_A \phi = \phi \epsilon_{A'}$. It means that ϕ is a morphism in $\mathcal{C}[\epsilon]^n$. Consequently, *i* is the kernel of *p* in $\mathcal{C}[\epsilon]^n$. By a dual argument, we have that *p* is the cokernel of *i* in $\mathcal{C}[\epsilon]^n$. Furthermore, it is easy to observe that \mathscr{E}_F is closed under isomorphisms. Now we turn to show that $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ satisfies all the axioms of Definition 2.1.

It is easy to verify directly that [E0] and [E0^{op}] hold.

[E1] Let $i_1 : A \to M$ and $i_2 : M \to B$ be admissible monics in $\mathcal{C}[\epsilon]^n$. Then they are also admissible monics in \mathcal{C} . Set $i := i_2 i_1$. Since \mathcal{C} is an exact category, we have a short exact sequence

$$A \xrightarrow{i} B \xrightarrow{p} C$$

in \mathcal{C} . Since $p\epsilon_B i = pi\epsilon_A = 0$ and p is a cohernel of i in \mathcal{C} , there exists a morphism $\epsilon_C : C \to C$ such that $\epsilon_C p = p\epsilon_B$. Thus

$$\epsilon_C{}^n p = \epsilon_C{}^{n-1} p \epsilon_B = \dots = p \epsilon_B{}^n = 0.$$

The fact that p is right cancelable implies $\epsilon_C{}^n = 0$. So (C, ϵ_C) is an *n*-th differential object and i is an admissible monic in $\mathcal{C}[\epsilon]^n$.

Dually, we get $[E1^{op}]$.

[E2] Given any $f \in \operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(A, A')$ and an admissible monic $i \in \operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(A, B)$. There exists a push-out diagram

$$\begin{array}{cccc}
A & \stackrel{i}{\longrightarrow} & B \\
& & \downarrow_{f} & & \downarrow_{f'} \\
A' & \stackrel{i'}{\longrightarrow} & B'
\end{array}$$
(3.1)

in \mathcal{C} such that i' is an admissible monic. Since

$$i'\epsilon_{A'}f = i'f\epsilon_A = f'i\epsilon_A = f'\epsilon_B i,$$

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by the universal property of push-outs in \mathcal{C} there exists a unique morphism $\epsilon_{B'}: B' \to B'$ in \mathcal{C} such that $\epsilon_{B'}i' = i'\epsilon_{A'}$ and $f'\epsilon_B = \epsilon_{B'}f'$. Note that

$$\epsilon_{B'}{}^{n}i' = \epsilon_{B'}{}^{n-1}i'\epsilon_{A'} = \dots = i'\epsilon_{A'}{}^{n} = 0 \text{ and}$$
$$\epsilon_{B'}{}^{n}f' = \epsilon_{B'}{}^{n-1}f'\epsilon_{B} = \dots = i'\epsilon_{B}{}^{n} = 0.$$

By the universal property of push-outs in \mathcal{C} again, $\epsilon_{B'}{}^n = 0$ and thus the diagram (3.1) is a commutative diagram in $\mathcal{C}[\epsilon]^n$. Next, we shall prove that the diagram (3.1) enjoys the appropriate universal property also in $\mathcal{C}[\epsilon]^n$. Given $(X, \epsilon_X) \in \text{ob } \mathcal{C}[\epsilon]^n$ and two morphisms $u: A' \to X, v: B \to X$ of $\mathcal{C}[\epsilon]^n$ such that uf = vi. Then there exists a unique morphism $w: B' \to X$ in \mathcal{C} such that wi' = u and wf' = v. Then

$$(\epsilon_X w - w\epsilon_{B'})i' = \epsilon_X wi' - w\epsilon_{B'}i' = \epsilon_X u - wi'\epsilon_{A'} = \epsilon_X u - u\epsilon_{A'} = 0 \text{ and}$$
$$(\epsilon_X w - w\epsilon_{B'})f' = \epsilon_X wf' - w\epsilon_{B'}f' = \epsilon_X v - wf'\epsilon_B = \epsilon_X v - v\epsilon_B = 0.$$

It follows that $\epsilon_X w - w \epsilon_{B'} = 0$ by the universal property of push-outs, proving the existence of the push-out of an admissible monic *i* along *f*. The reasoning in [E1] will ensure that *i'* is also an admissible monic.

Dually, we get $[E2^{op}]$.

(2) It follows directly from the definition of the exact structure in $\mathcal{C}[\epsilon]^n$.

(3) Let

$$A \xrightarrow{i} B \xrightarrow{p} C$$

be a short exact sequence in \mathcal{C} . Applying the functor T to it yields a sequence

$$A^{\oplus n} \xrightarrow{\begin{pmatrix} i \ 0 \ \cdots \ 0 \\ 0 \ i \ \cdots \ 0 \\ \vdots \ \vdots \ \ddots \ \vdots \\ 0 \ 0 \ \cdots \ i \end{pmatrix}} B^{\oplus n} \xrightarrow{\begin{pmatrix} p \ 0 \ \cdots \ 0 \\ 0 \ p \ \cdots \ 0 \\ \vdots \ \ddots \ \vdots \\ 0 \ 0 \ \cdots \ p \end{pmatrix}} (3.2)$$

in $\mathcal{C}[\epsilon]^n$. We deduce from [14, Proposition 2.9] that (3.2) is also a short exact sequence in $\mathcal{C}[\epsilon]^n$. Therefore T is an exact functor. \Box

According to [14,28], an additive category C is called *idempotent complete* if every idempotent endomorphism $e = e^2$ of an object $X \in ob C$ splits, that is, there exists a factorization

$$X \stackrel{\pi}{\longrightarrow} Y \stackrel{\iota}{\longrightarrow} X$$

of e with $\pi \iota = 1_Y$; and C is called *weakly idempotent complete* if every retraction has a kernel or equivalently every coretraction has a cokernel. In particular, any abelian category is idempotent complete.

Lemma 3.3. The following statements hold.

- (1) If C is weakly idempotent complete, then $C[\epsilon]^n$ is weakly idempotent complete.
- (2) If C is idempotent complete, then $C[\epsilon]^n$ is idempotent complete.

Proof. (1) Let \mathcal{C} be weakly idempotent complete and $r: B \to C$ a retraction in $\mathcal{C}[\epsilon]^n$. Indeed, r is a retraction in \mathcal{C} , then it has a kernel $i: A \to B$ in \mathcal{C} . Since $r\varepsilon_B i = \varepsilon_C r i = 0$, there exists a morphism $\varepsilon_A: A \to A$ in \mathcal{C} such that $\varepsilon_B i = i\varepsilon_A$. Because

$$i\varepsilon_A{}^n = \varepsilon_B i\varepsilon_A{}^{n-1} = \dots = \varepsilon_B{}^n i = 0,$$

we have $\varepsilon_A{}^n = 0$ and $(A, \varepsilon_A) \in ob \mathcal{C}[\epsilon]^n$. Now let $i' : A' \to B$ be a morphism in $\mathcal{C}[\epsilon]^n$ such that ri' = 0. Since i is a kernel of r in \mathcal{C} , there exists a unique morphism $u : A' \to A$ in \mathcal{C} such that iu = i'. Since

$$i(\varepsilon_A u - u\varepsilon_{A'}) = i\varepsilon_A u - iu\varepsilon_{A'} = \varepsilon_B iu - i'\varepsilon_{A'} = \varepsilon_B i' - \varepsilon_B i' = 0,$$

we have that $\varepsilon_A u - u \varepsilon_{A'} = 0$ and u is a morphism in $\mathcal{C}[\epsilon]^n$. So i is also a kernel of r in $\mathcal{C}[\epsilon]^n$.

(2) Assume that \mathcal{C} is idempotent complete. Let e be an idempotent endomorphism of (X, ϵ_X) . Restricting to \mathcal{C} , there exists an object $Y \in \text{ob } \mathcal{C}$ and morphisms

$$X \xrightarrow{\pi} Y \xrightarrow{\iota} X$$

in \mathcal{C} such that $\iota \pi = e$ and $\pi \iota = 1_Y$. Take $\epsilon_Y = \pi \epsilon_X \iota$. Using $\epsilon_X e = e \epsilon_X$ and $\epsilon_X^n = 0$, we have $\epsilon_Y^n = 0$. It is straightforward to check that π and ι become morphisms in $\mathcal{C}[\epsilon]^n$. \Box

Next, we turn to study whether $C[\epsilon]^n$ is closed under direct summands whenever C enjoys the same property. This is established in the following proposition.

Proposition 3.4. Let C be idempotent complete. Then for any $X \in ob C$, the direct summands of X are in 1-1 correspondence with the direct summands of TX up to conjugation.

Proof. By Lemma 3.3 and [14, Definition 6.1], it is enough to prove that the idempotents of $\operatorname{End}_{\mathcal{C}}(X)$ are in 1-1 correspondence (up to conjugation) with the idempotents of $\operatorname{End}_{\mathcal{C}[\epsilon]^n}(TX)$. We define a map $\theta : \operatorname{End}_{\mathcal{C}}(X) \to \operatorname{End}_{\mathcal{C}[\epsilon]^n}(TX)$ by

$$f \mapsto \begin{pmatrix} f & 0 & \cdots & 0 \\ 0 & f & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & f \end{pmatrix}.$$

It is an injective map sending idempotents to idempotents. Now given $f = (a_{ij}) \in$ End_{$\mathcal{C}[\epsilon]^n$}(TX), the requirement $f \epsilon_{TX} = \epsilon_{TX} f$ translates to

$$= \begin{pmatrix} a_{11} & a_{12} & a_{13} & \cdots & a_{1n} \\ a_{21} & a_{22} & a_{23} & \cdots & a_{2n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & a_{n3} & \cdots & a_{nn} \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix}$$

$$= \begin{pmatrix} a_{12} & a_{13} & \cdots & a_{1n} & 0 \\ a_{22} & a_{23} & \cdots & a_{2n} & 0 \\ a_{32} & a_{33} & \cdots & a_{2n} & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ a_{n2} & a_{n3} & \cdots & a_{nn} \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & \cdots & 0 \\ a_{11} & a_{12} & a_{13} & \cdots & a_{1n} \\ a_{21} & a_{22} & a_{23} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ a_{n-11} & a_{n-12} & a_{n-13} & \cdots & a_{n-1n} \end{pmatrix}$$

$$= \begin{pmatrix} 0 & 0 & 0 & \cdots & 0 \\ 1 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix} \begin{pmatrix} a_{11} & a_{12} & a_{13} & \cdots & a_{1n} \\ a_{21} & a_{22} & a_{23} & \cdots & a_{2n} \\ a_{31} & a_{32} & a_{33} & \cdots & a_{3n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & a_{n3} & \cdots & a_{nn} \end{pmatrix}.$$

It follows that $a_{ij} = 0$ for i < j and

$$a_{11} = a_{22} = \dots = a_{nn}, \quad a_{21} = a_{32} = \dots = a_{n n-1},$$
$$a_{31} = a_{42} = \dots = a_{n n-2}, \quad \dots, \quad a_{n-1 1} = a_{n2}.$$

Furthermore suppose that f is an idempotent of $\operatorname{End}_{\mathcal{C}[\epsilon]^n}(TX)$. Then the equality $f^2 = f$ implies that $f = (a_{ij})$ may have the following form

$$f = \begin{pmatrix} e & 0 & 0 & \cdots & 0 \\ a & e & 0 & \cdots & 0 \\ a_{31} & a & e & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & a_{n3} & \cdots & e \end{pmatrix}$$

with $e^2 = e$ and ae + ea = a. Then eae = 0. Let

$$g_1 = \begin{pmatrix} 1 & 0 & 0 & \cdots & 0 \\ ea - ae & 1 & 0 & \cdots & 0 \\ 0 & ea - ae & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & ea - ae & 1 \end{pmatrix}.$$

Then g_1 is obviously invertible with

$$g_1^{-1} = \begin{pmatrix} 1 & 0 & 0 & \cdots & 0 \\ ae - ea & 1 & 0 & \cdots & 0 \\ (ae - ea)^2 & ae - ea & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ (ae - ea)^{n-1} & (ae - ea)^{n-2} & \cdots & ae - ea & 1 \end{pmatrix}.$$

Hence we get

$$g_1 f g_1^{-1} = \begin{pmatrix} e & 0 & 0 & \cdots & 0 \\ 0 & e & 0 & \cdots & 0 \\ a'_{31} & 0 & e & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a'_{n1} & a'_{n2} & \cdots & 0 & e \end{pmatrix}.$$

Continuing in this way, we may find an automorphism g of $\operatorname{End}_{\mathcal{C}[\epsilon]^n}(TX)$ such that

$$gfg^{-1} = \begin{pmatrix} e & 0 & 0 & \cdots & 0 \\ 0 & e & 0 & \cdots & 0 \\ 0 & 0 & e & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & e \end{pmatrix}. \square$$

The following observation is useful in the sequel.

Lemma 3.5. Let $(\mathcal{C}, \mathscr{E})$ be an exact category and $(X, \epsilon_X) \in ob \mathcal{C}[\epsilon]^n$. Then there exists two short exact sequences in $\mathcal{C}[\epsilon]^n$ as follows:

$$X' \xrightarrow{i'_X} TX \xrightarrow{p'_X} X, \tag{3.3}$$

where $X' = X^{\oplus n-1}$,

$$i'_{X} = \begin{pmatrix} 0 & 0 & \cdots & -\epsilon_{X} \\ 0 & 0 & \cdots & 1 \\ \vdots & \ddots & \ddots & \vdots \\ -\epsilon_{X} & 1 & \cdots & 0 \\ 1 & 0 & \cdots & 0 \end{pmatrix}_{n \times (n-1)}, \quad p'_{X} = (1, \epsilon_{X}, \epsilon_{X}^{2}, \cdots, \epsilon_{X}^{n-1}),$$
$$\varepsilon_{X'} = \begin{pmatrix} -\epsilon_{X} & 1 & 0 & \cdots & 0 \\ -\epsilon_{X}^{2} & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ -\epsilon_{X}^{n-2} & 0 & 0 & \cdots & 1 \\ -\epsilon_{X}^{n-1} & 0 & 0 & \cdots & 0 \end{pmatrix}_{(n-1) \times (n-1)}, \quad and$$

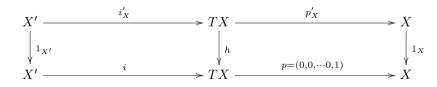
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$$X \xrightarrow{i''_{X}} TX \xrightarrow{p''_{X}} X'', \tag{3.4}$$

where $X'' = X^{\oplus n-1}$,

$$i_X'' = \begin{pmatrix} \epsilon_X^{n-1} \\ \epsilon_X^{n-2} \\ \vdots \\ \epsilon_X \\ 1 \end{pmatrix}, p_X'' = \begin{pmatrix} 0 & 0 & \cdots & 1 & -\epsilon_X \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 1 & \cdots & 0 & 0 \\ 1 & -\epsilon_X & \cdots & 0 & 0 \end{pmatrix}_{(n-1) \times n},$$
$$\varepsilon_{X''} = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ -\epsilon_X^{n-1} & -\epsilon_X^{n-2} & -\epsilon_X^{n-3} & \cdots & -\epsilon_X \end{pmatrix}_{(n-1) \times (n-1)}$$

Proof. We just prove the existence of (3.3). Clearly $(\mathcal{C}, \mathscr{E}_F)$ is an exact category by Lemma 3.2(1). It is routine to check that $(X', \varepsilon_{X'})$ is an *n*-th differential object and (3.3) is a sequence in $\mathcal{C}[\epsilon]^n$. We also have the following diagram



in ${\mathcal C}$ with

$$i = \begin{pmatrix} 1 & 0 & \cdots & 0 \\ 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 \\ 0 & 0 & \cdots & 0 \end{pmatrix}_{n \times (n-1)}, h = \begin{pmatrix} 0 & 0 & \cdots & 0 & 1 \\ 0 & 0 & \cdots & 1 & \epsilon_X \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 1 & \cdots & \epsilon_X^{n-3} & \epsilon_X^{n-2} \\ 1 & \epsilon_X & \cdots & \epsilon_X^{n-2} & \epsilon_X^{n-1} \end{pmatrix}_{n \times n}.$$

Since h is an isomorphism and

$$X' \stackrel{i}{\longrightarrow} TX \stackrel{p}{\longrightarrow} X$$

is a short exact sequence in \mathcal{C} , we obtain that

$$X' \xrightarrow{i'_X} TX \xrightarrow{p'_X} X$$

is a short exact sequence in $\mathcal{C}[\epsilon]^n$. \Box

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Now, we are able to describe completely the projective and injective objects of $\mathcal{C}[\epsilon]^n$.

Proposition 3.6. Let $(\mathcal{C}, \mathscr{E})$ be an idempotent complete exact category. Then we have

- (1) P is a projective object of $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ if and only if $P \cong T(Q)$ for some projective object Q of C.
- (2) I is an injective object of (C[ε]ⁿ, ℰ_F) if and only if I ≅ T(E) for some injective object E of C.

Proof. It follows from Lemmas 3.2 and 3.3 that $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ is an idempotent complete exact category.

(1) Let Q be a projective object of C and $P \cong T(Q)$. Since

$$\operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(P,-) \cong \operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(T(Q),-) \cong \operatorname{Hom}_{\mathcal{C}}(Q,F(-))$$

by Proposition 3.1 and since F is an exact functor by Lemma 3.2(2), P is a projective object of $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$. Conversely, let P be a projective object of $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$. Since

$$\operatorname{Hom}_{\mathcal{C}}(F(P), -) \cong \operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(P, T(-))$$

by Proposition 3.1 and since T is an exact functor by Lemma 3.2(3), F(P) is a projective object of C. By Lemma 3.5, there exists a short exact sequence

$$P' \xrightarrow{i'_P} TF(P) \xrightarrow{p'_P} P$$

in $\mathcal{C}[\epsilon]^n$. It splits and P is isomorphic to a direct summand of TF(P). It follows from Proposition 3.4 that there exists a projective object Q of C such that $P \cong T(Q)$.

(2) It is dual to (1). \Box

3.2. Flat and Gorenstein flat modules

We now use Proposition 3.6 to prove the following corollary.

Corollary 3.7. Let R be a ring and $M \in Mod(R[t]/(t^n))$. Then M is flat in $Mod(R[t]/(t^n))$ if and only if $M \cong T(N)$ for some flat module N in Mod R.

Proof. If M is flat in $Mod(R[t]/(t^n))$, then $M \cong \lim_{i \to \infty} P_i$ with $\{P_i\}$ a family of projective modules in $Mod(R[t]/(t^n))$. By Proposition 3.6(1), there exists a projective left R-module Q_i such that $P_i = T(Q_i)$ for any i. Since the functor T preserves direct limits, we have

$$M \cong \lim P_i \cong \lim T(Q_i) \cong T(\lim Q_i).$$

As $\lim Q_i$ is flat in Mod R, the sufficiency follows.

Conversely, if $M \cong T(N)$ for some flat object N in Mod R, then $N \cong \underset{\longrightarrow}{\lim}Q_i$ with $\{Q_i\}$ a family of projective modules in Mod R. Thus

$$M \cong T(N) \cong T(\lim Q_i) \cong \lim T(Q_i).$$

By Proposition 3.6(1), $T(Q_i)$ is projective in $Mod(R[t]/(t^n))$. So M is flat in $Mod(R[t]/(t^n))$. \Box

For any $m \ge 0$, recall that a left and right Noetherian ring R is called *m*-Gorenstein if the left and right self-injective dimensions of R are at most m, and R is called Gorenstein if it is *m*-Gorenstein for some m. For a ring R, we use Cen(R) to denote the center of R. Recall that a ring R is called an Artin algebra if it is a finitely generated Cen(R)-module with Cen(R) a commutative Artin ring. Clearly a ring R is an Artin algebra if and only if it is a finitely generated C-module for some commutative Artin ring C ([3]).

Corollary 3.8. For any ring R, we have

- (1) R is left (resp. right) Noetherian if and only if $R[t]/(t^n)$ is left (resp. right) Noetherian.
- (2) For any $m \ge 0$, R is m-Gorenstein if and only if $R[t]/(t^n)$ is m-Gorenstein.
- (3) R is left (resp. right) perfect if and only if $R[t]/(t^n)$ is left (resp. right) perfect.
- (4) R is left (resp. right) Artinian if and only if $R[t]/(t^n)$ is left (resp. right) Artinian.
- (5) R is an Artin algebra if and only if $R[t]/(t^n)$ is an Artin algebra.
- (6) R is left (resp. right) coherent if and only if $R[t]/(t^n)$ is left (resp. right) coherent.

Proof. (1) By [9, Theorem 1.1], it suffices to show that any direct sum of injective modules in Mod R is injective if and only if any direct sum of injective modules in $Mod(R[t]/(t^n))$ is injective.

Let R be left Noetherian and $\{I_i\}_{i \in I}$ a family of injective modules in $Mod(R[t]/(t^n))$. By Proposition 3.6(2), we have $I_i \cong T(E_i)$ for some injective module E_i in Mod R for any $i \in I$. By [9, Theorem 1.1], $\bigoplus_{i \in I} E_i$ is injective in Mod R. Note that the functor Tpreserves direct sums by Proposition 3.1. So

$$\oplus_{i \in I} I_i \cong \oplus_{i \in I} T(E_i) \cong T(\oplus_{i \in I} E_i)$$

is injective by Proposition 3.6(2) again.

Conversely, let $R[t]/(t^n)$ be left Noetherian and $\{E_i\}_{i\in I}$ a family of injective modules in Mod R. Then $T(\bigoplus_{i\in I} E_i) \cong \bigoplus_{i\in I} T(E_i)$ is injective in Mod $(R[t]/(t^n))$. By Proposition 3.1 we have

$$\operatorname{Hom}_{R}(-, FT(\bigoplus_{i \in I} E_{i})) \cong \operatorname{Hom}_{R[\epsilon]^{n}}(T(-), T(\bigoplus_{i \in I} E_{i})).$$

So $FT(\bigoplus_{i \in I} E_i)$ is injective in Mod R. Furthermore, since F is the forgetful functor, $\bigoplus_{i \in I} E_i$ is injective in Mod R.

(2) By (1), we have that R is left and right Noetherian if and only if $R[t]/(t^n)$ is left and right Noetherian. Now using [18, Theorem 12.3.1] and [43, Theorem 3.11(iii)], we get that R is *m*-Gorenstein if and only if $R[t]/(t^n)$ is *m*-Gorenstein.

(3) We know from [1, Theorem 28.4] that R is left perfect if and only if every flat left R-module is projective. Assume that $R[t]/(t^n)$ is left perfect and $M \in \text{Mod } R$ is flat. Then T(M) is a flat module in $\text{Mod}(R[t]/(t^n))$ by Corollary 3.7. So T(M) is projective and there exists a projective left R-module Q such that $T(M) \cong T(Q)$. Thus FT(M) is projective in Mod R, and therefore M is a projective left R-module. The converse may be proved similarly.

(4) Note that a ring R is left (resp. right) Artinian if and only if it is left (resp. right) Noetherian and right (resp. left) perfect (cf. [8, Theorem P] and [13, Theorem 6]). Thus the assertion follows from (1) and (3).

(5) It is easy to verify that $\operatorname{Cen}(R[t]/(t^n)) = \operatorname{Cen}(R)[t]/(t^n)$. Thus by (4), we have that $\operatorname{Cen}(R)$ is a commutative Artinian ring if and only if $\operatorname{Cen}(R[t]/(t^n))$ is a commutative Artinian ring. In addition, we have that R is a finitely generated $\operatorname{Cen}(R)$ -module if and only if $R[t]/(t^n)$ is a finitely generated $\operatorname{Cen}(R[t]/(t^n))$ -module. The assertion follows.

(6) By [16, Theorem 2.1], R is right coherent if and only if the direct product of any family of flat left R-modules is flat. Assume that $R[t]/(t^n)$ is right coherent and $\{M_i\}_{i\in I}$ is a family of flat left R-modules. Since the functor T preserves direct products, $T(\prod_{i\in I} M_i) \cong \prod_{i\in I} T(M_i)$ is flat. By Corollary 3.7, there exists a flat left R-modules S such that $T(\prod_{i\in I} M_i) \cong T(S)$. Thus $\prod_{i\in I} M_i$ is also flat as a left R-module. The converse may be proved similarly. \Box

Remark 3.9. When we take R in Corollary 3.8(2) to be KQ, that is, the path algebra over a field K, then KQ is 1-Gorenstein, and so $KQ[t]/(t^2)$ is also 1-Gorenstein. It recovers part of [35, Theorem 2].

We recall from [18,19] that a left *R*-module *M* is called *Gorenstein flat* if there exists an exact sequence

$$\mathbf{F}:\cdots\to F_1\to F_0\to F^0\to F^1\to\cdots$$

in Mod R with all F_i, F^i flat such that $M \cong \text{Im}(F_0 \to F^0)$ and $E \otimes_R \mathbf{F}$ is exact for any injective right R-module E. Furthermore, the Gorenstein flat dimension $\text{Gfd}_R M$ of a left R-module M is defined to be $\inf\{n \ge 0 \mid \text{there exists an exact sequence}\}$

$$0 \to G_n \to G_{n-1} \to \dots \to G_0 \to M \to 0$$

in Mod R with all G_i Gorenstein flat}. If no such an integer exists, then set $\operatorname{Gfd}_R M = \infty$. We write $(-)^+ := \operatorname{Hom}_{\mathbb{Z}}(-, \mathbb{Q}/\mathbb{Z})$, where \mathbb{Z} is the additive group of integers and \mathbb{Q} is the additive group of rational numbers. **Theorem 3.10.** Let R be a ring and $M \in Mod(R[t]/(t^n))$. Then M is Gorenstein flat in $Mod(R[t]/(t^n))$ if and only if M is Gorenstein flat in Mod R.

Proof. Assume that M is Gorenstein flat in $Mod(R[t]/(t^n))$. Then there exists an exact sequence

$$\mathbf{F}:\dots \to F_1 \to F_0 \to F^0 \to F^1 \to \cdots \tag{3.5}$$

in Mod $(R[t]/(t^n))$ with all F_i , F^i flat such that $M \cong \text{Im}(F_0 \to F^0)$ and $I \otimes_{R[t]/(t^n)} \mathbf{F}$ is exact for any injective right $R[t]/(t^n)$ -module I. Indeed, (3.5) is an exact sequence of flat left R-modules by Corollary 3.7. Let E be an injective right R-module. Then T(E) is injective right $R[t]/(t^n)$ -module by Proposition 3.6(2). So $T(E) \otimes_{R[t]/(t^n)} \mathbf{F}$ and $(T(E) \otimes_{R[t]/(t^n)} \mathbf{F})^+$ are exact. By the adjoint isomorphism theorem, $\text{Hom}_{R[t]/(t^n)}(\mathbf{F}, T(E)^+)$, and hence $\text{Hom}_{R[t]/(t^n)}(\mathbf{F}, T(E^+))$, is exact. It follows from [43, Proposition 3.3] that $\text{Hom}_R(\mathbf{F}, E^+)$ is exact. By the adjoint isomorphism theorem again, $(E \otimes_R \mathbf{F})^+$ and $E \otimes_R \mathbf{F}$ are exact. Consequently, we conclude that M is Gorenstein flat as a left R-module.

Conversely, assume that M is Gorensten flat in Mod R and E is any injective right R-module. Then there exists an exact sequence

$$\mathbf{F}: 0 \to M \xrightarrow{f^0} F^0 \xrightarrow{f^1} F^1 \to \cdots$$

in Mod R with all F^i is flat such that $\operatorname{Tor}_{\geq 1}^R(E, M) = 0$ and $E \otimes_R \mathbf{F}$ is exact. Since all modules have flat covers by [12, Theorem 3], there exists an exact sequence

$$\mathbf{F}':\cdots\to F_1'\to F_0'\to M\to 0$$

in Mod R with all F'_i flat such that $\operatorname{Hom}_R(Q, \mathbf{F}')$ is exact for any flat left R-module Q. Notice that $E \otimes_R \mathbf{F}'$, and hence $(E \otimes_R \mathbf{F}')^+$, is exact, so $\operatorname{Hom}_R(\mathbf{F}', E^+)$ is also exact by the adjoint isomorphism theorem. Then we deduce from [43, Lemma 3.7(ii)] that there exists an exact sequence

$$\mathbf{S}:\cdots\to S_1\to S_0\to M\to 0$$

in $\operatorname{Mod}(R[t]/(t^n))$ with all S_i flat such that $\operatorname{Hom}_{R[t]/(t^n)}(\mathbf{S}, T(E)^+)$ is exact. By Proposition 3.6(2), $\operatorname{Hom}_{R[t]/(t^n)}(\mathbf{S}, I^+)$ is exact for any injective right $R[t]/(t^n)$ -module I. By the adjoint isomorphism theorem, we have that $(I \otimes_{R[t]/(t^n)} \mathbf{S})^+$, and hence $I \otimes_{R[t]/(t^n)} \mathbf{S}$, is also exact. It yields $\operatorname{Tor}_{\geq 1}^{R[t]/(t^n)}(I, M) = 0$ for any injective right $R[t]/(t^n)$ -module I.

On the other hand, there exists an exact sequence

$$\begin{array}{c}
\begin{pmatrix}
f_0 \epsilon_M^{n-1} \\
\vdots \\
f_0 \epsilon_M \\
f_0
\end{pmatrix} \\
0 \longrightarrow M \xrightarrow{} TF_0 \longrightarrow X \longrightarrow 0
\end{array}$$
(3.6)

in $\operatorname{Mod}(R[t]/(t^n))$. Since $E \otimes_R \mathbf{F}$ is exact, any morphism in $\operatorname{Mod} R$ from M to E^+ can be extended to F_0 . Also it is easy to verify that any morphism in $\operatorname{Mod} R$ from M to E^+ can be extended to TF_0 . Hence we have $\operatorname{Tor}_{\geq 1}^R(E, X) = 0$ and $\operatorname{Hom}_{R[t]/(t^n)}((3.6), T(E)^+)$ is exact by [43, Lemma 3.2]. Since M is Gorenstein flat in $\operatorname{Mod} R$, X has finite Gorenstein flat dimension. Because the subcategory of $\operatorname{Mod} R$ consisting of Gorenstein flat modules is closed under extensions by [36, Theorem 3.11], it follows from [11, Theorem 2.8] that Xis Gorenstein flat in $\operatorname{Mod} R$. Repeating this process, we may construct an exact sequence

$$\mathbf{F}'': 0 \to X \to F_0'' \to F_1'' \to \cdots$$

in $\operatorname{Mod}(R[t]/(t^n))$ with all F_i'' flat such that $\operatorname{Hom}_{R[t]/(t^n)}(\mathbf{F}'', T(E)^+)$ is exact for any injective right *R*-module *E*. Consequently, *M* is Gorenstein flat in $\operatorname{Mod}(R[t]/(t^n))$. \Box

If R is a commutative Noetherian ring, it is derived from [23, Corollary 2.17] that $\operatorname{Gfd}_{R[t]/(t^2)} M = \operatorname{Gfd}_R M$ for any R-module M. Now, we will generalize this result to a more general setting by applying Theorem 3.10.

Corollary 3.11. Let R be a ring. Then for any $M \in Mod(R[t]/(t^n))$, we have

$$\operatorname{Gfd}_{R[t]/(t^n)} M = \operatorname{Gfd}_R M.$$

3.3. Homological conjectures

Lemma 3.12. Let R be a ring and $M \in \text{Mod} R$. If $f : M \to E^0(M)$ is the injective envelope of M in Mod R, then $T(f) : T(M) \to T(E^0(M))$ is the injective envelope of T(M) in Mod $(R[t]/(t^n))$.

Proof. Let $f : M \to E^0(M)$ be the injective envelope of M in Mod R. It follows from Proposition 3.6 that $T(E^0(M))$ is injective in $Mod(R[t]/(t^n))$. Now let $g \in Hom_{Mod R[\epsilon]^n}(T(E^0(M)), T(E^0(M)))$ such that gT(f) = T(f). Since $g\epsilon_{T(E^0(M))} = \epsilon_{T(E^0(M))g}$, by the proof of Proposition 3.4, we may assume that g has the following form

$$g = \begin{pmatrix} a_1 & 0 & 0 & \cdots & 0 \\ a_2 & a_1 & 0 & \cdots & 0 \\ a_3 & a_2 & a_1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_n & a_{n-1} & a_{n-2} & \cdots & a_1 \end{pmatrix}$$

The equation gT(f) = T(f) gives that $a_1 f = f$. As f is the injective envelope of M, a_1 is an isomorphism. So g is also an isomorphism. It implies that $T(f) : T(M) \to T(E^0(M))$ is the injective envelope of T(M) in $Mod(R[t]/(t^n))$. \Box

In the rest of this subsection, R is an Artinian algebra and

$$0 \to {}_{R}R \to E^{0}(R) \to E^{1}(R) \to \dots \to E^{i}(R) \to \dots$$
(3.7)

is a minimal injective resolution of R in mod R. By Lemma 3.12, we immediately get a minimal injective resolution

$$0 \to T(R) \to T(E^0(R)) \to T(E^1(R)) \to \dots \to T(E^i(R)) \to \dots$$
(3.8)

of T(R) in $\operatorname{mod}(R[t]/(t^n))$. For a module $M \in \operatorname{mod} R$, we use $\operatorname{pd}_R M$ and $\operatorname{id}_R M$ to denote the projective and injective dimensions of M respectively. The following are some long-standing homological conjectures.

- (1) Finitistic Dimension Conjecture (**FDC**) [8]: fin.dim $R := \{ \operatorname{pd}_R M \mid M \in \operatorname{mod} R \text{ with} \operatorname{pd}_R M < \infty \} < \infty.$
- (2) Strong Nakayama Conjecture (SNC) [17]: For any $0 \neq M \in \text{mod } R$, there exists $n \geq 0$ such that $\text{Ext}_R^n(M, R) \neq 0$.
- (3) Generalied Nakayama Conjecture (**GNC**) [4]: Any indecomposable injective module in mod R occurs as a direct summand of some $E^i(R)$.
- (4) Auslander-Gorenstein Conjecture (AGC) [6]: If R satisfies the Auslander condition (that is, $\operatorname{pd}_R E^i(R) \leq i$ for any $i \geq 0$), then R is Gorenstein.
- (5) Nakayama Conjecture (NC) [32]: If $E^i(R)$ is projective for any $i \ge 0$, then R is self-injective.
- (6) Gorenstein Symmetric Conjecture (**GSC**) [5]: $\operatorname{id}_R R < \infty$ if and only if $\operatorname{id}_{R^{op}} R < \infty$ (equivalently, $\operatorname{id}_R R = \operatorname{id}_{R^{op}} R$ by [45, Lemma A]).

Auslander and Reiten posed many conjectures, but they did not name the fourth conjecture above. For the sake of avoiding confusion and convenience, we name it as Auslander-Gorenstein Conjecture. In general, we have the following implications:

By [5, Proposition 6.10] and [44, Theorem 3.4.3], we have **FDC** \Rightarrow **GSC** and **FDC** \Rightarrow **SNC** \Rightarrow **GNC** respectively. It is easy to see that **NC** is a special case of **AGC**. Assume that *R* satisfies the Auslander condition. Let $\{Q_1, \dots, Q_s\}$ be a complete set of non-isomorphic indecomposable injective modules in mod *R*. If *R* satisfies **GNC**, then each Q_i occurs as a direct summand of some $E^{t_i}(R)$. Set $m := max\{t_1, \dots, t_s\}$. Then $pd_R Q_i \leq m$ for any $1 \leq i \leq s$. Thus the projective dimension of any injective module in mod *R* is at most *m*. It follows that the injective dimension of any projective module in mod R^{op} is also at most *m*, in particular, $id_{R^{op}} R \leq m$. So *R* is *m*-Gorenstein by [6, Corollary 5.5(b)]. This proves **GNC** \Rightarrow **AGC**.

Theorem 3.13.

- (1) R satisfies **FDC** if and only if $R[t]/(t^n)$ satisfies **FDC**.
- (2) R satisfies **SNC** if and only if $R[t]/(t^n)$ satisfies **SNC**.
- (3) R satisfies **GNC** if and only if $R[t]/(t^n)$ satisfies **GNC**.
- (4) R satisfies AGC if and only if $R[t]/(t^n)$ satisfies AGC.
- (5) R satisfies **NC** if and only if $R[t]/(t^n)$ satisfies **NC**.
- (6) R satisfies **GSC** if and only if $R[t]/(t^n)$ satisfies **GSC**.

Proof. Note that $R[t]/(t^n)$ as a left $R[t]/(t^n)$ -module is isomorphic to the projective object T(R) in $Mod(R[t]/(t^n))$. By Corollary 3.8(5), we have that R is an Artinian algebra if and only if so is $R[t]/(t^n)$. In addition, we always treat a left $R[t]/(t^n)$ -module A as an n-th differential object (A, ϵ_A) .

(1) Suppose that R satisfies **FDC** and fin.dim $R = n(<\infty)$. Let $A \in \text{mod}(R[t]/(t^n))$ with $\text{pd}_{R[t]/(t^n)} A < \infty$. By Proposition 3.6, we have the following projective resolution

$$0 \to T(P_m) \to \cdots \to T(P_1) \to T(P_0) \to (A, \epsilon_A) \to 0$$

of (A, ϵ_A) in $\operatorname{mod}(R[t]/(t^n))$ such that each P_i is projective left *R*-module. Indeed, the above resolution is also a projective resolution of *A* as a left *R*-module. Thus we have $m \leq n$ and fin.dim $R[t]/(t^n) \leq n$.

Conversely, suppose that $R[t]/(t^n)$ satisfies **FDC** and fin.dim $R[t]/(t^n) = n(<\infty)$. Let $A \in \text{mod } R$ with $\text{pd}_R A < \infty$. Thus there exists a projective resolution

$$0 \to P_m \to \cdots \to P_1 \to P_0 \to A \to 0$$

of A in mod R. Applying the exact functor T to it yields a projective resolution

$$0 \to T(P_m) \to \cdots \to T(P_1) \to T(P_0) \to T(A) \to 0$$

of T(A) in $\operatorname{mod}(R[t]/(t^n))$. Thus we have $m \leq n$ and fin.dim $R \leq n$.

(2) Suppose that R satisfies **SNC**. Let $0 \neq A \in \text{mod}(R[t]/(t^n))$. Then there exists $n \geq 0$ such that $\text{Ext}_R^n(A, R) \neq 0$. If $n \geq 1$, then by [43, Theorem 3.9], we have $\text{Ext}_{R[t]/(t^n)}^n(A, R[t]/(t^n)) \neq 0$. If n = 0, then there exists $0 \neq f \in \text{Hom}_R(A, R)$. Thus

$$0 \neq \begin{pmatrix} f\epsilon_A^{n-1} \\ \vdots \\ f\epsilon_A \\ f \end{pmatrix} \in \operatorname{Hom}_{\operatorname{Mod} R[\epsilon]^n}(A, TR)$$

and $\text{Hom}_{R[t]/(t^n)}(A, R[t]/(t^n)) \neq 0.$

Conversely, suppose that $R[t]/(t^n)$ satisfies **SNC**. Let $0 \neq A \in \text{mod } R$. Then $0 \neq T(A) \in \text{mod}(R[t]/(t^n))$ and there exists $n \geq 0$ such that $\text{Ext}_{R[t]/(t^n)}^n(T(A), R[t]/(t^n)) \neq 0$. If $n \geq 1$, then by [43, Theorem 3.9], we have $\text{Ext}_R^n(A, R) \neq 0$. For the case n = 0, it is trivial that $\text{Hom}_R(A, R) \neq 0$.

(3) Suppose that R satisfies **GNC**. Let I be an indecomposable injective left $R[t]/(t^n)$ -module. Then $I \cong T(E)$ for some indecomposable injective left R-module E by Proposition 3.6. Since E is isomorphic to a direct summand of some $E^i(R)$ by assumption, we have that T(E) is isomorphic to a direct summand of $T(E_i(R))$.

Conversely, suppose that $R[t]/(t^n)$ satisfies **GNC**. Let E be an indecomposable injective left R-module. Then T(E) is an indecomposable injective left $R[t]/(t^n)$ -module. Since T(E) is isomorphic to a direct summand of some $T(E^i(R))$ by assumption, we have that E is isomorphic to a direct summand of $E^i(R)$.

(4) Suppose that R satisfies **AGC**. If $\operatorname{pd}_{R[t]/(t^n)} T(E^i(R)) \leq i$ for any $i \geq 0$, it follows from Proposition 3.6 that $\operatorname{pd}_R T(E^i(R)) \leq i$ for any $i \geq 0$. Hence $\operatorname{pd}_R E^i(R) \leq i$ for any $i \geq 0$. Since R is Gorenstein by assumption, we have that $R[t]/(t^n)$ is Gorenstein as well by Corollary 3.8(2).

Conversely, suppose that $R[t]/(t^n)$ satisfies **AGC**. If $\operatorname{pd}_R E^i(R) \leq i$ for any $i \geq 0$, then $\operatorname{pd}_{R[t]/(t^n)} T(E^i(R)) \leq i$ for any $i \geq 0$. Since $R[t]/(t^n)$ is Gorenstein by assumption, we have that R is Gorenstein by Corollary 3.8(2) again.

(5) Suppose that R satisfies NC. If $T(E^i(R))$ is a projective left $R[t]/(t^n)$ -module for any $i \ge 0$, then in light of Proposition 3.6(1), we have that $E^i(R)$ is a projective left R-module for any $i \ge 0$. By assumption, R is self-injective. Then $R[t]/(t^n)$ is also self-injective by Corollary 3.8(2).

Conversely, suppose that $R[t]/(t^n)$ satisfies **NC**. If $E^i(R)$ is a projective left *R*-module for any $i \ge 0$, then $T(E^i(R))$ is a projective left $R[t]/(t^n)$ -module for any $i \ge 0$. By assumption, $R[t]/(t^n)$ is self-injective. Then *R* is also self-injective by Corollary 3.8(2) again.

(6) It follows directly from Corollary 3.8(2).

The results from Corollary 3.8 to Theorem 3.13 show that R and $R[t]/(t^n)$ have many homological properties in common. However, it is not always true. For example, let K

be a field. Then the global dimension of K is zero, but $K[t]/(t^n)$ (where $n \ge 2$) is a self-injective Nakayama algebra with infinite global dimension ([2, Chapter V]).

4. Triangulated categories

In this section, we will introduce the homotopy category of $\mathcal{C}[\epsilon]^n$ and study how this homotopy category is equivalent to the stable category of a Frobenius category.

Lemma 4.1. Let $(\mathcal{C}, \mathscr{E})$ be an idempotent complete exact category. Then $(\mathcal{C}, \mathscr{E})$ is a Frobenius category if and only if $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ is a Frobenius category.

Proof. Let $(\mathcal{C}, \mathscr{E})$ be a Frobenius category and $(X, \epsilon_X) \in \operatorname{ob} \mathcal{C}[\epsilon]^n$. By Lemmas 3.2(1) and 3.5, $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ is an exact category and there exists an admissible epic $TX \xrightarrow{p'_X} X$ in $\mathcal{C}[\epsilon]^n$. Since $(\mathcal{C}, \mathscr{E})$ is a Frobenius category, there exists an admissible epic $P \xrightarrow{\pi} X$ in \mathcal{C} with P projective. Thus we get an admissible epic $T(P) \xrightarrow{p'_X T(\pi)} X$ in $\mathcal{C}[\epsilon]^n$. It implies that $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ has enough projectives. Dually, $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ has enough injectives. Finally, an application of Proposition 3.6 gives that the projectives and injectives in $\mathcal{C}[\epsilon]^n$ coincide. So $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ is a Frobenius category.

Conversely, let $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F)$ be a Frobenius category and $M \in \text{ob}\,\mathcal{C}$. Then there exists an admissible epic $P \xrightarrow{p} TM$ in $\mathcal{C}[\epsilon]^n$ with P projective. It follows from [14, Lemma 2.7] that there exists an admissible epic $TM \xrightarrow{\pi} M$ in \mathcal{C} . Thus we get an admissible epic $P \xrightarrow{\pi p} M$ in \mathcal{C} . It implies that \mathcal{C} has enough projectives. Dually, \mathcal{C} has enough injectives. With the aid of Proposition 3.6, we obtain that the projectives and injectives in \mathcal{C} coincide. Therefore \mathcal{C} is a Frobenius category. \Box

When \mathcal{C} is a Frobenius category, Happel showed that the stable category $\underline{\mathcal{C}}$ becomes a triangulated category ([22, Chapter I, Section 2]). In the following, we always assume that \mathcal{C} is an exact category with trivial exact structure \mathscr{E}^t (that is, the short exact sequences are split exact sequences) and the induced exact structure via the forgetful functor F in $\mathcal{C}[\epsilon]^n$ is denoted by \mathscr{E}^t_F , that is, a sequence

$$0 \to A \to B \to C \to 0$$

belongs to \mathscr{E}_F^t when it splits in \mathcal{C} .

Proposition 4.2. Let $(\mathcal{C}, \mathscr{E}^t)$ be an idempotent complete exact category. Then $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F^t)$ is a Frobenius category and $\mathcal{C}[\epsilon]^n$ is a triangulated category.

Proof. By the definition of \mathscr{E}_F^t , every object in \mathcal{C} is both projective and injective. Thus \mathcal{C} is a Frobenius category, and therefore $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F^t)$ is a Frobenius category by Lemma 4.1. Moreover we have that $\mathcal{C}[\epsilon]^n$ is a triangulated category by [22, Chapter I, Section 2]. \Box

Recall that a model structure on a category \mathcal{C} is three subcategories of \mathcal{C} called weak equivalences, cofibrations and fibrations that must satisfy some axioms, see [24, Definition 1.1.3] for details. Next we recall some notions from [20]. Given an exact category $(\mathcal{C}, \mathscr{E})$, by a thick subcategory of \mathcal{C} we mean a class of objects \mathcal{W} which is closed under direct summands and such that if two out of three of the terms in a short exact sequence are in \mathcal{W} , then so is the third. Suppose that $(\mathcal{C}, \mathscr{E})$ has a model structure. For an object $X \in \mathcal{C}$, we say that X is trivial if $0 \to X$ is a weak equivalence, X is cofibrant if $0 \to X$ is a cofibrant if $x \to 0$ is a fibration. Moreover, we say X is trivially cofibrant if it is both trivial and cofibrant, and X is trivially fibrant if it is both trivial and cofibrant.

Definition 4.3. ([20]) Let $(\mathcal{C}, \mathscr{E})$ be an exact category. An *exact model structure* on $(\mathcal{C}, \mathscr{E})$ is a model structure in which each of the following holds.

- (1) A map is a (trivial) cofibration if and only if it is an admissible monic with a (trivially) cofibrant cokernel.
- (2) A map is a (trivial) fibration if and only if it is an admissible epic with a (trivially) fibrant kernel.

The following corollary points out that the Frobenius category $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F^t)$ has an exact model structure.

Corollary 4.4. Let $(\mathcal{C}, \mathcal{E}^t)$ be an idempotent complete exact category. Then there exists an exact model structure on $(\mathcal{C}[\epsilon]^n, \mathcal{E}_F^t)$ given as follows.

- (1) A cofibration (resp. trivial cofibration) is an admissible monomorphism (resp. with a cokernel projective).
- (2) A fibration (resp. trivial fibration) is an admissible epimorphism (resp. with a kernel injective).
- (3) The weak equivalences are then the maps g which factor as g = pi where i is a trivial cofibration and p is a trivial fibration.

Proof. First of all, it follows from Lemma 3.3 that $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F^t)$ is an idempotent complete exact category. Since $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F^t)$ is a Frobenius category by Proposition 4.2, the class \mathcal{W} of all projective (=injective) objects forms a thick subcategory of $\mathcal{C}[\epsilon]^n$. It is trivial that $(\mathcal{W}, \mathcal{C}[\epsilon]^n)$ and $(\mathcal{C}[\epsilon]^n, \mathcal{W})$ are complete cotorsion pairs. Then the assertions hold by [20, Theorem 3.3 and Corollary 3.4]. \Box

Now we are able to give an explicit description of the translation functor Σ in $\mathcal{C}[\epsilon]^n$.

Corollary 4.5. Let $(\mathcal{C}, \mathscr{E}^t)$ be an idempotent complete exact category, and let $X = (X, \epsilon_X)$ and $Y = (Y, \epsilon_Y)$ be objects in $\mathcal{C}[\epsilon]^n$. Then we have (1) $\Sigma X = (X'', \epsilon_{X''})$, where $X'' = X^{\oplus n-1}$ and

$$\epsilon_{X''} = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ -\epsilon_X^{n-1} & -\epsilon_X^{n-2} & -\epsilon_X^{n-3} & \cdots & -\epsilon_X \end{pmatrix}_{(n-1)\times(n-1)}$$

.

(2) If $\underline{f}: X \to Y$, then $\Sigma \underline{f} = \underline{g}$, where

$$g = \begin{pmatrix} f & 0 & \cdots & 0 \\ 0 & f & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & f \end{pmatrix}_{(n-1) \times (n-1)}$$

and the standard triangle associated to \underline{f} is

$$X \xrightarrow{f} Y \xrightarrow{\underline{u}} \operatorname{Cone}(f) \xrightarrow{\underline{v}} \Sigma X$$

with

$$\operatorname{Cone}(f) = Y \oplus X^{\oplus n-1}, \epsilon_{\operatorname{Cone}(f)} = \begin{pmatrix} \epsilon_Y & f & 0 & \cdots & 0\\ 0 & 0 & 1 & \cdots & 0\\ \vdots & \vdots & \vdots & \ddots & \vdots\\ 0 & 0 & 0 & \cdots & 1\\ 0 & -\epsilon_X^{n-1} & -\epsilon_X^{n-2} & \cdots & -\epsilon_X \end{pmatrix}_{n \times n},$$
$$u = \begin{pmatrix} 1\\ 0\\ \vdots\\ 0 \end{pmatrix}, v = \begin{pmatrix} 0 & 1 & \cdots & 0 & 0\\ 0 & 0 & \cdots & 0 & 0\\ \vdots & \vdots & \ddots & \vdots & \vdots\\ 0 & 0 & \cdots & 0 & 1 \end{pmatrix}_{(n-1) \times n}$$

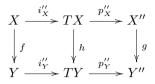
Proof. (1) In view of Proposition 4.2, $\underline{C}[\epsilon]^n$ is a triangulated category. We also know from Lemma 3.5 that there exists a short exact sequence

$$X \xrightarrow{i_X''} TX \xrightarrow{p_X''} X''$$

in $C[\epsilon]^n$. Since C is an exact category with trivial exact structure, every object in C is injective. By Proposition 3.6(2), we have that TX injective. Then one easily has $\Sigma X = (X'', \epsilon_{X''})$ by [22, Chapter I, Section 2.2].

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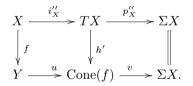
(2) For $f: X \to Y$, we have $f \epsilon_X = \epsilon_Y f$. Then there exists a commutative diagram



in $\mathcal{C}[\epsilon]^n$ with

$$h = \begin{pmatrix} f & 0 & \cdots & 0 \\ 0 & f & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & f \end{pmatrix}_{n \times n}, g = \begin{pmatrix} f & 0 & \cdots & 0 \\ 0 & f & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & f \end{pmatrix}_{(n-1) \times (n-1)}$$

So $\Sigma \underline{f} = \underline{g}$. By [22, Chapter I, Section 2.5], the standard triangle is constructed by the following push-out diagram



By the proof of Lemma 3.2, it suffices to construct a push-out along with f and i''_X in \mathcal{C} . Take

$$h' = \begin{pmatrix} 0 & 0 & \cdots & 0 & f \\ 0 & 0 & \cdots & 1 & -\epsilon_X \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 1 & \cdots & 0 & 0 \\ 1 & -\epsilon_X & \cdots & 0 & 0 \end{pmatrix}_{n \times n} : TX \to Y \oplus X^{\oplus n-1} (= \operatorname{Cone}(f))$$

in $\mathcal{C}[\epsilon]^n$. It is easy to see that $h'i''_X = uf$. Now let $M \in ob \mathcal{C}$, $\alpha = (\alpha_1, \alpha_2, \cdots, \alpha_n) : TX \to M$ and $\beta : Y \to M$ such that $\beta f = \alpha i''_X$. We have to show that there exists a unique morphism $\gamma = (\gamma_1, \gamma_2, \cdots, \gamma_n) : \operatorname{Cone}(f) \to M$ such that $\gamma u = \beta$ and $\gamma h = \alpha$. Let

$$\gamma_1 = \beta, \gamma_n = \alpha_1, \gamma_i = \alpha_{n-i+1} + \alpha_{n-i}\epsilon_X + \dots + \alpha_1\epsilon_X^{n-i}$$

for $2 \leq i \leq n-1$. It is the morphism, as desired. \Box

Definition 4.6. A morphism $f : (X, \epsilon_X) \to (Y, \epsilon_Y)$ in $\mathcal{C}[\epsilon]^n$ is called *null-homotopic* if there exists a morphism $s : X \to Y$ in \mathcal{C} such that

$$f = \epsilon_Y^{n-1}s + \epsilon_Y^{n-2}s\epsilon_X + \dots + s\epsilon_X^{n-1}.$$

For morphisms $f, g : X \to Y$ in $\mathcal{C}[\epsilon]^n$, we denote $f \sim g$ if f - g is null-homotopic. We denote by $\mathcal{K}(\mathcal{C}[\epsilon]^n)$ the homotopy category, that is, the category consisting of n-th differential objects such that the morphism set between $X, Y \in \mathcal{K}(\mathcal{C}[\epsilon]^n)$ is given by $\operatorname{Hom}_{\mathcal{K}(\mathcal{C}[\epsilon]^n)}(X,Y) = \operatorname{Hom}_{\mathcal{C}[\epsilon]^n}(X,Y) / \sim$.

We close this section with the following theorem.

Theorem 4.7. Let $(\mathcal{C}, \mathscr{E}^t)$ be an idempotent complete exact category. Then the stable category $\mathcal{C}[\epsilon]^n$ of the Frobenius category $(\mathcal{C}[\epsilon]^n, \mathscr{E}_F^t)$ is the homotopy category $\mathcal{K}(\mathcal{C}[\epsilon]^n)$.

Proof. It suffices to show that a morphism $f : X \to Y$ in $\mathcal{C}[\epsilon]^n$ is null-homotopic if and only if it factors through a projective object in $\mathcal{C}[\epsilon]^n$. Assume that $f : X \to Y$ is null-homotopic. By definition, there exists a morphism $s : X \to Y$ in \mathcal{C} such that

$$f = \epsilon_Y^{n-1} s + \epsilon_Y^{n-2} s \epsilon_X + \dots + s \epsilon_X^{n-1}.$$

Take

$$g = \begin{pmatrix} s \epsilon_X^{n-1} \\ \vdots \\ s \epsilon_X \\ s \end{pmatrix} : X \to TY.$$

Then g is morphism in $\mathcal{C}[\epsilon]^n$ and $f = p'_Y g$. Thus f factors through a projective object since TY is a projective object of $\mathcal{C}[\epsilon]^n$. Now suppose that f factors through a projective object. Then f must factor through TY and thus there exists a morphism

$$g = \begin{pmatrix} g_1 \\ g_2 \\ \vdots \\ g_n \end{pmatrix} : X \to TY$$

in $\mathcal{C}[\epsilon]^n$ such that

$$f = p'_Y g = g_1 + \epsilon_Y g_2 + \dots + \epsilon_Y^{n-1} g_n.$$

Since $\epsilon_{Y^{\oplus n}}g = g\epsilon_X$, we have $g_1\epsilon_X = 0$ and $g_{i+1}\epsilon_X = g_i$ for any $1 \leq i \leq n-1$. Set $s := g_n$. It follows that

$$f = \epsilon_Y^{n-1}s + \epsilon_Y^{n-2}s\epsilon_X + \dots + s\epsilon_X^{n-1}$$

and f is null-homotopic. \Box

5. The derived category

In this section, \mathcal{A} is an abelian category. We will introduce the derived category of $\mathcal{A}[\epsilon]^n$ as the Verdier quotient of the homotopy category $K(\mathcal{A}[\epsilon]^n)$ with respect to quasi-isomorphisms.

A sequence

$$0 \to X \to Y \to Z \to 0$$

in $\mathcal{A}[\epsilon]^n$ is exact if and only if

$$0 \to FX \to FY \to FZ \to 0$$

is exact in \mathcal{A} . $\mathcal{A}[\epsilon]^n$ also forms an abelian category. The next definition essentially generalizes the notion of homology used in [37].

Definition 5.1. We call $(X, \epsilon_X) \in \mathcal{A}[\epsilon]^n$ acyclic if

$$\mathrm{H}_{(r)}(X) := \operatorname{Ker} \epsilon_X{}^r / \operatorname{Im} \epsilon_X{}^{n-r} = 0$$

for any $1 \leq r \leq n-1$.

By the definition above, one easily see that any object $(X^{\oplus n}, \epsilon_{X^{\oplus n}})$ is acyclic.

Proposition 5.2. Let $(X, \epsilon_X), (Y, \epsilon_Y) \in \mathcal{A}[\epsilon]^n$ and $f, g \in \operatorname{Hom}_{\mathcal{A}[\epsilon]^n}(X, Y)$. If $f \sim g$, then $\operatorname{H}_{(r)}(f) = \operatorname{H}_{(r)}(g)$ for any $1 \leq r \leq n-1$.

Proof. If $f \sim g$, then there exists a morphism $s : X \to Y$ such that

$$f - g = \epsilon_Y^{n-1}s + \epsilon_Y^{n-2}s\epsilon_X + \dots + s\epsilon_X^{n-1}.$$

So for any $1 \leq r \leq n-1$, we have

$$(f-g)(\operatorname{Ker} \epsilon_X^r) = \epsilon_Y^{n-1} s(\operatorname{Ker} \epsilon_X^r) + \epsilon_Y^{n-2} s \epsilon_X(\operatorname{Ker} (\epsilon_X^r)) + \cdots + \epsilon_Y^{n-r+1} s \epsilon_X^{r-1}(\operatorname{Ker} \epsilon_X^r).$$

Thus $(f - g)(\operatorname{Ker} \epsilon_X^r) \subseteq \operatorname{Im} \epsilon_Y^{n-r}$, and therefore $\operatorname{H}_{(r)}(f) = \operatorname{H}_{(r)}(g)$. \Box

Lemma 5.3. Let

 $0 \to X \stackrel{f}{\longrightarrow} Y \stackrel{g}{\longrightarrow} Z \to 0$

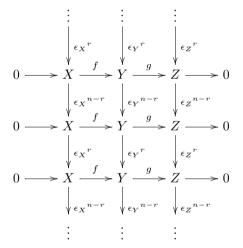
be an exact sequence in $\mathcal{A}[\epsilon]^n$. Then we have the following exact sequence

$$\cdots \xrightarrow{\partial} \mathrm{H}_{(r)}(X) \longrightarrow \mathrm{H}_{(r)}(Y) \longrightarrow \mathrm{H}_{(r)}(Z) \xrightarrow{\partial} \mathrm{H}_{(n-r)}(X) \longrightarrow \mathrm{H}_{(n-r)}(Y) \longrightarrow \cdots$$

Proof. For any *n*-th differential object (X, ϵ_X) , we may construct a complex

$$\cdots \stackrel{\epsilon_X \stackrel{n-r}{\longrightarrow}}{X} \stackrel{\epsilon_X \stackrel{r}{\longrightarrow}}{X} \stackrel{\epsilon_X \stackrel{n-r}{\longrightarrow}}{X} \stackrel{\epsilon_X \stackrel{n-r}{\longrightarrow}}{\cdots}$$

Consider the following diagram



in \mathcal{A} . Then the desired exact sequence follows from [42, Theorem 1.3.1]. \Box

We use $K^{a}(\mathcal{A}[\epsilon]^{n})$ to denote the full subcategory of $K(\mathcal{A}[\epsilon]^{n})$ consisting of all acyclic objects.

Proposition 5.4. $K^{a}(\mathcal{A}[\epsilon]^{n})$ is a thick triangulated subcategory of $K(\mathcal{A}[\epsilon]^{n})$.

Proof. By Corollary 4.5, there exists an exact sequence

$$0 \to X \to TX \to \Sigma X \to 0$$

in $\mathcal{A}[\epsilon]^n$. Note that TX is always acyclic. If X is acyclic, then ΣX is also acyclic by Lemma 5.3. It implies that $\mathrm{K}^a(\mathcal{A}[\epsilon]^n)$ is closed under Σ . Dually, $\mathrm{K}^a(\mathcal{A}[\epsilon]^n)$ is closed under Σ^{-1} . Let

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$$X \xrightarrow{\underline{f}} Y \xrightarrow{\underline{g}} Z \xrightarrow{\underline{h}} \Sigma X$$

be a triangle in $K(\mathcal{A}[\epsilon]^n)$ with X and Y acyclic. We have to prove that Z is acyclic as well. By Corollary 4.5, $Z \cong \operatorname{Cone}(f)$ in $K(\mathcal{A}[\epsilon]^n)$. It suffices to show that $\operatorname{Cone}(f)$ is acyclic by Proposition 5.2. Indeed, we have the following commutative diagram of exact sequences

in $\mathcal{A}[\epsilon]^n$. Then we get an exact sequence

$$0 \to X \to Y \oplus TX \to \operatorname{Cone}(f) \to 0$$

in $\mathcal{A}[\epsilon]^n$. Since TX is acyclic, we obtain that $\operatorname{Cone}(f)$ is also acyclic by Lemma 5.3. Obviously $\operatorname{K}^a(\mathcal{A}[\epsilon]^n)$ is closed under direct summands. The proof is finished. \Box

Definition 5.5.

- (1) A morphism $f : X \to Y$ of $K(\mathcal{A}[\epsilon]^n)$ is called a *quasi-isomorphism* if $H_{(r)}(f) : H_{(r)}(X) \to H_{(r)}(Y)$ is an isomorphism for any $1 \leq r \leq n-1$, or equivalently by Lemma 5.3, Cone(f) is acyclic.
- (2) The derived category of n-differential objects is defined as the quotient category

$$D(\mathcal{A}[\epsilon]^n) := K(\mathcal{A}[\epsilon]^n) / K^a(\mathcal{A}[\epsilon]^n).$$

Actually, in view of Definition 4.6, the homotopy category and derived category of *n*-differential objects in $\mathcal{A}[\epsilon]^n$ differ from that of complexes in \mathcal{A} .

By definition, a morphism in $K(\mathcal{A}[\epsilon]^n)$ is a quasi-isomorphism if and only if it is an isomorphism in $D(\mathcal{A}[\epsilon]^n)$. Let us present the following definitions in order to simplify some statements and notations.

Definition 5.6.

(1) We say that $X \in \text{ob} \operatorname{K}(\mathcal{A}[\epsilon]^n)$ is K-projective if $\operatorname{Hom}_{\operatorname{K}(\mathcal{A}[\epsilon]^n)}(X,Y) = 0$ for any $Y \in \operatorname{ob} \operatorname{K}^a(\mathcal{A}[\epsilon]^n)$. Dually we say that $X \in \operatorname{ob} \operatorname{K}(\mathcal{A}[\epsilon]^n)$ is K-injective if $\operatorname{Hom}_{\operatorname{K}(\mathcal{A}[\epsilon]^n)}(Y,X) = 0$ for any $Y \in \operatorname{ob} \operatorname{K}^a(\mathcal{A}[\epsilon]^n)$. We denote by $\operatorname{K}^p(\mathcal{A}[\epsilon]^n)$ (resp. $\operatorname{K}^i(\mathcal{A}[\epsilon]^n)$) the full subcategory of $\operatorname{K}(\mathcal{A}[\epsilon]^n)$ consisting of K-projective (resp. K-injective) n-th differential objects. (2) Assume that \mathcal{A} has enough projective and injective objects. A projective resolution (resp. injective resolution) of $X \in \operatorname{ob} \operatorname{K}(\mathcal{A}[\epsilon]^n)$ is a quasi-isomorphism $P_X \to X$ (resp. $X \to I_X$) with $P_X \in \operatorname{ob} \operatorname{K}^p(\mathcal{A}[\epsilon]^n)$ and $F(P_X)$ projective (resp. $I_X \in \operatorname{ob} \operatorname{K}^i(\mathcal{A}[\epsilon]^n)$ and $F(I_X)$ injective).

We have the following

Proposition 5.7.

- (1) If X is projective (resp. injective) in \mathcal{A} , then (X,0) (resp. (0,X)) is K-projective (resp. K-injective).
- (2) $K^{p}(\mathcal{A}[\epsilon]^{n})$ and $K^{i}(\mathcal{A}[\epsilon]^{n})$ are triangulated subcategories of $K(\mathcal{A}[\epsilon]^{n})$.

Proof. (1) Assume that X is projective and (Y, ϵ_Y) is acyclic. Take f to be a morphism from (X, 0) to (Y, ϵ_Y) in $\mathcal{A}[\epsilon]^n$. Then we have $\epsilon_Y f = 0$. Since X is projective and the sequence

$$Y \xrightarrow{\epsilon_Y} Y \xrightarrow{\epsilon_Y} Y \xrightarrow{\epsilon_Y} Y$$

is exact in \mathcal{A} , there exists a morphism $s: X \to Y$ such that $f = \epsilon_Y^{n-1} s$. Since $\epsilon_X = 0$, we have

$$f = \epsilon_Y^{n-1}s = \epsilon_Y^{n-1}s + \epsilon_Y^{n-2}s\epsilon_X + \dots + s\epsilon_X^{n-1}$$

and (X, 0) is K-projective. Dually, we get the other assertion.

(2) It is clear that $K^p(\mathcal{A}[\epsilon]^n)$ is closed under isomorphisms and translation. Now assume that

$$X \to Y \to Z \to \Sigma X$$

is a triangle in $K(\mathcal{A}[\epsilon]^n)$ with $X, Y \in ob K^p(\mathcal{A}[\epsilon]^n)$. For any $M \in ob K^a(\mathcal{A}[\epsilon]^n)$, applying the functor $\operatorname{Hom}_{K(\mathcal{A}[\epsilon]^n)}(-, M)$ yields the following exact sequence

$$\operatorname{Hom}_{\operatorname{K}(\mathcal{A}[\epsilon]^n))}(\Sigma X, M) \to \operatorname{Hom}_{\operatorname{K}(\mathcal{A}[\epsilon]^n))}(Z, M) \to \operatorname{Hom}_{\operatorname{K}(\mathcal{A}[\epsilon]^n))}(Y, M).$$

The end terms vanish by assumption, hence the middle term also vanishes, which implies that Z is K-projective. We conclude that $K^p(\mathcal{A}[\epsilon]^n)$ is a triangulated subcategory of $K(\mathcal{A}[\epsilon]^n)$. Dually, $K^i(\mathcal{A}[\epsilon]^n)$ is also a triangulated subcategory of $K(\mathcal{A}[\epsilon]^n)$. \Box

Recall from [33] that an abelian category \mathcal{A} is an *Ab4-category* (resp. *Ab4*-category*) provided that it has an arbitrary coproduct (resp. product) of objects and the coproduct (resp. product) of monomorphisms (resp., epimorphisms) is monic (resp. epic). The following lemma is crucial in proving Theorem 5.11.

Lemma 5.8.

- (1) If \mathcal{A} is an Ab4-category with enough projectives, then any $X \in \operatorname{ob} K(\mathcal{A}[\epsilon]^n)$ has a projective resolution.
- (2) If \mathcal{A} is an Ab4*-category with enough injectives, then any $X \in \text{ob } K(\mathcal{A}[\epsilon]^n)$ has an injective resolution.

Proof. (1) Let $X \in ob \operatorname{K}(\mathcal{A}[\epsilon]^n)$. Then there exists a sequence

$$\mathbf{X}:\cdots \xrightarrow{\epsilon_X} X \xrightarrow{\epsilon_X} X \xrightarrow{\epsilon_X} \cdots$$

with $\epsilon_X^n = 0$. It is a special N-complex in the language of [26]. Then by the proof of [26, Theorem 3.17], there exists an N-quasi-isomorphism $s : \mathbf{P} \to \mathbf{X}$ as follows:

with $\mathbf{P} \in \mathrm{K}^p_N(\mathcal{A})$ and P^i projective in \mathcal{A} . Thus (P, d) is an *n*-th differential object and $s: P \to X$ is a projective resolution of X.

(2) It is dual to (1). \Box

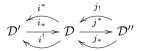
In order to demonstrate the key result in this section, we also need the following two definitions.

Definition 5.9. ([31]) Let \mathcal{T} be a triangulated category. A pair $(\mathcal{U}, \mathcal{V})$ of full triangulated subcategories of \mathcal{T} is called a *stable t-structure* in \mathcal{T} provided that $\operatorname{Hom}_{\mathcal{T}}(\mathcal{U}, \mathcal{V}) = 0$ and $\mathcal{T} = \mathcal{U} * \mathcal{V} := \{t \in \mathcal{T} \mid \text{there exists a triangle} \}$

$$u \to t \to v \to \Sigma u$$

with $u \in \mathcal{U}$ and $v \in \mathcal{V}$.

Definition 5.10. ([10]) We call a diagram



of triangulated categories and functors a *recollement* if the following conditions are satisfied.

- (1) $i_*, j_!$ and j_* are fully faithful.
- (2) $(i^*, i_*), (i_*, i^!), (j_!, j^*)$ and (j^*, j_*) are adjoint pairs.
- (3) There exist canonical embeddings $\operatorname{Im} j_{!} \hookrightarrow \operatorname{Ker} i^{*}$, $\operatorname{Im} i_{*} \hookrightarrow \operatorname{Ker} j^{*}$ and $\operatorname{Im} j_{*} \hookrightarrow \operatorname{Ker} i^{!}$, which are equivalences.

Let R be a ring and K(R) and D(R) its homotopy and derived categories respectively. The kernel of the quotient functor $Q : K(R) \to D(R)$ is precisely the full subcategory $K^{a}(R)$ of all exact complexes (modulo the chain homotopy relation). The localization

$$\mathrm{K}^{a}(R) \xrightarrow{i} \mathrm{K}(R) \xrightarrow{Q} \mathrm{D}(R)$$

forms the center arrows in the following recollement diagram (see [30, Example 4.14]).

$$\mathbf{K}^{a}(R) \underbrace{\overbrace{i}^{i}}_{K} \mathbf{K}(R) \underbrace{\overbrace{Q}^{Q}}_{K} \mathbf{D}(R).$$

Inspired by this result, we will give the main theorem in this section.

Theorem 5.11.

- (1) Assume that \mathcal{A} is an Ab4-category with enough projectives. Then we have a stable t-structure $(\mathcal{K}^p(\mathcal{A}[\epsilon]^n), \mathcal{K}^a(\mathcal{A}[\epsilon]^n))$ in $\mathcal{K}(\mathcal{A}[\epsilon]^n)$ and a triangle equivalence $\mathcal{K}^p(\mathcal{A}[\epsilon]^n) \simeq \mathcal{D}(\mathcal{A}[\epsilon]^n)$.
- (2) Assume that \mathcal{A} is an $Ab4^*$ -category with enough injectives. Then we have a stable t-structure $(K^a(\mathcal{A}[\epsilon]^n), K^i(\mathcal{A}[\epsilon]^n)$ in $K(\mathcal{A}[\epsilon]^n)$ and a triangle equivalence $K^i(\mathcal{A}[\epsilon]^n) \simeq D(\mathcal{A}[\epsilon]^n)$.
- (3) Under the assumptions of (1) and (2), there exists a recollement

$$\mathbf{K}^{a}(\mathcal{A}[\epsilon]^{n})\underbrace{\overbrace{i^{!}}^{i^{*}}}_{i^{!}}\mathbf{K}(\mathcal{A}[\epsilon]^{n})\underbrace{\overbrace{j^{*}}^{j^{*}}}_{j^{*}}\mathbf{D}(\mathcal{A}[\epsilon]^{n}) \ .$$

Proof. (1) It follows from Propositions 5.4 and 5.7 that both $K^p(\mathcal{A}[\epsilon]^n)$ and $K^a(\mathcal{A}[\epsilon]^n)$ are triangulated subcategories of $K(\mathcal{A}[\epsilon]^n)$. On the other hand, by Lemma 5.8(1), we have

$$\mathbf{K}(\mathcal{A}[\epsilon]^n) = \mathbf{K}^p(\mathcal{A}[\epsilon]^n) * \mathbf{K}^a(\mathcal{A}[\epsilon]^n).$$

Hence $(K^p(\mathcal{A}[\epsilon]^n), K^a(\mathcal{A}[\epsilon]^n))$ is a stable *t*-structure in $K(\mathcal{A}[\epsilon]^n)$. Furthermore, it is derived from [27] or [26, Lemma 1.6] that there exists a triangle equivalence $K^p(\mathcal{A}[\epsilon]^n) \simeq D(\mathcal{A}[\epsilon]^n)$.

(2) It is dual to (1).

(3) By (1) and (2), both $(K^p(\mathcal{A}[\epsilon]^n), K^a(\mathcal{A}[\epsilon]^n))$ and $(K^a(\mathcal{A}[\epsilon]^n), K^i(\mathcal{A}[\epsilon]^n)$ are stable *t*-structures. Now the assertion follows from [25, Proposition 1.8] (also cf. [31]). \Box

Remark 5.12. In general $D(\mathcal{A}[\epsilon]^n)$ is not at all easy to understand. Even it is difficult to calculate the morphisms in the derived category $D(\mathcal{A}[\epsilon]^n)$. However, in particular cases, since $K^p(\mathcal{A}[\epsilon]^n) \simeq D(\mathcal{A}[\epsilon]^n)$ by Theorem 5.11 and $K^p(\mathcal{A}[\epsilon]^n)$ is a full subcategory of $K(\mathcal{A}[\epsilon]^n)$, the class of maps between two objects in $D(\mathcal{A}[\epsilon]^n)$ actually forms a set, and those two triangle equivalences in Theorem 5.11 provide easier ways to represent morphisms in $D(\mathcal{A}[\epsilon]^n)$.

Corollary 5.13. Assume that \mathcal{A} is an Ab4-category with enough projectives. Then both $\mathrm{K}(\mathcal{A}[\epsilon]^n)$ and $\mathrm{D}(\mathcal{A}[\epsilon]^n)$ have arbitrary coproducts.

Proof. We first show that $K(\mathcal{A}[\epsilon]^n)$ has arbitrary coproducts. Let $\{X_i\}_{i \in I}$ be a family of objects in $K(\mathcal{A}[\epsilon]^n)$ and Y an object in $K(\mathcal{A}[\epsilon]^n)$. By the proof of Theorem 4.7, we get that a morphism $f: X \to Y$ is null-homotopic if and only if it factors through TY. Then we have the following commutative diagram with exact rows

It implies that

$$\operatorname{Hom}_{\mathcal{K}(\mathcal{A}[\epsilon]^n)}(\coprod_{i\in I} X_i, Y) \cong \prod_{i\in I} \operatorname{Hom}_{\mathcal{A}[\epsilon]^n}(X_i, Y)$$

and arbitrary direct sums exist in $K(\mathcal{A}[\epsilon]^n)$.

Next, since $K^p(\mathcal{A}[\epsilon]^n) \simeq D(\mathcal{A}[\epsilon]^n)$ by Theorem 5.11, it suffice to show that $K^p(\mathcal{A}[\epsilon]^n)$ has arbitrary coproducts. Let $\{X_i\}_{i \in I} \in K^p(\mathcal{A}[\epsilon]^n)$ and $Y \in K^a(\mathcal{A}[\epsilon]^n)$. Since

$$\operatorname{Hom}_{\mathcal{K}(\mathcal{A}[\epsilon]^n)}(\prod_{i\in I} X_i, Y) \cong \prod_{i\in I} \operatorname{Hom}_{\mathcal{K}(\mathcal{A}[\epsilon]^n)}(X_i, Y) = 0,$$

we have $\coprod_{i \in I} X_i \in \mathcal{K}^p(\mathcal{A}[\epsilon]^n)$. \Box

Given the fact that for any ring R, the derived category D(Mod R) is always compactly generated. It is natural to ask whether it is possible to get a similar result for $D(\mathcal{A}[\epsilon]^n)$. To answer this question, firstly let us recall the following definition.

Definition 5.14. ([40]) Let \mathcal{T} be a triangulated category with arbitrary coproducts. An object $C \in \mathcal{T}$ is called *compact* if for any family $\{Y_i\}_{i \in I}$ of objects of \mathcal{T} , the natural morphism

$$\prod_{i \in I} \operatorname{Hom}_{\mathcal{T}}(C, Y_i) \to \operatorname{Hom}_{\mathcal{T}}(C, \prod_{i \in I} Y_i)$$

is an isomorphism. The category \mathcal{T} is said to be *compactly generated* if there exists a set \mathcal{C} of compact objects satisfying the following property: if $X \in \mathcal{T}$ such that $\operatorname{Hom}_{\mathcal{T}}(C, X) = 0$ for any $C \in \mathcal{C}$, then X = 0.

To state the last theorem of this section, we need the following two results.

Lemma 5.15. Let $Y \in K^p(\mathcal{A}[\epsilon]^n)$ and $f : X \to Y$ be a quasi-isomorphism in $K(\mathcal{A}[\epsilon]^n)$. Then there exists a morphism $g : Y \to X$ in $\mathcal{A}[\epsilon]^n$ such that $fg \sim 1_Y$.

Proof. Consider the triangle

$$X \xrightarrow{f} Y \to \operatorname{Cone}(f) \to \Sigma X$$

in $K^p(\mathcal{A}[\epsilon]^n)$. Since f is a quasi-isomorphism, $\operatorname{Cone}(f)$ is acyclic. By applying the functor $\operatorname{Hom}_{K(\mathcal{A}[\epsilon]^n)}(Y, -)$ to this triangle, we get an exact sequence

$$\operatorname{Hom}_{\mathrm{K}(\mathcal{A}[\epsilon]^{n})}(Y,X) \xrightarrow{\operatorname{Hom}_{\mathrm{K}(\mathcal{A}[\epsilon]^{n})}(Y,f)} \operatorname{Hom}_{\mathrm{K}(\mathcal{A}[\epsilon]^{n})}(Y,Y) \longrightarrow \operatorname{Hom}_{\mathrm{K}(\mathcal{A}[\epsilon]^{n})}(Y,\operatorname{Cone}(f)).$$

As $Y \in \mathrm{K}^p(\mathcal{A}[\epsilon]^n)$, we have $\mathrm{Hom}_{\mathrm{K}(\mathcal{A}[\epsilon]^n)}(Y, \mathrm{Cone}(f)) = 0$. Thus there exists a morphism $g: Y \to X$ in $\mathcal{A}[\epsilon]^n$ such that $fg \sim 1_Y$. \Box

Proposition 5.16. Assume that \mathcal{A} is an Ab4-category with enough projectives. Then for any $X \in K^p(\mathcal{A}[\epsilon]^n)$ and $Y \in K(\mathcal{A}[\epsilon]^n)$, there exists an isomorphism of abelian groups

$$\operatorname{Hom}_{\mathrm{K}(\mathcal{A}[\epsilon]^n)}(X,Y) \cong \operatorname{Hom}_{\mathrm{D}(\mathcal{A}[\epsilon]^n)}(X,Y).$$

Proof. Consider the canonical map

$$G: \operatorname{Hom}_{\mathrm{K}(\mathcal{A}[\epsilon]^n)}(X, Y) \to \operatorname{Hom}_{\mathrm{D}(\mathcal{A}[\epsilon]^n)}(X, Y)$$

defined by $G(f) = f/1_X$. If $G(f) = f/1_X = 0$, then by Lemma 5.8(1), there exists a roof

$$X \stackrel{s}{\Leftarrow} X' \stackrel{0}{\longrightarrow} Y$$

such that s is a quasi-isomorphism, which is equivalent to the roof

$$X \stackrel{1_X}{\Leftarrow} X \stackrel{f}{\longrightarrow} Y.$$

Hence we have $fs \sim 0$. It follows from Lemma 5.15 that there exists a morphism $g: X \to X'$ such that $sg \sim 1_X$. Thus $f \sim 0$. On the other hand, let $f/s \in \text{Hom}_{D(\mathcal{A}[\epsilon]^n)}(X,Y)$, that is, it has the form

$$X \stackrel{s}{\Leftarrow} Z \stackrel{f}{\longrightarrow} Y.$$

By Lemma 5.15 again, there exists a morphism $g: X \to Z$ such that $sg \sim 1_X$. Then we obtain that $f/s = fg/1_X = G(fg)$ and G is an isomorphism. \Box

We end this section with the following result.

Theorem 5.17. Assume that \mathcal{A} is an Ab₄-category with a compact projective generator. Then $D(\mathcal{A}[\epsilon]^n)$ is a compactly generated triangulated category.

Proof. Let G be a compact projective generator in \mathcal{A} . Firstly, $D(\mathcal{A}[\epsilon]^n)$ has arbitrary coproducts by Corollary 5.13. For any $1 \leq i \leq n-1$, we use $T^i(G)$ to denote the *n*-th differential module (G^i, ϵ_{G^i}) , where

$$\epsilon_{G^{i}} := \begin{pmatrix} 0 & 0 & 0 & \cdots & 0 \\ 1 & 0 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix}_{i \times i.}$$

Claim. For any $X \in K(\mathcal{A}[\epsilon]^n)$ and $1 \leq i \leq n-1$, we have

$$\operatorname{Hom}_{\mathcal{K}(\mathcal{A}[\epsilon]^n)}(T^i(G), X) \cong \mathcal{H}_{(i)}(\operatorname{Hom}_{\mathcal{A}}(G, X)).$$

Given $f = (f_1, f_2, \cdots, f_i) \in \operatorname{Hom}_{\mathcal{A}[\epsilon]^n}(T^i(G), X)$, we define

$$\theta : \operatorname{Hom}_{\mathcal{A}[\epsilon]^n}(T^i(G), X) \to \operatorname{H}_{(i)}(\operatorname{Hom}_{\mathcal{A}}(G, X))$$

via $\theta(f) = f_1 + \text{Im} \operatorname{Hom}_{\mathcal{A}}(G, \epsilon_X^{n-i})$. Since the equality $\epsilon_X f = f \epsilon_{G^i}$ holds, we immediately get $\epsilon_X f_i = 0$ and $\epsilon_X f_j = f_{j+1}$ for any $1 \leq j \leq i-1$. Thus

$$\epsilon_X^i f_1 = \epsilon_X^{i-1} f_2 = \dots = \epsilon_X f_i = 0.$$

It means that θ is well defined. Let $f + \operatorname{Im} \operatorname{Hom}_{\mathcal{A}}(G, \epsilon_X^{n-i}) \in \operatorname{H}_{(i)}(\operatorname{Hom}_{\mathcal{A}}(G, X))$. Then $\epsilon_X^i f = 0$. Set $f_1 := f$ and $f_j := \epsilon_X^{j-1} f_1$ for any $2 \leq j \leq i$. Then $\theta(f_1, f_2, \dots, f_i) = f + \operatorname{Im} \operatorname{Hom}_{\mathcal{A}}(G, \epsilon_X^{n-i})$, which implies that θ is surjective. If $\theta(f) = f_1 + \operatorname{Im} \operatorname{Hom}_{\mathcal{A}}(G, \epsilon_X^{n-i}) = 0$, then there exists $h \in \operatorname{Hom}_{\mathcal{A}}(G, X)$ such that $\epsilon_X^{n-i}h = f_1$. Set $g_i := h$ and $s := (0, 0, \dots, g_i) : T^i(G) \to X$. It is easily seen that

$$f = \epsilon_X^{n-1} s + \epsilon_X^{n-2} s \epsilon_{G^i} + \dots + s \epsilon_{G^i}^{n-1}$$

and f is null-homotopic. The claim is proved.

By the above claim, we know that $T^i(G)$ is K-projective for any $1 \leq i \leq n-1$ since G is projective. If $X \in D(\mathcal{A}[\epsilon]^n)$ such that $\operatorname{Hom}_{D(\mathcal{A}[\epsilon]^n)}(T^i(G), X) = 0$ for any $1 \leq i \leq n-1$. Then it is deduced from Proposition 5.16 that

$$\operatorname{Hom}_{\operatorname{D}(\mathcal{A}[\epsilon]^n)}(T^i(G), X) \cong \operatorname{Hom}_{\operatorname{K}(\mathcal{A}[\epsilon]^n)}(T^i(G), X) \cong \operatorname{H}_{(i)}(\operatorname{Hom}_{\mathcal{A}}(G, X)) = 0.$$

Since G is a generator, it implies X = 0 in $D(\mathcal{A}[\epsilon]^n)$ by [21, Lemma 3.1]. Let $\{X_j\}_{j \in J}$ be a family of objects of $D(\mathcal{A}[\epsilon]^n)$. Using Proposition 5.16 and the above claim again, we have

$$\operatorname{Hom}_{\mathcal{D}(\mathcal{A}[\epsilon]^{n})}(T^{i}(G), \coprod_{j \in J} X_{j}) \cong \operatorname{Hom}_{\mathcal{K}(\mathcal{A}[\epsilon]^{n})}(T^{i}(G), \coprod_{j \in J} X_{j})$$
$$\cong \operatorname{H}_{(i)}((\operatorname{Hom}_{\mathcal{A}}(G, \coprod_{j \in J} X_{j}))$$
$$\cong \coprod_{j \in J} \operatorname{H}_{(i)}((\operatorname{Hom}_{\mathcal{A}}(G, X_{j}) \text{ (since } G \text{ is compact}))$$
$$\cong \coprod_{j \in J} \operatorname{Hom}_{\mathcal{K}(\mathcal{A}[\epsilon]^{n})}(T^{i}(G), X_{j})$$
$$\cong \coprod_{j \in J} \operatorname{Hom}_{\mathcal{D}(\mathcal{A}[\epsilon]^{n})}(T^{i}(G), X_{j}).$$

So $T^i(R)$ is a compact object in $D(\mathcal{A}[\epsilon]^n)$, proving the assertion. \Box

As an immediate consequence of Theorem 5.17, we get the following

Corollary 5.18. $D((Mod R)[\epsilon]^n)$ is a compactly generated triangulated category.

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