

### 3.7.12 The description of a completion and its central extension for an infinite rank affine algebra

- Let  $A = (a_{ij})_{i,j \in \mathbb{Z}}$  be an infinite generalized Cartan matrix, s.t. every row (and hence column) contains only a finite number of non-zero entries.

Let  $\mathfrak{g}'(A) = \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}'_i$  be the associated Kac-Moody algebra.

Denoted by  $\bar{\mathfrak{g}}(A) = \{ u = \sum_{i \in \mathbb{Z}} a_i e_i \mid a_i \in \mathbb{C}, e_i \in \mathfrak{g}'_i \text{ s.t. } \# \{ j \mid j \neq i, a_j \neq 0 \} < \infty \}$ .

$\bar{\mathfrak{g}}(A)$  is the subspace of  $\bigoplus_{i \in \mathbb{Z}} \mathfrak{g}'_i \oplus \bigoplus_{i \in \mathbb{Z}} \mathfrak{g}'_i$ .  $P_g$  简根 直和的完备化是直积, 相互独立.

不同高度有根多个, 同一高度只有一个.

- we can extend the bracket from  $\mathfrak{g}'(A)$  to  $\bar{\mathfrak{g}}(A)$  by linearity.

- The Lie algebra  $\bar{\mathfrak{g}}(A)$  contains  $\bar{\mathfrak{t}}_A := \bigoplus_{i \in \mathbb{Z}} \mathfrak{t}_i$ , the completed Cartan subalgebra

- Denoted by  $\bar{\mathfrak{sl}}_{2n}$  the Lie algebra of all complex matrices  $(a_{ij})_{i,j \in \mathbb{Z}}$ , s.t.  $a_{ij} = 0$  for  $|i-j| > n$ , with the usual bracket.

- If  $A$  is an infinite affine matrix of  $X_{\text{ho}} = A_{\text{ho}}$  (resp  $B_{\text{ho}}$ ,  $C_{\text{ho}}$ , or  $D_{\text{ho}}$ ) we denote  $\bar{\mathfrak{g}}(A)$  by  $\bar{\mathfrak{t}}_{\text{ho}}$

- Clearly  $\bar{\mathfrak{t}}_{\text{ho}} \cong \bar{\mathfrak{sl}}_{2n}$  (resp. the subalgebra of  $\bar{\mathfrak{t}}_{\text{ho}}$ , which consists of matrices preserving the bilinear form  $B, C, D$ )

- Claim: A completed infinite rank affine algebra, denoted by  $\bar{\mathfrak{t}}_{\text{ho}}$  is the central extension of  $\bar{\mathfrak{t}}_{\text{ho}}$ .

- (1) The Lie algebra  $\bar{\mathfrak{t}}_{\text{ho}}$  has a 2-cocycle  $\psi_0$  defined by:

$$\textcircled{1} \quad \psi_0(E_{ij}, E_{ji}) = 1 = -\psi_0(E_{ji}, E_{ij}), \text{ if } i \leq 0 \text{ and } j \geq 1. \quad \checkmark.$$

$$\textcircled{2} \quad \psi_0(E_{ij}, E_{mn}) = 0 \quad \text{otherwise.} \quad \circ.$$

Ex 7.17: Let  $L_n = \sum_{i=1}^n \mathbb{Z} v_i$  be the standard lattice in the Euclidean space  $\mathbb{R}^n$ . Define on  $L_n \times L_n$  a bimultiplicative function  $\varepsilon$  by letting

$$\varepsilon(v_j, v_k) = \begin{cases} 1 & \text{if } j \leq k \\ -1 & \text{if } j > k. \end{cases}$$

Show that by restricting to root lattices  $\mathcal{Q}(A_r) \subset L_{r+1}$  and  $\mathcal{Q}(D_r) \subset L_r$  we obtain an asymmetry function.

- Verify: (Co1):  $\psi_0(a, b) = -\psi_0(b, a)$ .

$$(Co2): \psi_0([E_a, b], c) + \psi_0([b, c], a) + \psi_0([c, a], b) = 0, \quad (a, b, c \in \bar{\mathfrak{t}}_{\text{ho}}).$$

if more than two of  $a, b, c$  in  $\textcircled{2}$  condition, then  $\checkmark$ .

if  $a = E_{ij}$ ,  $b = E_{j,i}$ ,  $i \leq 0$  and  $j \geq 1$ ,  $c = E_{mn}$ .

$$\text{then } \underbrace{\psi_0([E_{ij}, E_{ji}], E_{mn})}_{= \psi_0(E_{ii} - E_{jj}, E_{mn})} + \underbrace{\psi_0([E_{ji}, E_{mn}], a)}_0 + \underbrace{\psi_0([E_{mn}, E_{ij}], b)}_0.$$

$$= \psi_0(E_{ii} - E_{jj}, E_{mn}) = 0.$$

- (2) If  $A = A_{\text{ho}}$  (resp  $B_{\text{ho}}$ ,  $C_{\text{ho}}$  or  $D_{\text{ho}}$ ) put  $r = 1$  (resp  $\frac{1}{2}, 1$ , or  $\frac{1}{2}$ ). and let  $\bar{\mathfrak{t}}_{\text{ho}} = \bar{\mathfrak{t}}_{\text{ho}} \oplus CK$  be the Lie algebra with the following bracket:

$$[a \oplus \lambda K, b \oplus \mu K] = (ab - ba) \oplus r \psi_0(a, b) K, \quad (a, b \in \bar{\mathfrak{t}}_{\text{ho}}; \lambda, \mu \in \mathbb{Q}).$$

$$(K = \sum_{i \in \mathbb{Z}} a_i v_i).$$

The elements  $e_i, f_i \in g'(X_0) \subset X_m$  are called Chevalley generators of  $X_0$ , and  $\tilde{H} = \tilde{H}_0 + \mathbb{C}K$  is called the Cartan subalgebra, the element of the set:

$\tilde{\alpha}^v = \sum \tilde{\alpha}_i^v = \alpha^v + K, \tilde{\alpha}_i^v = \alpha_i^v$  for  $i \neq 0$  are called simple coroots. we have the usual relations:

$(X_0, \tilde{H}, \tilde{\alpha}, \tilde{\alpha}^v)$  is the quadruple associated to matrix A.

$$\begin{aligned} & -n < i, j < n \\ g'(A) & \text{ is simply connected. boundary. } g'(A) \text{ is the Lie algebra of } g(A) \text{ is simply.} \\ \bar{g}(A) & \text{ is simply.} \end{aligned}$$

$$e_i = \tilde{e}_{i,i+1}, \quad f_i = \tilde{e}_{i+1,i} \quad \text{in } f_i \text{ and } g'(A)$$

We have the usual relations:

$$\begin{aligned} \textcircled{1} [ \tilde{\alpha}_i^v, \tilde{\alpha}_j^v ] &= 0, \quad \textcircled{2} [ e_i, f_j ] = \delta_{ij} \tilde{\alpha}_i^v, \quad \textcircled{3} [ \tilde{\alpha}_i^v, e_j ] = \alpha_{ij} e_j \\ \textcircled{4} [ \tilde{\alpha}_i^v, f_j ] &= -\alpha_{ij} f_j, \quad (\text{ad } e_i)^{1-\alpha_{ij}} e_j = 0, \quad (\text{ad } f_i)^{1-\alpha_{ij}} f_j = 0. \end{aligned}$$

$$\begin{aligned} \text{verify: } \textcircled{1} [ \tilde{\alpha}_0^v, \tilde{\alpha}_j^v ] &= [\alpha_0^v + K, \alpha_j^v] = [\alpha_0^v, \alpha_j^v] + 24(\alpha_0^v, \alpha_j^v)K \\ &= [E_{00} - E_{11}, E_{jj} - E_{j+1,j+1}] + 0 = 0. \end{aligned}$$

$$\begin{aligned} \textcircled{2} [ \tilde{\alpha}_0^v, f_0 ] &= [E_{01}, E_{10}] = E_{00} - E_{11} = \alpha_0^v. \\ \textcircled{3} [ \alpha_0^v + K, e_j ] &= [\alpha_0^v, e_j] + 24(\alpha_0^v, e_j)K \\ &= (E_j - E_{j+1}) (\alpha_0^v) e_j + 24(\alpha_0^v, e_j)K \\ &= (E_j - E_{j+1}) (E_{00} - E_{11}) e_j + 24(E_{00} - E_{11}, E_{j,j+1})K \\ &= \alpha_{0j} e_j. \end{aligned}$$

Remark 7.12:

1. The Chevalley generators  $\{e_i, f_i\}_{i \in I}$  generate a subalgebra  $(\bigoplus_{i \in I} \mathbb{C} \tilde{\alpha}_i^v) \oplus (\bigoplus_{i \in I} \mathbb{C} g_i)$  of  $X_0$ , which is isomorphic to  $g'(A)$ .

$$g'(A) \cong n_- \oplus H' \oplus n_+, \quad \text{where } H' = \bigoplus_{i \in I} \mathbb{C} \tilde{\alpha}_i^v.$$

2. The principal gradation of  $g'(A)$  extends in a natural way to a gradation of  $X_0$ , called the principal gradation.

$$\text{Recall } g_{j(1)} = \bigoplus_{\alpha: \text{ht} \alpha = j} g_\alpha, \quad \text{Note } g_{0(1)} = \bigoplus_{i \in I} \mathbb{C} \tilde{\alpha}_i^v = H'.$$

$$\begin{aligned} g_{-1(1)} &= \bigoplus_{i \in I} \mathbb{C} f_i, \quad g_{1(1)} = \bigoplus_{i \in I} \mathbb{C} e_i. \\ \text{so that } n_\pm &= \bigoplus_{i \in I} g_{i(1)}. \end{aligned}$$

3. The canonical central elements are as follows:

$$a_{00}: \quad K = \sum_{i \in I} \tilde{\alpha}_i^v$$

$$b_{00}: \quad K = \tilde{\alpha}_0^v + 2 \sum_{i \in I} \tilde{\alpha}_i^v$$

$$c_{00}: \quad K = \sum_{i \in I} \tilde{\alpha}_i^v$$

$$d_{00}: \quad K = \tilde{\alpha}_0^v + \tilde{\alpha}_1^v + 2 \sum_{i \in I} \tilde{\alpha}_i^v$$

$$\underline{A^T S^v = S^{v^T} A = 0},$$

$$\text{• } a_{\infty} : A = \left[ \begin{array}{cccccc} \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ -1 & 1 & -2 & 1 & -2 & \cdots \\ -1 & 2 & -2 & 2 & -2 & \cdots \\ -1 & 2 & -2 & 2 & -2 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ -1 & 2 & -2 & 2 & -2 & \cdots \end{array} \right] \quad s^v = ( \cdots, 1, \cdots, 1, \cdots )^T$$

$$\text{从而 } B = \begin{bmatrix} 2 & -2 \\ -1 & 2 & -1 \\ -1 & \ddots & \ddots & \ddots \\ -1 & \ddots & \ddots & 2 \\ -1 & \ddots & \ddots & -1 \end{bmatrix} \quad \delta^v = (1, 2, \dots)^\top$$

$$C^0 : C = \left[ \begin{array}{cccc} 2 & -1 & & \\ -2 & 2 & -1 & \\ 0 & -1 & 2 & \\ & & 1 & \end{array} \right] \quad S^v = (1, 1, 1, \dots)^T$$

$$\text{d}^{\infty} : D = \begin{bmatrix} 2 & 0 \\ 0 & 2 & -1 \\ -1 & 1 & 2 & -1 \\ -1 & 2 \end{bmatrix} \quad \delta^v = (1, 1, 2, \dots)^T$$

$d_{00} = \langle \alpha_0^v \alpha_0 \rangle.$

## Chapter 8 : Twisted Affine Algebras and Finite order Automorphisms.

38.1. Let  $\mathfrak{g}$  be a simple finite-dimensional Lie algebra and let  $\sigma$  be an automorphism of  $\mathfrak{g}$  satisfying  $\sigma^m = 1$  for a positive integer  $m$ . Then each eigenvalue of  $\sigma$  has the form  $\varepsilon^j$ ,  $j \in \mathbb{Z}/m\mathbb{Z}$ , where  $\varepsilon = \exp \frac{2\pi i}{m}$ .

Since  $\sigma$  is diagonalizable, we have the decomposition:

$$g = \bigoplus_{j=3/m_2} g_j \quad (8.1,1)$$

where  $g_j$  is the eigenspace of  $\sigma$  for the eigenvalue  $\epsilon_j$ .  
 i.e.  $g_j = \{x \in g \mid \sigma(x) = \epsilon_j x\}$ .

$(8, 1, 1)$  is a  $\mathbb{Z}/m\mathbb{Z}$ -graduation of  $g$ .

$[x, y] \in [g_i, g_j]$ ,  $\sigma[x, y] = [\sigma(x), \sigma(y)] = [\sigma^i x, \sigma^j y] = \epsilon^{i+j} [x, y]$ .  
 Then  $[g_i, g_j] \subset g_{i+j}$ .

Conversely, if a  $\mathbb{Z}/m\mathbb{Z}$ -gradation (8.11) is given, let  $\sigma(x_j) = \epsilon^j x_j$  where  $x_j \in g_j$  & satisfies  $\sigma^m = 1$ . Then  $\sigma \in \text{Aut}(g)$ .

$\forall [x, y] \in [g_i, g_j]$ , verify  $\sigma[x, y] = [\sigma(x), \sigma(y)]$ .  
 $\sigma[x, y] = \epsilon^{i+j} [x, y] = [\epsilon^i x, \epsilon^j y]$ .

isomorphism :

Let  $H_0$  be a maximal ad-diagonalizable subalgebra of the algebra  $g_0$ .

Lemma 8.1.

a) Let  $(\cdot, \cdot)$  be a nondegenerate invariant bilinear form on  $g$ . Then  $(g_i | g_j) = 0$  if  $i+j \not\equiv 0 \pmod{m}$ . and  $g_i$  and  $g_j$  are nondegenerately paired if  $i+j \equiv 0 \pmod{m}$

proof: Given  $x \in g_i$ ,  $y \in g_j$ , we have  $(x|y) = (\sigma(x)|\sigma(y)) = \epsilon^{i+j} (x|y)$ .  
 then if  $i+j \not\equiv 0 \pmod{m}$ ,  $\epsilon^{i+j} \neq 1 \Rightarrow (x|y) = 0 \Rightarrow (g_i | g_j) = 0$ .

If  $i+j \equiv 0 \pmod{m}$ , Assume  $(\cdot, \cdot)|_{g_i+g_j}$  is degenerate.

Then  $\exists x_i, y_j \neq 0$  where  $x_i \in g_i$ ,  $y_j \in g_j$ , s.t.  $\forall x'_i \in g_i$ ,  $y'_j \in g_j$  we have  $(x_i + y_j | x'_i + y'_j) = 0$ .

Then  $\forall s = \sum s_i \in g$ ,  $s_i \in g_i$ , we have  $(x_i + y_j | s) = 0$ . Contradiction

b) The centralizer  $Z$  of  $H_0$  in  $g$  is a Cartan subalgebra of  $g$ .

c)  $g_0$  is a reductive subalgebra of  $g$ .

proof: Note that  $Z = H + \sum g_\alpha$ , where  $H$  is a Cartan subalgebra of  $g$ .  
 Containing  $H_0$ ,  $g_\alpha$  are the root spaces with respect to  $H$ , and  
 $\alpha \in \Delta$  s.t.  $\alpha|_{H_0} = 0$ .

$$\forall h' + \sum g_\alpha \in H + \sum g_\alpha. [h' + \sum g_\alpha, h] = [h', h] + \sum [\epsilon_\alpha h, g_\alpha] = 0 + \sum \epsilon_\alpha \alpha(h) g_\alpha = 0 \Rightarrow H + \sum g_\alpha \subset Z$$

Conversely, with respect to  $H$ ,  $g$  has root space decomposition.

$$g = H \oplus (\bigoplus g_\beta)$$

then  $\forall z \in Z$ ,  $z = h' + \sum g_\beta$ .

Since  $[z, h] = 0 \Rightarrow z \in H + \sum g_\alpha$ .

$$z = \sum_{\alpha} g_\alpha + [g_\alpha, g_\alpha]$$

It follows that  $Z = H + s$  where  $s$  is a  $\sigma$ -invariant semisimple subalgebra (i.e.  $s$  is the derived subalgebra of  $Z$ ). ?

$s$  is simple

$$[g_\alpha, g_\beta] \subset g_{\alpha+\beta}$$

$$s = \{x \in g \mid [x, h] = 0, \forall h \in H_0\}$$

$$K(x, y) = \text{tr}[\text{ad}x \text{ad}y].$$

$$K(\alpha, \alpha) = \alpha^2.$$

$$K(x, h) = 0 \Rightarrow x = 0.$$

$$K(x_i^\vee, x_i^\vee) = \text{tr}(\text{ad}x_i^\vee \text{ad}x_i^\vee) \neq 0.$$

$$\left[ \begin{array}{c} \cdot \\ \cdot \end{array} \right]$$

$$\underline{g = \text{diag}}$$

$$s \cap g_0 = 0.$$

$$\forall x_i \in s \cap g_0 \text{ if } x_i \neq 0.$$

$$[x_i, h] = [x_i, h] x_i = 0, \quad \forall h \in H_0.$$

$$\Rightarrow x_i \in H_0. \text{ by } H_0 = C_{H_0}(g_0) \quad \text{contradiction}$$

$$\sigma(a, b) = x_i = [a, b] \quad a \in s, b \in g.$$

$$\sigma(a, b) = [\sigma(a), \sigma(b)] = [a, b].$$

Thus (8.1.1) induces a  $\mathbb{Z}/m\mathbb{Z}$  gradation  $s = \bigoplus s_i$ , s.t.  $s_0 = \text{span}\{y\}$ . Numbering the elements of  $\mathbb{Z}/m\mathbb{Z}$  by corresponding integers in the set  $N_m = \{0, \dots, m-1\}$  and defining  $s_a = s_b$  if  $b \in N_m$  and  $a \equiv b \pmod{m}$ .

$$\text{claim: } s_n = s_{-n} = 0.$$

We know  $s_0 = 0$ , let  $n > 0$ ,  $\& x \in s_n$ . Then  $(\text{ad}x)^r s_i \subset s_{nr+i}, \forall n \in N_m$ . Select a positive integer  $r$ , s.t.  $nr-1 < m-i \leq nr \Rightarrow nr+i < m+n$ . Then  $nr+i = m+t$  with  $0 \leq t < n$ .

So by the inductive assumption  $s_{nr+i} = s_t = 0$ .

Thus  $\text{ad}x|_s$  is nilpotent

Similarly  $\text{ad}y|_s$  is nilpotent if  $y \in s_n$ .

But  $[s_n, s_{-n}] \subset s_0 = 0$ , so  $\text{ad}x$  and  $\text{ad}y$  commute on  $s$ .

by nilpotency,  $\text{tr}_s(\text{ad}x \text{ad}y) = 0$ .

Since  $n-n=0$ , then  $\text{tr}_s(\text{ad}x \text{ad}y) = K(x, y) = 0$ .

by a)  $\Rightarrow x = y = 0$ .

Thus  $s_n = 0 \Rightarrow s = 0$

$\mathfrak{g} = \mathfrak{h}$  is a Cartan subalgebra of  $\mathfrak{g}$ . #