

Recall:  $G := \text{Mp}_2(\mathbb{R}) \times N$

we define a (right) action of  $G$  on holomorphic functions on  $\mathcal{Y}$  as follows:  $(A, j) \in \text{Mp}_2(\mathbb{R})$ ,  $n \in N$ .

$$F|_{(A,j)}(z, \bar{z}, u) = j^{(u)-1} F(A \cdot (z, \bar{z}, u)), \quad b = \dim(\mathbb{H}_R), \\ F|_n(v) = F(nv).$$

obviously:

$$(13.4.4) \quad D(F)|_n = D(F|_n) \quad \text{for } n \in N.$$

- prop 13.4:

a)  $\widetilde{\text{Th}}_k|_{(A,j)} = \widetilde{\text{Th}}_k$  if the lattice  $M$  is even and  $A \in \text{SL}_2(\mathbb{Z})$   
or if the lattice  $M$  is odd and  $A \in \mathbb{P}.$

b)  $\widetilde{\text{Th}}_k|_{(A,j)} = \widetilde{\text{Th}}_k$  if  $\dots$   
 $\dots$

proof: Recall:  $\text{Th}_k = \{F \in \widetilde{\text{Th}}_k \mid D(F) = 0\}$ ,

a) follows from Lem 13.4 and (13.4.4)

$$(T1) \quad \widetilde{\text{Th}}_k|_{(A,j)}(nv) = j^{-b} \widetilde{\text{Th}}_k(A \cdot n \cdot v) = j^{-b} \widetilde{\text{Th}}_k(n \cdot A \cdot v) = j^{-b} \widetilde{\text{Th}}_k(A \cdot v). \\ = \widetilde{\text{Th}}_k|_{(A,j)}(v).$$

$$(T2) \quad \widetilde{\text{Th}}_k|_{(A,j)}(v + \alpha s) = \widetilde{\text{Th}}_k|_{(A,j)}((0, 0, \alpha)(v)) = j^{-b} \widetilde{\text{Th}}_k(A(0, 0, \alpha)v) \\ = j^{-b} \widetilde{\text{Th}}_k((0, 0, \alpha)A \cdot v) = j^{-b} e^{2\pi i \alpha} \widetilde{\text{Th}}_k(A \cdot v) = e^{2\pi i \alpha} \widetilde{\text{Th}}_k|_{(A,j)}(v) \quad \text{by}$$

b). it suffices to check that:

$$D(\theta_\lambda|_{(T,1)}) = 0, \quad D(\theta_\lambda|_{(S,1)}) = 0.$$

$$\textcircled{1} \quad \text{by } \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) \cdot (z, \bar{z}; u) = \left( \frac{az+b}{cz+d}, \frac{\bar{z}}{cz+d}, u - \frac{(z\bar{z})^2}{cz+d} \right).$$

$$\text{we have } D(\theta_\lambda|_{(T,1)})(z, \bar{z}, u) = D(\theta_\lambda|_{(T,1)}(z, \bar{z}, u)) \\ = D(\theta_\lambda(z+1, \bar{z}, u)) = (D\theta_\lambda)(z+1, \bar{z}, u) = 0$$

$$\theta_\lambda|_{(T,1)}(z, \bar{z}, u) = j^{-b} \theta_\lambda(z+1, \bar{z}, u)$$

$$\theta_\lambda(z+1, \bar{z}, u) = \theta_\lambda|_n(z, \bar{z}, u).$$

need:  $D(j^{-b} e^{2\pi i \lambda z}(u - \frac{(z\bar{z})^2}{2\pi})) = 0$  where  $v \in \mathbb{R}$ .

$$= \frac{1}{4\pi v} (2\pi u \partial_z - \sum_{i=1}^4 (\partial_{z_i})^2) (j^{-b} e^{2\pi i \lambda z}). \\ = \dots = 0$$

$$\theta_\lambda|_{(S,1)}(z, \bar{z}, u) = j^{-b} \theta_\lambda(-\frac{1}{2}, \frac{\bar{z}}{2}, u - \frac{(z\bar{z})^2}{2\pi}) \\ \text{by (13.25)} = j^{-b} e^{2\pi i \lambda(u - \frac{(z\bar{z})^2}{2\pi})} \sum_{v \in M + \frac{1}{2}\mathbb{Z}} e^{-2\pi i \frac{(z-v)^2}{2\pi} + 2\pi i \lambda(v + \frac{1}{2})} \\ = \sum_{v \in M + \frac{1}{2}\mathbb{Z}} j^{-b} e^{2\pi i \lambda(u - (z - v)^2/2\pi)}$$

Then  $D(\theta_\lambda|_{(z, \bar{z}, u)}, (z, \bar{z}, u)) = 0$ .

since  $S$  and  $T$  generate  $\mathfrak{sl}_2(\mathbb{B})$  and  $S$  and  $T^2$  gen  $T_\alpha$ . #

$$(13.4.5) \quad \theta_\lambda|_{(2, 0, 0)} = e^{2\pi i(\alpha|\lambda)} \theta_\lambda \text{ for } \alpha \in k^*M^*$$

$$(13.4.6) \quad \theta_\lambda|_{(0, \alpha, 0)} = \theta_{\lambda-\alpha} \text{ for } \alpha \in k^*M^*$$

proof: since  $(\lambda, 0, 0)(v) = p_\lambda(v) = v + 2\pi i \alpha = 2\pi i(z + \lambda - z_0 + us)$   
 i.e.  $(\lambda, 0, 0)(z, \bar{z}, u) = (z, \bar{z} + \lambda, u)$ .

Then by (13.2.5)

$$\begin{aligned} \theta_\lambda|_{(2, 0, 0)}(v) &= \theta_\lambda(z, \bar{z} + \lambda, u) \\ &= e^{2\pi i \alpha u} \sum_{r \in M} e^{2\pi i k(r)} + 2\pi i k(r + \lambda) \\ &= e^{2\pi i \alpha u} \sum_{r \in M} e^{2\pi i k(r/\lambda)} \cdot e^{2\pi i k(r) + 2\pi i k(r/\lambda)} \\ &= \sum_{r \in M} e^{2\pi i k(r + \frac{\lambda - z_0}{\lambda} + (\alpha|z_0) s) | \lambda)} \\ &= e^{2\pi i \alpha | \lambda)} \sum_{r \in M} e^{2\pi i k(r/\lambda)} \in \mathbb{Z}^{2\pi i \mathbb{B}}. \end{aligned}$$

Then  $\theta_\lambda|_{(2, 0, 0)} = e^{2\pi i(\alpha|\lambda)} \theta_\lambda \text{ for } \alpha \in k^*M^*$ .

Take  $\lambda \in P_k$ , s.t.  $(\lambda|\lambda) = 0$ . Then:

$$\theta_\lambda|_{(0, \alpha, 0)}(v) = \sum_{p \in M} e^{(tp(\lambda) + t\alpha(v))} = \sum_{p \in M} e^{(tp(t-\alpha(\lambda))) | v)}$$

$$= \theta_{t-\alpha(\lambda)}(v) = \theta_{\lambda-\alpha}(v)$$

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Cor 13.4. The function  $\theta_\lambda$  (define by (13.2.1)) is characterized among the holomorphic functions on  $\Gamma$  by properties (T1), (T2)  
 (13.3.2) and (13.4.5)

proof: Recall (13.2.1)  $\theta_\lambda = e^{-\frac{(\lambda|\lambda)}{2}} \sum_{\alpha \in M} e^{2\pi i \alpha}$

(T1): (T2)

$$(13.3.2) \quad D(\theta_\lambda) = 0. \quad (13.4.5) \quad \underline{\text{---}}$$

prop/ob:  $\{\theta_\lambda \mid \lambda \in P_k \text{ mod } \mathbb{B}\}$  is a  $\mathbb{C}$ -basis of  $T_\alpha$ .

①  $\theta_\lambda \in \{ \text{ID} \} + \mathbb{B}$ .

② by (T1) & (T2)  $\Rightarrow$  function  $C \subset \widetilde{T}_h = \bigoplus_{p \geq 0} \widetilde{T}_{hp}$

by (13.3.2)  $\Rightarrow$  function  $C \subset T_h$

by prop/ob  $\Rightarrow$  function  $C$  if  $\theta_\lambda$  is in  $C$ .

by (13.4.5).  $\Rightarrow \theta_\lambda$ .

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### § 13.5.

Denote by  $n = n(M)$  be the least positive integer such that  $nM^* \subset M$  and  $n(r/r) \leq 28$  for all  $r \in M^*$ .

Theorem 13.5. Let  $\lambda \in P_k$ . Then.

$$(13.5.1) \quad \Theta_\lambda \left( -\frac{1}{2}, \frac{z}{2}, u - \frac{(z+1)}{2k} \right) = \\ (z+2)^{\frac{1}{2k}} \left| \frac{m^*}{2m} \right|^{-\frac{1}{2}} \times \sum_{\mu \in P_k \text{ mod } (km + cs)} e^{\frac{-2\pi i}{k} (\bar{\mu} | \bar{\lambda})} \Theta_\mu(z, \bar{z}, u)$$

$$(13.5.2) \quad \Theta_\lambda(z+1, \bar{z}, u) = e^{2\pi i z/2} \Theta_\lambda(z, \bar{z}, u)$$

Furthermore, if  $A \in P(kn)$  (resp  $P(kn) \cap P_0$ ) when  $n$  is even (resp. odd), then

$$(13.5.3) \quad \Theta_\lambda(A, j) = v(A, j; k) \Theta_\lambda$$

where  $v(A, j; k) \in \mathbb{C}$  and  $|v(A, j; k)| = 1$ .

Note that :  $z \cdot (z, \bar{z}, u) = \left( -\frac{1}{2}, \frac{z}{2}, u - \frac{(z+1)}{2k} \right)$ ,  
 $T \cdot (z, \bar{z}, u) = (z+1, \bar{z}, u)$ .

Proof: step 1: 间接证明条件.

Using that : for  $g \in G = M_{P_k}(R) \times N$ .

$$\Theta_\lambda|_g = (\Theta_{kn0}|_{(0, -\frac{\bar{\lambda}}{k}, 0)})|_g = (\Theta_{kn0}|_g) \mid_{g^{-1}(0, -\frac{\bar{\lambda}}{k}, 0)g}$$

it suffices to prove the theorem for  $\bar{\lambda} = 0$ .

† by  $\Theta_\lambda|_{(0, 0, 0)} = \Theta_{\lambda-k\lambda}$  for  $\lambda \in k^* M^*$ ; (13.4.6)

$$\Theta_{kn0}|_{(0, -\frac{\bar{\lambda}}{k}, 0)} = \Theta_{kn0+\bar{\lambda}}, \quad (kn0+\bar{\lambda} | s) = k.$$

$$\text{and by (13.2.1)} \quad \Theta_{kn0+\bar{\lambda}} = e^{-\frac{cs(s)}{2k}s} \sum_{\alpha \in M} e^{2\pi i c(\bar{\lambda} + \bar{\alpha})} = \Theta_\lambda$$

Note  $(0, 0, 0)g = v$ . Then  $\Theta_\lambda|_g = \Theta_{kn0}|_g$ .

Note also that :  $(\cdot | \cdot) \rightarrow k(\cdot | \cdot)$ .

$\Theta_\lambda(z, \bar{z}, u)$  of degree  $k \rightarrow \Theta_{kn\lambda}(z, \bar{z}, kn)$  of degree  $k$   
assume  $\lambda = \lambda_0$ .

$$\Gamma \Theta_\lambda(z, \bar{z}, u) = e^{2\pi i ku} \sum e^{2\pi i (z + \bar{z}) + 2\pi i k(u/2)} = \dots$$

$$= \Theta_{kn\lambda}(z, \bar{z}, kn).$$

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Step 2: 在上述条件下给出需要的结论.

By prop 13.4 b), we may write  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(B)$  (resp.  $\cap P_0$ )  
if  $n$  is even (resp. odd).

$$(13.5.4) \quad \Theta_{\lambda_0} |_{(A,j)} = \sum_{\mu \in M^* \text{ mod } M} f(\mu) \Theta_{\lambda_0 + \mu}, \text{ where } f(\mu) \in \mathbb{C}$$

• For  $\alpha \in M^*$ , since by (13.4.5),  $\Theta_{\lambda_0} |_{(\alpha, 0, 0)} = e^{2\pi i (\alpha/\lambda_0)} \Theta_{\lambda_0} = \Theta_{\lambda_0}$ .

we get by (13.4.3):

$$\Theta_{\lambda_0} |_{(A,j)} = \Theta_{\lambda_0} |_{(A,j)} |_{(A,j)^{-1}(\alpha, 0, 0) (A,j)} = \Theta_{\lambda_0} |_{(A,j)} |_{(\alpha \alpha, -\alpha, 0)}$$

• Hence applying  $(A,j)^{-1}(\alpha, 0, 0) (A,j)$  to both sides of (13.5.4), we get.

$$\Theta_{\lambda_0} |_{(A,j)} = \sum_{\mu \in M^* \text{ mod } M} f(\mu) e^{2\pi i (\alpha \alpha / \lambda_0) + 2d(\alpha \mid \mu)} \Theta_{\lambda_0 + \mu + \alpha} \quad (\star)$$

From (13.4.5), (13.4.6) we can get:

$$\Theta_{\lambda_0} |_{(A,j)} = \sum_{\mu \in M^* \text{ mod } M} f(\mu) \Theta_{\lambda_0 + \mu} |_{(\alpha \alpha, -\alpha, 0)}$$

$$\text{Note: } (\alpha \alpha, -\alpha, 0) = (0, -\alpha, 0) (\alpha \alpha, 0, 0) (0, 0, -\pi i c d(\alpha / \alpha))$$

$$\Rightarrow e^{2\pi i (\alpha \alpha / \lambda_0) + 2d(\alpha \mid \mu)} \Theta_{\lambda_0 + \mu + \alpha}$$

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Step 3. If we do (13.5.1).

$$\cdot \text{if } A = S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \text{ then } \Theta_{\lambda_0} |_{(S,j)} = \sum_{\mu \in M^* \text{ mod } M} f(\mu) \Theta_{\lambda_0 + \mu + \alpha} = \sum_{\mu \in M^* \text{ mod } M} f(\mu) \Theta_{\lambda_0 + \mu} \stackrel{(13.5.4)}{=} \sum_{\mu \in M^*} f(\mu) \Theta_{\lambda_0 + \mu} \Rightarrow f(\mu + \alpha) = f(\mu) \text{ for all } \alpha, \mu \in M^*.$$

$$\text{hence (13.5.5) } \Theta_{\lambda_0} |_{(S,j)} = v(S, j) \sum_{\mu \in M^* \text{ mod } M} \Theta_{\lambda_0 + \mu} \text{ where } v(S, j) \in \mathbb{C}.$$

$$\cdot \text{Note that } \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} (x, y, u) = \left( -\frac{y}{x}, \frac{x}{y}, u - \frac{18|z|}{xy} \right).$$

• Next we are going to compute this constant.

$$\text{for any } \lambda \in M^*, \quad \Theta_{\lambda_0 + \lambda} = \Theta_{\lambda_0} |_{(0, -\lambda, 0)}$$

$$\begin{aligned} \Theta_{\lambda_0 + \lambda} |_{(S,j)} &= \Theta_{\lambda_0} |_{(0, -\lambda, 0)} |_{(S,j)} = \Theta_{\lambda_0} |_{(S,j)} |_{(S,j)^{-1}(0, -\lambda, 0)} |_{(S,j)} \\ &= \Theta_{\lambda_0} |_{(S,j)} |_{S^{-1}(0, -\lambda, 0) S} = \Theta_{\lambda_0} |_{(S,j)} |_{(-x, 0, 0)} \\ &= v(S, j) \sum_{\mu \in M^* \text{ mod } M} \Theta_{\lambda_0 + \mu} |_{(-x, 0, 0)} \quad \text{by (13.5.5)} \\ &= v(S, j) \sum_{\mu \in M^* \text{ mod } M} e^{-2\pi i (\lambda \mid \mu)} \Theta_{\lambda_0 + \mu} \quad \text{by (13.4.5)} \end{aligned}$$

$\Rightarrow$  The matrix of  $(S, j)$  w.r.t. it is

$$B = v(S, j) \left( e^{-2\pi i (\lambda \mid \mu)} \right)_{\lambda, \mu \in M^* \text{ mod } M}$$

$(a_{ij})_{i, j \in \mathbb{Z}}$ .

whose rows are pairwise orthogonal? Then.

$$B \bar{B}^T = |v(S, j)|^2 |M^*/M| I \rightarrow \text{identity matrix.}$$

Note that by  $(A, j) (A_1, j_1) = (AA_1, j(A_1 \cdot v) j(v))$ ,  
we have  $(s, j)^2 = (-I_2, j(-\frac{1}{2}j(v))) = (-I_2, v)$  since  $j = \pm I_2$ .

Thus  $(s, j)^8 = I \Rightarrow B^8 = I$

Hence  $(\det B)^8 = 1 \Rightarrow 1 = |\det B|^2 = |\varphi(s, j)|^2 / M^*/M$ .

$\Rightarrow |\varphi(s, j)| = |M^*/M|^{-\frac{1}{2}} \Rightarrow B$  is a unitary matrix.

Finally  $\Theta_{\lambda_0}(s, j)(z, 0, 0) = j(v)^{-\ell} \Theta_{\lambda_0}(s \cdot (z, 0, 0))$

$$= j(v)^{-\ell} \Theta_{\lambda_0}(-\frac{1}{2}, 0, 0) = j(v)^{-\ell} \Theta_{\lambda_0}(v, 0, 0)$$

$\Theta_{\lambda_0+\mu}(z, 0, 0) = \sum_{r \in M \cap \bar{\pi}} e^{-\pi r(z/r)} > 0$ . for  $\mu \in M^*$

Therefore by (13.5.5)  $\Theta_{\lambda_0}(s, j)(z, 0, 0) = \varphi(s, j) \sum \Theta_{\lambda_0+\mu}(z, 0, 0)$

$$\Rightarrow \varphi(s, j) = j(v)^{-\ell} |M^*/M|^{-\frac{1}{2}} = (v)^{-\frac{\ell}{2}} |M^*/M|^{-\frac{1}{2}}$$

so,  $\Theta_{\lambda_0+\lambda}(s, j) = (-v)^{\frac{\ell}{2}} |M^*/M|^{-\frac{1}{2}} \sum e^{...}$

since  $\Theta_{\lambda_0+\lambda}(s, j)(z, 0, w) = \underbrace{j(v)^{-\ell}}_{\text{...}} (\dots) = \underbrace{(v)^{-\frac{\ell}{2}}}_{\text{...}} (\dots)$

$$\Rightarrow \Theta_{\lambda_0+\lambda}(s, j)(z, 0, w) = (-v)^{\frac{\ell}{2}} |M^*/M|^{-\frac{1}{2}} \sum e^{...} \Theta_{\lambda_0+\lambda}(z, 0, w)$$

Step 4: is (13.5.2) #.

• Since  $T \cdot (z, 0, w) = (z+1, 0, w)$  where  $T = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ .

Then  $\Theta_{\lambda_0+\lambda}|_{(T, 1)}(z, 0, w) = \Theta_{\lambda_0+\lambda}(T(z, 0, w))$

$$= e^{2\pi i z} \sum_{r \in M \cap \bar{\pi}} e^{\pi r((z+1)(r/r) + 2\pi i(r/2))}$$

$$= e^{2\pi i z} \sum_{r \in M \cap \bar{\pi}} e^{\pi r z(r/r) + 2\pi i(r/2)} + \underbrace{\pi i(r/r)}$$

Note that  $(\lambda+\lambda) \mid \lambda+\lambda \rangle = (\lambda|\lambda) + 2(\lambda|\lambda) + (\lambda|\lambda)$

Then  $e^{2\pi i(\lambda+\lambda)} = e^{2\pi i(\lambda|\lambda)} \stackrel{2\text{ is even}}{\underset{2\text{ is odd}}{\nabla}} \Leftrightarrow m \text{ is even.}$

Now  $\Theta_{\lambda_0+\lambda}|_{(T, 1)}(z, 0, w) = e^{2\pi i(\lambda|\lambda)} \Theta_{\lambda_0+\lambda}(z, 0, w)$

by (13.5.2) #

Rem: Since  $s$  and  $T^2$  generate  $P_0$ .

if  $2 \nmid m$  then  $v=2$ , when  $m$  is odd.

then

$$\Theta_{\lambda_0+\lambda}|_{(T^2, 1)} = e^{2\pi i(\lambda|\lambda)} \Theta_{\lambda_0+\lambda}.$$

第 3 部分: 定理 13.5.3)

Let:  $A \in P(n)$  when  $M$  is even or  $A \in P(n) \cap P_0$  when  $M$  is odd.

Write  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_{2n}(B)$ ,  $a \equiv d \equiv 1 \pmod{n}$ ,  $b \equiv c \equiv 0 \pmod{n}$ .

By (A)

$$\theta_{n,0}(A, j) = \sum_{\mu \in M^* \text{ mod } M} f(\mu) e^{\frac{2\pi i}{2n} (dc(\alpha|\alpha) + 2d(\alpha|j))} \theta_{n,0+\mu+\alpha} \quad (\star).$$

Let  $d = 1 + dn$ ,  $c = c/n$  where  $c, d \in B$ .

$$\begin{aligned} \text{Then } dc(\alpha|\alpha) + 2d(\alpha|j) &= d(c(\alpha|\alpha) + 2(\alpha|j)) + 2dn(\alpha|j) \\ &\equiv 2(\alpha|j) \pmod{2n}. \quad n(\alpha|\alpha) \geq 2n, \quad \& nM^* \subset M \Rightarrow dn(\alpha|j) \leq 2n \end{aligned}$$

$$\text{Hence } f(\mu) = f(\mu) e^{\frac{2\pi i}{2n} (2(\alpha|j))}$$

$$\Rightarrow f(\mu) = 0 \text{ if } \mu \notin M. \Rightarrow \theta_{n,0}(A, j) = \nu(A, j) \underline{\theta_{n,0}}. \text{ by (A).}$$

$$\text{Since } \alpha\alpha = c_1 d_1 \in M. \Rightarrow \theta_{n,0+\mu+\alpha} = \theta_{n,0}$$

where  $\nu(A, j) \in \mathbb{C}$ .

The fact  $|\nu(A, j)| = 1$  follows from Cor 13.5 below.

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Cor 13.5: The matrix of a transformation from  $M_{2n}(B)$  (resp.  $M_{2n}^0(B)$ ) if  $n$  is even (resp. odd) in the basis  $\{\theta_\alpha\}_{\alpha \in B}$  is unitary.

Example 13.5.

$$\begin{aligned} \theta_{n,m}(-\frac{1}{2}, \frac{z}{2}, u - \frac{z^2}{2n}) \\ = (-iz)^{\frac{1}{2}} (2m)^{-\frac{1}{2}} \sum_{n' \in \mathbb{Z} \text{ mod } 2n} e^{-\frac{2\pi i}{2n} (m+n')^2} \theta_{n,m}(z, \bar{z}, u). \end{aligned}$$

$$(\alpha|\alpha) = 2, \quad \text{so} \quad 2(\mu|\alpha) = nn'.$$