

§ 9.3.

Remark 9.3:

B & G Category.

A module  $V$  from the category  $\mathcal{O}$  is generated by its primitive vectors even as a  $U(-)$ -module.

Claim: a weight vector  $v \in V$  is not primitive iff

$$v \in U(n_-)U_0(n_+)v \quad (= \text{the submodule generated by } n_+(v))$$

where  $U_0(g)$  denotes the augmentation ideal  $gU(g)$  of  $U(g)$ .

the augmentation map  $\varepsilon_L: U(L) \rightarrow \mathbb{F}$  is the unique algebra homomorphism induced by  $\varepsilon_L(x) = 0$  for every  $x \in L$ .

The kernel of  $\varepsilon_L$  is called the augmentation ideal of  $L$ .

$$\ker \varepsilon_L = LU(L) = U(L)L$$

$$U(L) = \mathbb{C}1 \oplus \ker \varepsilon_L.$$

(1) verify: a weight vector  $v \in V$  is not primitive iff  $v \in \langle n_+(v) \rangle$

proof: " $\Rightarrow$ " Assume  $v$  is not primitive, then submodule  $U$  in  $V$ .

if  $v \notin U$ , then  $n_+(v) \notin U$ .

if  $v \notin \langle n_+(v) \rangle$ , but  $n_+(v) \in \langle n_+(v) \rangle$  Contradiction.

then  $v \in \langle n_+(v) \rangle$ .

" $\Leftarrow$ " Assume  $v \in \langle n_+(v) \rangle$ .

if  $v$  is primitive, i.e.  $\exists U < V$  s.t.  $v \notin U$ ,  $n_+(v) \in U$ .

then  $\langle n_+(v) \rangle \subset U$ . Contradiction.

(2) verify  $U(n_-)U_0(n_+)v = \langle n_+(v) \rangle$

proof: we have known:  $U_0(n_+) = n_+U(n_+) = U(n_+)n_+$ .

$$\begin{aligned} \text{then } U(n_-)U_0(n_+)v &= U(n_-)U(n_+)n_+(v) = U(n_-)U(n_+)U(H)(n_+v) \\ &= U(g)n_+(v). \end{aligned}$$

(3)  $V$  is generated by its primitive vector as  $U(n_-)$ -module.

proof: let  $U$  be the  $U(n_-)$ -submodule generated by the primitive vectors in  $V$ .

since for each weight vector  $v \in V$ , we have.

$$U(g(A))v = U(n_-)U(H)U(n_+)v = U(n_-)U(n_+)v.$$

$$= U(n_-)(1 + U_0(n_+))v = \underline{U(n_-)v + U(n_-)U_0(n_+)v}.$$

We have known  $V$  is generated its primitive vectors as  $g(A)$ -module then we can deduce  $V$  is generated as  $U(g)$ -module by  $U$  and the  $U(n_-)$  submodule by  $U_0(n_+)v$  for all primitive  $v \in V$ .

Assume  $V \neq U$ . there is a primitive  $v$  s.t.  $U_0(n_+)v \notin U$ .  
let  $v$  have weight  $\lambda$ . then there is a weight vector  $u_1 \in U_0(n_+)$  with  $u_1v \notin U$ .  $\Rightarrow u_1v$  is not primitive. in  $V$ . then we have

$u_1v \in U(n_-)U_0(n_+)u_1v$ . hence  $U(n_+)u_1v \notin U$ . so there is a

weight vector  $u_2 \in U_0(n_+)$  with  $u_2u_1v \notin U$ .

we obtain a sequence of weight vectors  $u_1, u_2, \dots$  in  $U_0(n_+)$  s.t.  $u_k \dots u_1v \notin U$  for each  $k$ .

let the weight of  $u_i$  be  $\mu_i$ , then the weight of  $u_k \dots u_1v$  is  $\lambda + \mu_1 + \dots + \mu_k$ . we have  $\lambda < \lambda + \mu_1 < \lambda + \mu_1 + \mu_2 < \dots$ .

$$v \in \mathcal{O}.$$

$$\Rightarrow V = U.$$

"this lemma"

Lemma 9.6.  $\text{End}_{g(A)} L(\lambda) = \mathbb{C} I_{L(\lambda)}$ .

proof: let  $a \in \text{End}_{g(A)} L(\lambda)$  and  $v_\lambda$  is a highest-weight vector of  $L(\lambda)$ . then by prop 9.5 b), we have

$$a(v_\lambda) = \lambda v_\lambda \text{ for some } \lambda \in \mathbb{C}$$

[since  $\dim(v_\lambda) = 1$ , i.e.  $V_\lambda = \mathbb{C} v_\lambda$ , if  $a(v_\lambda) = v_\alpha$ ,  $\alpha \neq \lambda$ .  
 $\Rightarrow n_+ a(v_\lambda) = a(n_+(v_\lambda)) = 0 = n_+(v_\alpha) \neq 0$ . Contradiction.]

but then  $a(u(v_\lambda)) = \lambda u(v_\lambda)$  for  $u \in U(g)$ .  
 hence  $a = \lambda I_{L(\lambda)}$   $U(g(A))v_\lambda = V$ .

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### §9.4. A contravariant bilinear form.

let  $L(\lambda)^*$  be the  $g(A)$ -module contragredient to  $L(\lambda)$ .

$$\begin{array}{ccc} g(A) \times L(\lambda) & \longrightarrow & L(\lambda) \\ g \cdot x & \longmapsto & gx \end{array} \quad ; \quad \begin{array}{ccc} g(A) \times L(\lambda)^* & \longrightarrow & L(\lambda)^* \\ g \cdot f & \longmapsto & gf : x \mapsto -fg(x) \end{array}$$

then  $L(\lambda)^* = \prod_{\lambda} (L(\lambda)_\lambda)^*$

the subspace  $L^*(\lambda) := \bigoplus_{\lambda} (L(\lambda)_\lambda)^*$  is submodule of the  $g(A)$ -module  $L(\lambda)^*$

the module  $L^*(\lambda)$  is irreducible and for  $v \in (L(\lambda)_\lambda)^*$  one has  
 $n_-(v) = 0$ ,  $h(v) = -\langle \lambda, h \rangle v$  for  $h \in \mathfrak{h}$ .

since  $\dim L(\lambda)_\lambda < \infty$ , then  $L(\lambda) \xrightarrow{\cong} L^*(\lambda)$ .  
 $L(\lambda)_\lambda \xrightarrow{\quad} (L(\lambda)_\lambda)^*$

$\Rightarrow L^*(\lambda)$  is irreducible.  $\Rightarrow L^*(\lambda) \in \mathcal{O}$ .

and  $n_-(v)(v_\lambda) = -v(n_-(v_\lambda)) = 0$  for  $v_\lambda \in L(\lambda)_\lambda$

$$h(v)(v_\lambda) = -v(h(v_\lambda)) = -\langle \lambda, h \rangle v(v_\lambda).$$

$$\Rightarrow h(v) = -\langle \lambda, h \rangle v.$$

such a module is called an irreducible module with lowest weight  $-\lambda$ .

we have a bijection between  $\mathfrak{h}^*$  and irreducible lowest-weight modules:  $\lambda \mapsto L^*(-\lambda)$ .

Denote by  $\pi_\lambda$  the action of  $g(A)$  on  $L(\lambda)$ , and the new action  $\pi_\lambda^*$  on the space  $L(\lambda)$  by:

$$\pi_\lambda^*(g)v = \pi_\lambda(w(g))v.$$

where  $w$  is the Chevalley involution of  $g(A)$ .

it is clear that  $(L(\lambda), \pi_\lambda^*)$  is an irreducible  $g(A)$ -module with lowest weight  $-\lambda$ .

the pairing between  $L(\lambda)$  and  $L^*(\lambda)$  gives us a nondegenerate bilinear form  $B_\lambda$  on  $L(\lambda)$  i.e.

$$(9.4.2) \quad B(g(x), y) = -B(x, w(g)(y)) \quad \text{for } g \in \mathfrak{g}(A), x, y \in L(\lambda).$$

A bilinear form on  $L(\lambda)$  satisfies (9.4.2) is called a **contravariant bilinear form**.

prop 9.4. Every  $\mathfrak{g}(A)$ -module  $L(\lambda)$  carries a unique up to constant factor nondegenerate contravariant bilinear form  $B$ . This form is symmetric and  $L(\lambda)$  decomposes into an orthogonal direct sum of weight space w.r.t. this form.

proof: • The existence of  $B$ .

Since  $L(\lambda) \cong L^*(\lambda)$  as  $\mathfrak{g}(A)$ -module.

then we have  $f: L(\lambda) \rightarrow L^*(\lambda)$  as  $\mathfrak{g}(A)$ -module isomorphism.

Define:  $B(x, y) = f(x)(y)$  where  $x, y \in L(\lambda)$

$$B(g(x), y) = -B(x, w(g)(y)) \Leftrightarrow f(g(x))(y) = -f(x)(w(g)(y)).$$

for  $g \in \mathfrak{g}(A), x, y \in L(\lambda)$ .

$$\text{since } f(g(x)) = g \cdot f(x), \Rightarrow f(g(x))(y) = g \cdot f(x)(y) = -f(x)(g(y)).$$

$$\& \text{ we have } \pi_\lambda^*(g) \cdot v = \pi_\lambda(w(g)) \cdot v.$$

$$\Rightarrow -f(x)g(y) = -f(x)(w(g)(y)).$$

• The uniqueness follows from lem. 9.3.  
since  $L(\lambda) \cong L^*(\lambda)$ , then  $f = \phi \text{Id}_{L(\lambda)}$ .

$$\underline{B(x, y) = \phi x^*(y) = \phi y^*(x) = B(y, x)}$$

$$\underline{f(x)(y) = \phi f(y)(x)}$$

$$f(v_\lambda)(v_\lambda) = \phi f(v_\lambda)(v_\lambda)$$

$$L(\lambda) \longrightarrow L^*(\lambda)$$

$$-\lambda \longmapsto -\lambda.$$

$$v_\lambda \longmapsto \kappa v_\lambda^*$$

• The fact that  $B(L(\lambda)_\lambda, L(\lambda)_\mu) = 0$ , if  $\lambda \neq \mu$ .  
follows from (9.4.2) for  $g \in \mathfrak{h}, x \in L(\lambda)_\lambda, y = L(\lambda)_\mu$ .

$$\begin{aligned} B(g(x), y) &= B(\lambda x, y) = \lambda B(x, y) = -B(x, -g(y)) \\ &= B(x, \mu(y)) = \mu B(x, y). \end{aligned}$$

$$\Rightarrow B(x, y) = 0 \Rightarrow B(L(\lambda)_\lambda, L(\lambda)_\mu) = 0$$

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• A more explicit way to introduce the contravariant bilinear form is the following:

let  $V$  be a highest  $\mathfrak{u}$ -weight  $\mathfrak{g}(A)$ -module with a fixed highest  $\mathfrak{u}$ -weight vector  $v_\lambda$ .

Given  $v \in V$ , we define its **expectation value**  $\langle v \rangle \in \mathbb{C}$ .

$$\text{by: } v = \langle v \rangle v_\lambda + \sum_{\alpha \in Q_+ \setminus \{0\}} v_{\lambda-\alpha} \quad \text{where } v_{\lambda-\alpha} \in V_{\lambda-\alpha}.$$

Extend the negative chevalley involution  $-w$  to an

anti-involution  $\hat{w}$  of  $V(g(A))$   $\hat{w} \quad -\hat{w}$   
 $\hat{w}(xy) = \hat{w}(y)\hat{w}(x).$

Due to  $U(g(A)) = U(n_-)U(H)U(n_+)$ . we can see that

$$(9.4.3) \quad \langle \hat{w}(a) v_\lambda \rangle = \langle a v_\lambda \rangle, \quad a \in U(\mathfrak{g}(A))$$

we follow that  $\langle \hat{w}(a) a' v_n \rangle$  is symmetric in  $a, a' \in U(g_n)$   
 i.e.  $\langle \hat{w}(a) a' v_n \rangle = \langle \hat{w}(a') a v_n \rangle$ .  
 $\langle a a' v_n \rangle = \langle a' a v_n \rangle$ .

hence the formula:  $B(a'v_n, a'v_n) = \langle \hat{v}_0(a) a'v_n \rangle$ . gives  
a well-defined symmetric bilinear form on  $V$ . which  
is contravariant and normalized by  $B(v_n, v_n) = 1$ .

Contravariant: i.e.  $B(g(x), y) = B(x, \hat{w}(g)(y))$ .

$$\begin{array}{ccc} \text{"} & & \text{"} \\ \langle \hat{w}(g(x))y \rangle & & \langle \hat{w}(x)\hat{w}(g)(y) \rangle \\ \text{"} & & \text{"} \\ \langle g(x)y \rangle & = & \langle \hat{w}(g(x))y \rangle. \end{array}$$

§ 9.5. complete reducibility lemma.

Lemma 9.5: Let  $V$  be a  $g(A)$ -module from the category  $\mathcal{O}$ . If for any two primitive weights  $\lambda$  and  $\mu$  of  $V$  the inequality  $\lambda \geq \mu$  implies  $\lambda = \mu$ , then the module  $V$  is completely reducible (i.e.  $V$  decomposes into a direct sum of irreducible modules).

proof: set  $V^0 = \{v \in V \mid n_+(v) = 0\}$ .

This is  $\mathfrak{h}$ -invariant. hence we have the weight space decomposition  $\mathfrak{g}^0 = \bigoplus_{\lambda \in L} \mathfrak{g}_{\lambda}^0$ . where all elements from  $L$  are primitive weights.

Let  $\lambda \in L$  and  $v \in V_\lambda$ ,  $v \neq 0$ . Then  $g(A)$ -module  $U(g)(v)$  is irreducible by prop 9.3 b).  $U(g)(v) \cong L(\lambda)$ .

we have  $V(n-1) \cap V_\mu^0 \neq 0$  for some  $\mu < \lambda$ . Contradiction.  
the  $g(A)$ -submodule  $V'$  of  $V$  generated by  $V^0$  is completely reducible.

- claim,  $V' = V$ .

if this is not the case, we consider the  $\mathfrak{g}(A)$ -module  $V/\mathfrak{g}'$ . Then there is a weight vector  $v \in V$  of weight.



$\mu$  s.t.  $\nu \notin \nu'$  but  $e_i(\nu) \in \nu'$  and  $e_i(\nu) \neq 0$  for some  $i$ .  
 But since  $\nu \in \mathcal{O}$ , there is  $\lambda \in L$  s.t.  $\lambda \geq \mu + \alpha_i$  and  $\lambda > \mu$ . which is contradicts the assumption of the lem.

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### § 9.6. Submodule for composition series.

lem 9.6:

Let  $\nu \in \mathcal{O}$  and  $\lambda \in \mathcal{H}^*$ , Then there is a filtration by a sequence of submodules

$$\nu = \nu_t \supset \nu_{t-1} \supset \dots \supset \nu_1 \supset \nu_0 = 0 \text{ and.}$$

a subset  $J \subset \{1, \dots, t\}$ , s.t.

(i). if  $j \in J$ , then  $\nu_j / \nu_{j-1} \cong L(\lambda_j)$  for some  $\lambda_j \geq \lambda$ .

(ii) if  $j \notin J$ , then  $(\nu_j / \nu_{j-1})_\mu = 0$  for every  $\mu \geq \lambda$ .

proof: Let  $a(\nu, \lambda) = \sum_{\mu \geq \lambda} \dim \nu_\mu$ . we prove the lemma by

induction on  $a(\nu, \lambda)$ .

• let  $a(\nu, \lambda) = 0$ . then  $0 = \nu_0 \subset \nu_1 = \nu$  is the required filtration with  $J = \emptyset$ .

• let  $a(\nu, \lambda) > 0$ .

这个 weight 肯定比  $\lambda$  大 weight to  $\nu$

Choose a maximal element  $\mu \in p(\nu)$  s.t.  $\mu \geq \lambda$ .

Choose a weight vector  $v \in \nu_\mu$ , and let  $U = U(\mathfrak{g})v$ .

clearly,  $U$  is a highest weight module.

by prop 9.2 c). implies that  $U$  contains a maximal proper submodule  $\bar{U}$ .

Since  $\varphi: \mathfrak{m}(\mu) \rightarrow U$ , i.e.  $U \cong \mathfrak{m}(\mu) / \ker \varphi$ .

since:  $\mathfrak{m}(\mu) / \mathfrak{m}'(\mu) \cong U / \bar{U} \Rightarrow \mathfrak{m}(\mu) / \ker \varphi \cong \bar{U}$ .

we have  $0 \subset \bar{U} \subset U \subset \nu$ ,  $U / \bar{U} \cong L(\mu)$ ,  $\mu \geq \lambda$ .

since  $a(\bar{U}, \lambda) < a(\nu, \lambda)$  and  $a(\nu/U, \lambda) < a(\nu, \lambda)$ .

we induction to get a suitable filtration for  $\bar{U}$  and  $\nu/U$

$$\bar{U}_0 = 0 \subset \bar{U}_1 \subset \dots \subset \bar{U}_s = \bar{U}.$$

$$0 = \nu/U \subset \nu_1/U \subset \dots \subset \nu_t/U = \nu/U. \quad ; \quad \nu_j/U / \nu_{j-1}/U \cong \nu_j / \nu_{j-1}.$$

$$\Rightarrow 0 \subset \bar{U}_1 \subset \dots \subset \bar{U}_{s-1} \subset \bar{U} \subset \nu_1 \subset \dots \subset \nu_t = \nu.$$

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• let  $\nu \in \mathcal{O}$  and  $\mu \in \mathcal{H}^*$ . Fix  $\lambda \in \mathcal{H}^*$ , s.t.  $\mu \geq \lambda$ , and construct

a filtration given by lem. 9.6.

Def: Denote by  $[V: L(\mu)]$  the number of times  $\mu$  appears among  $\{\lambda_j | j \in J\}$ , this number is called the multiplicity of  $L(\mu)$  in  $V$ .

Rem: (1)  $[V: L(\mu)]$  is independent of the filtration furnished by lem 9.6 and of the choice of  $\lambda$ .

(2)  $L(\mu)$  has a nonzero multiplicity in  $V$  iff  $\mu$  is a primitive weight of  $V$ .

proof: " $\Rightarrow$ " if  $[V: L(\mu)] \neq 0$ , there is  $j \in J$ .

s.t.  $V_j/V_{j-1} \cong L(\mu)$ .

let  $v + V_{j-1}$  is the weight vector of  $\mu$  in  $V_j/V_{j-1}$  then  $v$  is primitive and  $\mu$  is a primitive weight.

$\Gamma \varphi: V_j/V_{j-1} \rightarrow L(\mu)$ ,  $\varphi(n+(v+V_{j-1})) = n + \varphi(v+V_{j-1}) = \varphi(v_{j-1})$

" $\Leftarrow$ " if  $\mu$  is a primitive weight, then there is  $v \in V_\mu$ .

and a submodule  $U$  of  $V$ , s.t.  $v \notin U$ ,  $n_+(v) \subset U$ .

hence  $v+U \in (V/U)_\mu$  and  $U(g(A))(v+U) = U(n_-)(v+U)$ .  
is a highest weight module with highest weight  $\mu$ .

Consider a filtration for  $V/U$  &  $U$  satisfying lem 9.6. Combining them we get the required filtration of  $V$  and  $L(\mu)$  is factor module.

Rem (1):  $[V: L(\mu)]$  is independent of filtration.

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Rem: (1)  $[V: L(\mu)]$  is independent of the filtration furnished by lem 9.6 and of the choice of  $\lambda$ .

proof: We first observe that a filtration with respect to  $\lambda$  is also a filtration with respect to  $\mu$  when  $\mu > \lambda$ . Also the



multiplicity of  $\mathbb{L}(\mu)$  in such a filtration is the same whether it is regarded as a filtration with respect to  $\lambda$  or  $\mu$ .

Thus it will be sufficient to take two filtrations with respect to  $\mu$  and show that  $\mathbb{L}(\mu)$  has the same multiplicity in each.

The following variant of the proof of the Jordan-Hölder theorem achieves this.

$$\text{let } V = V_0 \supset V_1 \supset \dots \supset V_{l_1} = 0 \quad (1)$$

$$V = V'_0 \supset V'_1 \supset \dots \supset V'_{l_2} = 0 \quad (2)$$

be two such filtrations of lengths  $l_1, l_2$ .

We shall use induction on  $\min(l_1, l_2)$ .

Suppose  $\min(l_1, l_2) = 1$ . Then either  $V$  is irreducible and the two filtrations are identical, or  $\mu$  is not a weight of  $V$  and  $\mathbb{L}(\mu)$  does not appear in either filtration (by lemma 6).

Thus suppose  $\min(l_1, l_2) > 1$ . We suppose first that  $V_1 = V'_1$ . We then consider the two filtrations of  $V_1$ .

$$V_1 \supset \dots \supset V_{l_1} = 0 \quad ; \quad V'_1 \supset \dots \supset V'_{l_2} = 0$$

By induction they give the same multiplicity for  $\mathbb{L}(\mu)$ , and the filtrations for  $V$  are obtained by adding the additional factor  $V/V_1$ , which is the same for both (by induction).

② We may therefore suppose that  $V_1 \neq V'_1$ . Suppose first that  $V_1 \subset V'_1$ . Then  $V/V_1$  is not irreducible and so  $\mu$  is not a weight of  $V/V_1$ . Thus neither  $V/V_1$  or  $V/V'_1$  is isomorphic to  $\mathbb{L}(\mu)$ .

Let  $V_1 \supset V_2 \supset \dots \supset V_m = 0$  be a filtration of  $V_1$  of the required type with respect to  $\mu$ , we then consider the filtrations

$$V \supset V_1 \supset V_2 \supset \dots \supset V_m = 0 \quad (3)$$

$$V \supset V'_1 \supset V_2 \supset V_3 \supset \dots \supset V_m = 0 \quad (4)$$

these are filtrations of  $V$  of the required type with respect to  $\mu$ .

$\mathbb{L}(\mu)$  has the same multiplicity in filtrations (1) & (3) since they have the same leading term  $V_1$ . Similarly  $\mathbb{L}(\mu)$  has the same multiplicity in filtration (3) & (4) (by induction) so  $\mathbb{L}(\mu)$  has the same multiplicity in filtration (2) & (4) since none of  $V/V_1, V/V'_1, V'_1/V_1$  is isomorphic to  $\mathbb{L}(\mu)$ . Thus  $\mathbb{L}(\mu)$  has the same multiplicity in filtrations (1) & (2) as required.

③ We may therefore assume that neither of  $V_1, V'_1$  is contained in the other. Let  $U = V_1 \cap V'_1$  and choose a filtration of  $U$  of the required kind with respect to  $\mu$ . This has form  $U \supset U_1 \supset \dots \supset U_m = 0$ . We then consider the filtrations

$$V \supset V_1 \supset U \supset U_1 \supset \dots \supset U_m = 0 \quad (5)$$

$$V \supset V'_1 \supset U \supset U_1 \supset \dots \supset U_m = 0 \quad (6)$$



these are filtrations of  $V$  of the required type w.r.t.  $\mu$ . this is clear since:  $V/U \cong (V_1 + V'_1)/V'_1$ ,  $V'_1/U \cong (V_1 + V'_1)/V_1$ .

Now  $L(\mu)$  has the same multiplicity in filtration (1) & (5) and the same multiplicity in filtrations (2) & (6) since the leading terms are the same & it is therefore sufficient to show that  $L(\mu)$  has the same multiplicity in (5) & (6). These filtrations differ only in the first two factors.

If  $V_1 + V'_1 = V$  then we have:  $V/V_1 \cong V'_1/U$ ,  $V/V'_1 \cong V_1/U$ .

as required.

If  $V_1 + V'_1 \neq V$ , then  $V/V_1$  and  $V/V'_1$  are not irreducible. In this case  $\mu$  is not a weight of  $V/V_1$  or  $V/V'_1$ , so is not a weight of  $V'_1/U$ . Thus none of  $V/V_1$ ,  $V_1/U$ ,  $V/V'_1$ ,  $V'_1/U$  is isomorphic to  $L(\mu)$ .

this completes the proof