Wakamatsu Tilting Modules, $U$-Dominant Dimension and $k$-Gorenstein Modules *†

Zhaoyong Huang‡

Department of Mathematics, Nanjing University,
Nanjing 210093, People’s Republic of China

Dedicated to Professor Edgar E. Enochs on his 72nd birthday

Abstract

Let $\Lambda$ and $\Gamma$ be left and right noetherian rings and $\Lambda U$ a Wakamatsu tilting module with $\Gamma = \text{End}(\Lambda T)$. We introduce a new definition of $U$-dominant dimensions and show that the $U$-dominant dimensions of $\Lambda U$ and $U_T$ are identical. We characterize $k$-Gorenstein modules in terms of homological dimensions and the property of double homological functors preserving monomorphisms. We also study a generalization of $k$-Gorenstein modules, and characterize it in terms of some similar properties of $k$-Gorenstein modules.

1. Introduction and main results

Let $\Lambda$ be a ring. We use $\text{Mod} \Lambda$ (resp. $\text{Mod} \Lambda^{\text{op}}$) to denote the category of left (resp. right) $\Lambda$-modules, and use $\text{mod} \Lambda$ (resp. $\text{mod} \Lambda^{\text{op}}$) to denote the category of finitely generated left $\Lambda$-modules (resp. right $\Lambda$-modules).

Definition 1.1[7] For a module $M$ in $\text{mod} \Lambda$ (resp. $\text{mod} \Lambda^{\text{op}}$) and a positive integer $k$, $M$ is said to have dominant dimension at least $k$, written as $\text{dom.dim}(\Lambda M)$ (resp. $\text{dom.dim}(M^{\Lambda})$) $\geq k$, if each of the first $k$ terms in a minimal injective resolution of $M$ is $\Lambda$-flat (resp. $\Lambda^{\text{op}}$-flat).

For a module $T$ in $\text{Mod} \Lambda$ (resp. $\text{Mod} \Lambda^{\text{op}}$), we use $\text{add-lim}_\Lambda T$ (resp. $\text{add-lim}_{\Lambda^{\text{op}}}$) to denote the subcategory of $\text{Mod} \Lambda$ (resp. $\text{Mod} \Lambda^{\text{op}}$) consisting of all modules isomorphic to direct summands of a direct limit of a family modules in which each is a finite direct sum of copies of $\Lambda T$ (resp. $T^{\Lambda}$). We now introduce a definition of $U$-dominant dimension as follows.

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‡E-mail address: huangzy@nju.edu.cn
Definition 1.2 Let \( U \) be in \( \text{Mod} \Lambda \) (resp. \( \text{Mod} \Lambda^{\text{op}} \)) and \( k \) a positive integer. For a module \( M \) in \( \text{Mod} \Lambda \) (resp. \( \text{Mod} \Lambda^{\text{op}} \)), \( M \) is said to have \( U \)-dominant dimension at least \( k \), written as \( U\text{-dom.dim}(\Lambda M) \) (resp. \( U\text{-dom.dim}(M\Lambda) \)) \( \geq k \), if each of the first \( k \) terms in a minimal injective resolution of \( M \) can be embedded into a direct limit of a family of modules in which each is a finite direct sum of copies of \( \Lambda U \) (resp. \( U\Lambda \)), that is, each of these terms is in \( \text{add-lim}_\Lambda U \) (resp. \( \text{add-lim}_{\Lambda^{\text{op}}} U \)).

Remark. Notice that a module (not necessarily finitely generated) is flat if and only if it is a direct limit of a family of finitely generated free modules (see [15]). So, if putting \( \Lambda U = \Lambda \Lambda \) (resp. \( U\Lambda = \Lambda \Lambda \)), then the above definition of \( U \)-dominant dimension coincides with that of the usual dominant dimension for any ring \( \Lambda \).

Tachikawa in [19] showed that if \( \Lambda \) is a left and right artinian ring then the dominant dimensions of \( \Lambda \Lambda \) and \( \Lambda^{\text{op}} \Lambda \) are identical. Hoshino in [7] further showed that this result also holds for left and right noetherian rings. Colby and Fuller in [5] gave some equivalent conditions of \( \text{dom.dim}(\Lambda \Lambda) \geq 1 \) (or 2) in terms of the properties of double dual functors (with respect to \( \Lambda \Lambda \)). These results motivate our interests in establishing the identity of \( U \)-dominant dimensions of \( \Lambda U \) and \( U\Gamma \) (where \( \Gamma = \text{End}(\Lambda U) \)) and characterizing the properties of modules with a given \( U \)-dominant dimension.

Let \( T \) be a module in \( \text{mod} \Lambda \). For a module \( A \in \text{mod} \Lambda \) and a non-negative integer \( n \), we say that the grade of \( A \) with respect to \( \Lambda T \), written as \( \text{grade}_T A \), is at least \( n \) if \( \text{Ext}^i_{\Lambda}(A, T) = 0 \) for any \( 0 \leq i < n \). We say that the strong grade of \( A \) with respect to \( T \), written as \( \text{s.grade}_T A \), is at least \( n \) if \( \text{grade}_B A \geq n \) for all submodules \( B \) of \( A \). The notion of the (strong) grade of modules with respect to a given module in \( \text{mod} \Lambda^{\text{op}} \) is defined dually.

The following is one of main results in this paper.

**Theorem I** Let \( \Lambda \) and \( \Gamma \) be left and right noetherian rings and \( \Lambda U \) a Wakamatsu tilting module with \( \Gamma = \text{End}(\Lambda U) \). For a positive integer \( k \), the following statements are equivalent.

1. \( U\text{-dom.dim}(\Lambda U) \geq k \).
2. \( \text{s.grade}_U \text{Ext}^1_{\Lambda}(M, U) \geq k \) for any \( M \in \text{mod} \Lambda \).
3. \( \text{Hom}_{\Lambda}(U, E_i) \) is \( \Gamma \)-flat, where \( E_i \) is the \((i+1)\)-st term in a minimal injective resolution of \( \Lambda U \), for any \( 0 \leq i \leq k - 1 \).

1'\( \text{op} \) \( \text{U-dom.dim}(U_{\Gamma}) \geq k \).
2'\( \text{op} \) \( \text{s.grade}_U \text{Ext}^1_{\Gamma}(N, U) \geq k \) for any \( N \in \text{mod} \Gamma^{\text{op}} \).
3'\( \text{op} \) \( \text{Hom}_{\Gamma}(U, E'_i) \) is \( \Lambda^{\text{op}} \)-flat, where \( E'_i \) is the \((i+1)\)-st term in a minimal injective resolution of \( U_{\Gamma} \), for any \( 0 \leq i \leq k - 1 \).
Kato in [14] gave a definition of $U$-dominant dimension as follows, which is different from that of Definition 1.2. For a module $M$ in mod $\Lambda$ (resp. mod $\Lambda^{\text{op}}$), $M$ is said to have $U$-dominant dimension at least $k$, written as $U$-$\text{dom.dim}(\Lambda M)$ (resp. $U$-$\text{dom.dim}(M\Lambda)$) $\geq k$, if each of the first $k$ terms in a minimal injective resolution of $M$ is cogenerated by $\Lambda U$ (resp. $U\Lambda$), that is, each of these terms can be embedded into a direct product of copies of $\Lambda U$ (resp. $U\Lambda$). If we adopt the definition of $U$-dominant dimension given by Kato, then in Theorem I the equivalence of (2), (3), (2)$^{\text{op}}$ and (3)$^{\text{op}}$ and that (1) implies (3) also hold. However, that (3) does not imply (1) in general. For example, consider Wakamatsu tilting module $\mathbb{Z}\mathbb{Z}$ and its injective envelope $\mathbb{Q}\mathbb{Z}$, where $\mathbb{Z}$ is the ring of integers and $\mathbb{Q}$ is the field of rational numbers. Then the module $\mathbb{Z}\mathbb{Q}$ is flat, but it can not be embedded into any direct product of copies of $\mathbb{Z}\mathbb{Z}$ since $\text{Hom}_{\mathbb{Z}}(\mathbb{Q}, \mathbb{Z}) = 0$.

Corollary 1.3 Let $\Lambda$ and $\Gamma$ be left and right noetherian rings and $\Lambda U$ a Wakamatsu tilting module with $\Gamma = \text{End}(\Lambda T)$. Then $U$-$\text{dom.dim}(\Lambda U) = U$-$\text{dom.dim}(U\Gamma)$.

Remark. We do not know whether the conclusion in Corollary 1.3 holds for Kato’s $U$-dominant dimension. The answer is positive when $\Lambda$ and $\Gamma$ are artinian algebras (see [11] Theorem 1.3).

Putting $\Lambda U_T = \Lambda A_\Lambda$, we immediately get the following result, which is due to Hoshino (see [7] Theorem).

Corollary 1.4 For a left and right noetherian ring $\Lambda$, dom.dim$(\Lambda A)$ = dom.dim$(A\Lambda)$.

Definition 1.5 For a non-negative integer $k$, a module $U \in \text{mod } \Lambda$ with $\Gamma = \text{End}(\Lambda U)$ is called $k$-Gorenstein if $\text{s.grade}_\Gamma \text{Ext}^i_\Gamma(N, U) \geq i$ for any $N \in \text{mod } \Gamma^{\text{op}}$ and $1 \leq i \leq k$. Dually, we may define the notion of $k$-Gorenstein modules in mod $\Gamma^{\text{op}}$.

We introduce a new homological dimension of modules as follows.

Definition 1.6 Let $\Lambda$ be a ring and $T$ in Mod $\Lambda$. For a module $A$ in Mod $\Lambda$, if there is an exact sequence $\cdots \rightarrow T_n \rightarrow \cdots \rightarrow T_1 \rightarrow T_0 \rightarrow A \rightarrow 0$ in Mod $\Lambda$ with each $T_i \in \text{add-lim}_\Lambda T$ for any $i \geq 0$, then we define $T$-$\text{lim.dim}_\Lambda(A) = \inf\{n| \text{ there is an exact sequence } 0 \rightarrow T_n \rightarrow \cdots \rightarrow T_1 \rightarrow T_0 \rightarrow A \rightarrow 0 \text{ in Mod } \Lambda \text{ with each } T_i \in \text{add-lim}_\Lambda T \text{ for any } 0 \leq i \leq n\}$. We set $T$-$\text{lim.dim}_\Lambda(A)$ infinity if no such an integer exists. For $\Lambda^{\text{op}}$-modules, we may define such a dimension dually.

Remark. Putting $\Lambda T = A\Lambda$ (resp. $T\Lambda = A\Lambda$), the dimension defined as above is just the flat dimension of modules.

In [21], Wakamatsu showed that the notion of $k$-Gorenstein modules is left-right sym-
metric. We give here some other characterizations of $k$-Gorenstein modules. The following is another main result in this paper.

**Theorem II** Let $\Lambda$ and $\Gamma$ be left and right noetherian rings and $\Lambda U$ a Wakamatsu tilting module with $\Gamma = \text{End}(\Lambda T)$. Then, for a positive integer $k$, the following statements are equivalent.

1. $\Lambda U$ is $k$-Gorenstein.
2. $s\cdot \text{grade}_U \text{Ext}_\Lambda^i(M, U) \geq i$ for any $M \in \text{mod} \Lambda$ and $1 \leq i \leq k$.
3. $U\cdot \text{lim}.\text{dim}_\Lambda(E_i) \leq i$ for any $0 \leq i \leq k - 1$.
4. $l\cdot \text{fd}_\Gamma(\text{Hom}_\Lambda(U, E_i)) \leq i$ for any $0 \leq i \leq k - 1$, where $l\cdot \text{fd}$ denotes the left flat dimension and $E_i$ is the $(i + 1)$-st term in a minimal injective resolution of $\Lambda U$, for any $0 \leq i \leq k - 1$.
5. $\text{Ext}_\Gamma^i(\text{Ext}_\Lambda^i(M, U), U)$ preserves monomorphisms in $\text{mod} \Lambda$ for any $0 \leq i \leq k - 1$.

Let $\Lambda$ and $\Gamma$ be left and right noetherian rings and $\Lambda U$ a Wakamatsu tilting module with $\Gamma = \text{End}(\Lambda U)$. By Theorems I and II, if $U$ has $U$-dominant dimension at least $k$, then it is $k$-Gorenstein.

Recall that a left and right noetherian ring $\Lambda$ is called $k$-Gorenstein if the flat dimension of the $i$-th term in a minimal injective resolution of $\Lambda \Lambda$ is at most $i - 1$ for any $1 \leq i \leq k$. Auslander showed in [6] Theorem 3.7 that the notion of $k$-Gorenstein rings is left-right symmetric. Following Definition 1.6 and [6] Theorem 3.7, a left and right noetherian ring $\Lambda$ is $k$-Gorenstein if it is $k$-Gorenstein as a $\Lambda$-module. So, by Theorem II, we have the following corollary, which develops this Auslander’s result.

**Corollary 1.7** Let $\Lambda$ and $\Gamma$ be left and right noetherian rings. Then, for a positive integer $k$, the following statements are equivalent.

1. $\Lambda$ is $k$-Gorenstein.
2. $s\cdot \text{grade}_\Lambda \text{Ext}_\Lambda^i(M, \Lambda) \geq i$ for any $M \in \text{mod} \Lambda$ and $1 \leq i \leq k$.
3. The flat dimension of the $i$-th term in a minimal injective resolution of $\Lambda \Lambda$ is at most $i - 1$ for any $1 \leq i \leq k$. 

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(4) Ext^i_Λ(Ext^i_Λ( , Λ), Λ) preserves monomorphisms in mod Λ for any 0 ≤ i ≤ k − 1.
(2) op s.grade_Λ Ext^i_Λ(N, Λ) ≥ i for any N ∈ mod Λ^{op} and 1 ≤ i ≤ k.
(3) op The flat dimension of the i-th term in a minimal injective resolution of Λ is at most i − 1 for any 1 ≤ i ≤ k.
(4) op Ext^i_Λ(Ext^i_Λ( , Λ), Λ) preserves monomorphisms in mod Λ^{op} for any 0 ≤ i ≤ k − 1.

The paper is organized as follows. In Section 2, we give some properties of Wakamatsu tilting modules. For example, let Λ and Γ be left and right noetherian rings and ΛU a Wakamatsu tilting module with Γ = End(ΛU). If ΛU is k-Gorenstein for all k, then the left and right injective dimensions of ΛUΓ are identical provided that both of them are finite. We shall prove our main results in Section 3. As applications of the results obtained in Section 3, we characterize in Section 4 U-dominant dimension of U at least one and two in terms of the properties of Hom(Hom( , U), U) preserving monomorphisms and being left exact, respectively. Motivated by the work of Auslander and Reiten in [3], we study in Section 5 a generalization of k-Gorenstein modules, which is however not left-right symmetric. We characterize this generalization in terms of some properties similar to that of k-Gorenstein modules. At the end of this section, we generalize the result of Wakamatsu on the symmetry of k-Gorenstein modules.

2. Wakamatsu tilting modules

In this section, we give some properties of Wakamatsu tilting modules with finite homological dimensions.

Definition 2.1 Let Λ be a ring. A module ΛU in mod Λ is called a Wakamatsu tilting module if ΛU is self-orthogonal (that is, Ext^i_Λ(ΛU, ΛU) = 0 for any i ≥ 1), and possessing an exact sequence:

\[ 0 \to ΛU \to U_0 \to U_1 \to \cdots \to U_i \to \cdots \]

such that: (1) all term U_i are direct summands of finite direct sums of copies of ΛU, that is, U_i ∈ add_{ΛU}, and (2) after applying the functor Hom_Λ( , U) the sequence is still exact. The definition of Wakamatsu tilting modules in mod Λ^{op} is given dually (see [20] or [21]).

Let Λ and Γ be rings. Recall that a bimodule ΛUΓ is called a faithfully balanced self-orthogonal bimodule if it satisfies the following conditions:

(1) ΛU ∈ mod Λ and UΓ ∈ mod Γ^{op}.
(2) The natural maps Λ → End(UΓ) and Γ → End(ΛU)^{op} are isomorphisms.
(3) Ext^i_Λ(ΛU, ΛU) = 0 and Ext^i_Γ(UΓ, UΓ) = 0 for any i ≥ 1.
The following result is [21] Corollary 3.2.

**Proposition 2.2** Let $\Lambda$ be a left noetherian ring and $\Gamma$ a right noetherian ring. For a bimodule $\Lambda U\Gamma$, the following statements are equivalent.

1. $\Lambda U$ is a Wakamatsu tilting module with $\Gamma = \text{End}(\Lambda U)$.
2. $U\Gamma$ is a Wakamatsu tilting module with $\Lambda = \text{End}(U\Gamma)$.
3. $\Lambda U\Gamma$ is a faithfully balanced self-orthogonal bimodule.

In the rest of this paper, we shall freely use the properties of Wakamatsu tilting modules in Proposition 2.2 without pointing it out explicitly.

Recall from [16] that a module $U$ in mod $\Lambda$ is called a tilting module of projective dimension $\leq r$ if it satisfies the following conditions:

1. The projective dimension of $\Lambda U$ is at most $r$.
2. $\Lambda U$ is self-orthogonal.
3. There exists an exact sequence in mod $\Lambda$:

$$0 \to \Lambda \to U_0 \to U_1 \to \cdots \to U_r \to 0$$

such that each $U_i \in \text{add} \Lambda U$ for any $0 \leq i \leq r$.

By Proposition 2.2 and [16] Theorem 1.5, we have the following result.

**Corollary 2.3** Let $\Lambda$ be a left noetherian ring, $\Gamma$ a right noetherian ring and $\Lambda U$ a Wakamatsu tilting module with $\Gamma = \text{End}(\Lambda U)$. If the projective dimensions of $\Lambda U$ and $U\Gamma$ are finite, then $\Lambda U\Gamma$ is a tilting bimodule (that is, both $\Lambda U$ and $U\Gamma$ are tilting) with the left and right projective dimensions identical.

For a module $A$ in Mod $\Lambda$ (resp. Mod $\Lambda^{op}$), we use $l.\text{id}_{\Lambda}(A)$ (resp. $r.\text{id}_{\Lambda}(A)$) to denote the left (resp. right) injective dimension of $A$.

**Lemma 2.4** Let $\Lambda$ and $\Gamma$ be rings and $\Lambda U\Gamma$ a bimodule.

1. If $\Gamma$ is a right noetherian ring, then $r.\text{id}_{\Gamma}(U) = \sup \{l.\text{fd}_{\Gamma}(\text{Hom}_{\Lambda}(U, E)) \mid \Lambda E \text{ is injective} \}$.
   Moreover, $r.\text{id}_{\Gamma}(U) = l.\text{fd}_{\Gamma}(\text{Hom}_{\Lambda}(U, Q))$ for any injective cogenerator $\Lambda Q$ for Mod $\Lambda$.

2. If $\Lambda$ is a left noetherian ring, then $l.\text{id}_{\Lambda}(U) = \sup \{r.\text{fd}_{\Gamma}(\text{Hom}_{\Gamma}(U, E')) \mid E' \text{ is injective} \}$.
   Moreover, $l.\text{id}_{\Lambda}(U) = r.\text{fd}_{\Gamma}(\text{Hom}_{\Gamma}(U, Q'))$ for any injective cogenerator $Q'$ for Mod $\Gamma^{op}$.

**Proof.** (1) By [4] Chapter VI, Proposition 5.3, for any $i \geq 1$, we have the following isomorphism:

$$\text{Tor}_{\Gamma}^i(B, \text{Hom}_{\Lambda}(U, E)) \cong \text{Hom}_{\Lambda}(\text{Ext}_{\Gamma}^i(B, U), E) \quad (1)$$

for any $B \in \text{mod} \Gamma^{op}$ and $\Lambda E$ injective.
If \( \text{ld}_\Gamma(\text{Hom}_\Lambda(U, E)) \leq n(< \infty) \) for any injective module \( \Lambda E \), then the isomorphism (1) induces \( \text{Hom}_\Lambda(\text{Ext}^{n+1}_\Gamma(B, U), E) \cong \text{Tor}^\Gamma_{n+1}(B, \text{Hom}_\Lambda(U, E)) = 0. \) Now taking \( \Lambda E \) as an injective cogenerator in \( \text{mod} \, \Lambda \), we see that \( \text{Ext}^{n+1}_\Gamma(B, U) = 0 \) and \( r.\text{id}_\Gamma(U) \leq n. \)

Conversely, if \( r.\text{id}_\Gamma(U) = n(< \infty) \), then \( \text{Ext}^{n+1}_\Gamma(B, U) = 0 \) for any \( B \in \text{mod} \, \Gamma^{op} \) and \( \text{Tor}^\Gamma_{n+1}(B, \text{Hom}_\Lambda(U, E)) = 0 \) for any injective module \( \Lambda E \) by the isomorphism (1).

Let \( Y \) be any module in \( \text{Mod} \, \Gamma^{op} \). Then \( Y = \lim_{\to} Y_\alpha \) (where \( Y_\alpha \) ranges over all finitely generated submodules of \( Y \)). It is well known that the functor \( \text{Tor}_i \) commutes with \( \lim \) for any \( i \geq 0 \), so \( \text{Tor}^\Gamma_{n+1}(Y, \text{Hom}_\Lambda(U, E)) \cong \lim \text{Tor}^\Gamma_{n+1}(Y_\alpha, \text{Hom}_\Lambda(U, E)) = 0 \) by the above argument. This implies that \( \text{ld}_\Gamma(\text{Hom}_\Lambda(U, E)) \leq n. \) Consequently, we conclude that the first equality holds.

The above argument in fact proves the second equality.

(2) It is similar to the proof of (1). \( \blacksquare \)

Let \( \Lambda U \Gamma \) be a bimodule. For a module \( A \) in \( \text{Mod} \, \Lambda \) (resp. \( \text{Mod} \, \Gamma^{op} \)), we call \( \text{Hom}_\Lambda(\Lambda A, \Lambda U \Gamma) \) (resp. \( \text{Hom}_\Gamma(\Lambda^* U \Gamma, \Lambda U) \)) the dual module of \( A \) with respect to \( \Lambda U \Gamma \), and denote either of these modules by \( A^* \). For a homomorphism \( f \) between \( \Lambda \)-modules (resp. \( \Gamma^{op} \)-modules), we put \( f^* = \text{Hom}(f, \Lambda U \Gamma) \). We use \( \sigma_A : A \to A^{**} \) via \( \sigma_A(x)(f) = f(x) \) for any \( x \in A \) and \( f \in A^* \) to denote the canonical evaluation homomorphism. \( A \) is called \( U \)-torsionless (resp. \( U \)-reflexive) if \( \sigma_A \) is a monomorphism (resp. an isomorphism).

**Lemma 2.5** Let \( \Lambda \) be a left noetherian ring, \( \Gamma \) any ring and \( \Lambda U \Gamma \) a bimodule. If \( \Lambda = \text{End}(U \Gamma), \) \( U \Gamma \) is self-orthogonal and \( r.\text{id}_\Gamma(U) \leq n, \) then \( \bigoplus_{i=0}^n V_i \) is an injective cogenerator for \( \text{Mod} \, \Lambda \), where \( V_i \) is the \( (i+1) \)-st term in an injective resolution of \( \Lambda U \) for any \( 0 \leq i \leq n. \)

**Proof.** Let \( A \) be any module in \( \text{mod} \, \Lambda \). Since \( r.\text{id}_\Gamma(U) \leq n, \) \( \text{Ext}^1_\Gamma(X, U) = 0 \) for any \( X \in \text{mod} \, \Gamma^{op} \) and \( i \geq n+1 \). Then, by the assumption and [13] Theorem 2.2, it is easy to see that \( A \) is \( U \)-reflexive provided that \( \text{Ext}^1_\Lambda(A, U) = 0 \) for any \( 1 \leq i \leq n. \)

Let \( S \) be any simple \( \Lambda \)-module. Then \( \text{Ext}^t_\Lambda(S, U) \neq 0 \) for some \( t \) with \( 0 \leq t \leq n \) (Otherwise, \( S \) is \( U \)-reflexive by the above argument and hence \( S \cong S^{**} = 0 \)).

Let

\[
0 \to \Lambda U \to V_0 \to V_1 \to \cdots \to V_i \to \cdots
\]

be an injective resolution of \( \Lambda U \). Set \( W_i = \text{Im}(V_{i-1} \to V_i) \). We then get the following exact sequences:

\[
\text{Hom}_\Lambda(S, W_i) \to \text{Ext}^i_\Lambda(S, U) \to 0,
\]

\[
0 \to \text{Hom}_\Lambda(S, W_i) \to \text{Hom}_\Lambda(S, V_i).
\]
Because \( \text{Ext}^1_{\Lambda}(S, U) \neq 0 \), \( \text{Hom}_{\Lambda}(S, W_i) \neq 0 \) and \( \text{Hom}_{\Lambda}(S, V_i) \neq 0 \). So \( \text{Hom}_{\Lambda}(S, \bigoplus_{i=0}^n V_i) \neq 0 \) and hence \( \bigoplus_{i=0}^n V_i \) is an injective cogenerator for \( \text{Mod} \Lambda \) by [1] Proposition 18.15. ■

As an application to Theorem II, we have the following result.

**Proposition 2.6** Let \( \Lambda \) and \( \Gamma \) be left and right noetherian rings and \( \Lambda U \) a Wakamatsu tilting module with \( \Gamma = \text{End}(\Lambda U) \). If \( \Lambda U \) is \( k \)-Gorenstein for all \( k \) and both \( \text{lid}_{\Lambda}(U) \) and \( \text{rid}_{\Lambda}(U) \) are finite, then \( \text{lid}_{\Lambda}(U) = \text{rid}_{\Lambda}(U) \).

**Proof.** Assume that \( \text{lid}_{\Lambda}(U) = m < \infty \) and \( \text{rid}_{\Lambda}(U) = n < \infty \). Since \( \Lambda U \) is \( k \)-Gorenstein for all \( k \), by Theorem II, we have that \( \text{lid}_{\Lambda}(U, \bigoplus_{i=0}^m E_i) \leq m \), where \( E_i \) is the \((i+1)\)-st term in a minimal injective resolution of \( \Lambda U \) for any \( i \geq 0 \).

By Proposition 2.2, \( \Lambda U \Gamma \) is a faithfully balanced self-orthogonal bimodule. If \( m < n \), then, by Lemmas 2.5 and 2.4, we have that \( \bigoplus_{i=0}^m E_i \cong \bigoplus_{i=0}^n E_i \) is an injective cogenerator for \( \text{Mod} \Lambda \) and \( \text{lid}_{\Lambda}(U, \bigoplus_{i=0}^m E_i) = n \), which is a contradiction. So we have that \( m \geq n \). According to the symmetry of \( k \)-Gorenstein modules, we can prove \( n \geq m \) similarly.

■

**Proposition 2.7** Let \( \Lambda \) be a left and right artinian ring and \( \Lambda U \) a Wakamatsu tilting module with \( \Lambda = \text{End}(\Lambda U) \). If \( \Lambda U \) is \( k \)-Gorenstein for all \( k \), then \( \text{lid}_{\Lambda}(\Lambda) = \text{rid}_{\Lambda}(\Lambda) \).

**Proof.** By Theorem II, for any \( i \geq 1 \) and \( M \in \text{mod} \Lambda \) or \( \text{mod} \Lambda^{op} \), we have that \( \text{s.grade}_{\Lambda} \text{Ext}^i_{\Lambda}(M, U) \geq i \). By Proposition 2.2, \( \Lambda U \Lambda \) is a faithfully balanced self-orthogonal bimodule. It then follows from [9] Theorem and its dual statement that \( \text{lid}_{\Lambda}(U) \) is finite if and only if \( \text{rid}_{\Lambda}(U) \) is finite. Now our conclusion follows from Proposition 2.6.

Putting \( \Lambda U = \Lambda \Lambda \), we immediately have the following result, which generalizes [2] Corollary 5.5(b).

**Corollary 2.8** Let \( \Lambda \) be a left and right artinian ring. If \( \Lambda \) is \( k \)-Gorenstein for all \( k \), then \( \text{lid}_{\Lambda}(\Lambda) = \text{rid}_{\Lambda}(\Lambda) \).

3. The proof of main results

In this section, we prove Theorems I and II.

From now on, \( \Lambda \) and \( \Gamma \) are left and right noetherian rings and \( \Lambda U \) is a Wakamatsu tilting module with \( \Gamma = \text{End}(\Lambda U) \). We always assume that

\[
0 \to \Lambda U \to E_0 \to E_1 \to \cdots \to E_i \to \cdots
\]

is a minimal injective resolution of \( \Lambda U \), and
0 → \( U' \rightarrow E'_0 \rightarrow E'_1 \rightarrow \cdots \rightarrow E'_i \rightarrow \cdots \)

is a minimal injective resolution of \( U' \) and \( k \) is a positive integer.

**Lemma 3.1** Let \( E \) be injective. Then \( l.f.d(\text{Hom}_A(U, E)) = U.lim\dim_A(E) \).

**Proof.** We first prove that \( U.lim\dim_A(E) \leq l.f.d(\text{Hom}_A(U, E)) \). Without loss of generality, assume that \( l.f.d(\text{Hom}_A(U, E)) = n < \infty \). Then there exists an exact sequence:

\[
0 \rightarrow Q_n \rightarrow \cdots \rightarrow Q_1 \rightarrow Q_0 \rightarrow \text{Hom}_A(U, E) \rightarrow 0
\]

in \( \text{Mod} \Gamma \) with each \( Q_i \) \( \Gamma \)-flat for any \( 0 \leq i \leq n \). By [4] Chapter VI, Proposition 5.3, we have that

\[
\text{Tor}_j^\Gamma(U, \text{Hom}_A(U, E)) \cong \text{Hom}_A(\text{Ext}_j^\Gamma(U, U), E) = 0
\]

for any \( j \geq 1 \). Then we easily get an exact sequence:

\[
0 \rightarrow U \otimes_\Gamma Q_n \rightarrow \cdots \rightarrow U \otimes_\Gamma Q_1 \rightarrow U \otimes_\Gamma Q_0 \rightarrow U \otimes_\Gamma \text{Hom}_A(U, E) \rightarrow 0.
\]

Because each \( Q_i \) is a direct limit of finitely generated free \( \Gamma \)-modules, \( U \otimes_\Gamma Q_i \in \text{add-lim}_A U \) for any \( 0 \leq i \leq n \). On the other hand, \( U \otimes_\Gamma \text{Hom}_A(U, E) \cong \text{Hom}_A(\text{Hom}_\Gamma(U, U), E) \cong E \) by [18] p.47. So we conclude that \( U.lim\dim_A(E) \leq n \).

We next prove that \( l.f.d(\text{Hom}_A(U, E)) \leq U.lim\dim_A(E) \). Assume that \( U.lim\dim_A(E) = n < \infty \). Then there exists an exact sequence:

\[
0 \rightarrow X_n \rightarrow \cdots \rightarrow X_1 \rightarrow X_0 \rightarrow E \rightarrow 0 \tag{2}
\]

in \( \text{Mod} \Lambda \) with each \( X_i \) in \( \text{add-lim}_A U \) for any \( 0 \leq i \leq n \). Since \( \Lambda U \) is finitely generated, by [17] Theorem 3.2, for any direct system \( \{ M_a \} \subset I \) and \( j \geq 0 \), we have that \( \text{Ext}^j_{\Lambda}(U, \text{lim}_I M_a) \cong \text{lim} \text{Ext}^j_{\Lambda}(U, M_a) \). From this fact we know that \( \text{Ext}^j_{\Lambda}(U, X_i) = 0 \) and \( \text{Hom}_A(U, X_i) \) is in \( \text{add-lim}_\Gamma \Gamma \) for any \( j \geq 1 \) and \( 0 \leq i \leq n \). So each \( \text{Hom}_A(U, X_i) \) is \( \Gamma \)-flat for any \( 0 \leq i \leq n \) and by applying the functor \( \text{Hom}_A(U, ) \) to the exact sequence (2) we obtain the following exact sequence:

\[
0 \rightarrow \text{Hom}_A(U, X_n) \rightarrow \cdots \rightarrow \text{Hom}_A(U, X_1) \rightarrow \text{Hom}_A(U, X_0) \rightarrow \text{Hom}_A(U, E) \rightarrow 0.
\]

Hence \( l.f.d(\text{Hom}_A(U, E)) \leq n \). The proof is finished. \( \blacksquare \)

**Lemma 3.2** Let \( m \) be an integer with \( m \geq -k \). Then the following statements are equivalent.
(1) $U \lim \dim \Lambda(\bigoplus_{i=0}^{k-1} E_i) \leq k + m$.
(2) $s.\text{grade}_U \text{Ext}^{k+m+1}_T(N, U) \geq k$ for any $N \in \text{mod} \Gamma^\text{op}$.
(3) $l.\text{fd}_T(\text{Hom}_\Lambda(U, E_i)) \leq k + m$ for any $0 \leq i \leq k - 1$.

**Proof.** By Lemma 3.1, we have (1) $\iff$ (3).

(2) $\Rightarrow$ (3) We proceed by using induction on $i$. Suppose that $s.\text{grade}_U \text{Ext}^{k+m+1}_T(N, U) \geq k$ for any $N \in \text{mod} \Gamma^\text{op}$. We first prove $l.\text{fd}_T(\text{Hom}_\Lambda(U, E_0)) \leq k + m$. By assumption, we have $\text{Hom}_\Lambda(\text{Ext}^{k+m+1}_T(N, U), U) = 0$. We now claim that $\text{Hom}_\Lambda(\text{Ext}^{k+m+1}_T(N, U), E_0) = 0$. For if otherwise, then there exists $0 \neq f : \text{Ext}^{k+m+1}_T(N, U) \to E_0$ and $\text{Im}(\text{Im}(\text{Ext}^{k+m+1}_T(N, U))) \neq 0$ (since $U$ is essential in $E_0$). Hence, there is a submodule $X(= f^{-1}(\text{Im}(\text{Ext}^{k+m+1}_T(N, U)))$ such that $\text{Hom}_\Lambda(X, U) \neq 0$, which contradicts $s.\text{grade}_U \text{Ext}^{k+m+1}_T(N, U) \geq k$. It follows easily from [4] Chapter VI, Proposition 5.3 that $l.\text{fd}_T(\text{Hom}_\Lambda(\Lambda U, E_0)) \leq k + m$.

Now suppose $i \geq 1$. Consider the exact sequence:

$$0 \to K_{i-1} \to E_{i-1} \to K_i \to 0$$

where $K_{i-1} = \text{Ker}(E_{i-1} \to E_i)$ and $K_i = \text{Im}(E_{i-1} \to E_i)$. Then for any $X \subset \text{Ext}^{k+m+1}_T(N, U)$, we have an exact sequence:

$$\text{Hom}_\Lambda(X, E_{i-1}) \to \text{Hom}_\Lambda(X, K_i) \to \text{Ext}^1_\Lambda(X, K_{i-1}) \to 0$$

(3) Since $s.\text{grade}_U \text{Ext}^{k+m+1}_T(N, U) \geq k$ and $1 \leq i \leq k - 1$, $\text{Ext}^1_\Lambda(X, K_i) \cong \text{Ext}^1_\Lambda(X, U) = 0$. By induction assumption, $l.\text{fd}_T(\text{Hom}_\Lambda(U, E_{i-1})) \leq k + m$. It follows from [4] Chapter VI, Proposition 5.3 that $\text{Hom}_\Lambda(\text{Ext}^{k+m+1}_T(N, U), E_{i-1}) \cong \text{Tor}^1_\Lambda(N, \text{Hom}_\Lambda(U, E_{i-1})) = 0$. Since $E_{i-1}$ is injective, $\text{Hom}_\Lambda(X, E_{i-1}) = 0$. It follows from the exactness of the sequence (3) that $\text{Hom}_\Lambda(X, K_i) = 0$. Observe that $E_i$ is the injective envelope of $K_i$, by using a similar argument to the case $i = 0$, we can show that $\text{Hom}_\Lambda(\text{Ext}^{k+m+1}_T(M, U), E_i) = 0$. Hence, we have that $l.\text{fd}_T(\text{Hom}_\Lambda(\Lambda U, E_i)) \leq k + m$.

(3) $\Rightarrow$ (2) Suppose that $l.\text{fd}_T(\text{Hom}_\Lambda(U, \bigoplus_{i=0}^{k-1} E_i)) \leq k + m$. Then, by [4] Chapter VI, Proposition 5.3, we have that $\text{Hom}_\Lambda(\text{Ext}^{k+m+1}_T(N, U), \bigoplus_{i=0}^{k-1} E_i) = 0$ for any $N \in \text{mod} \Gamma^\text{op}$. Let $X$ be any submodule of $\text{Ext}^{k+m+1}_T(N, U)$. Then $\text{Hom}_\Lambda(X, \bigoplus_{i=0}^{k-1} E_i) = 0$. Putting $K_0 = U$ and $K_i = \text{Im}(E_{i-1} \to E_i)$ for any $1 \leq i \leq k - 1$. Then $\text{Hom}_\Lambda(X, K_i) = 0$ for any $0 \leq i \leq k - 1$. It is not difficult to prove that $\text{Ext}^{i+1}_\Lambda(X, K_0) \cong \text{Ext}^1_\Lambda(X, K_i)$ and $\text{Ext}^1_\Lambda(X, K_i) \cong \text{Hom}_\Lambda(X, K_{i+1})$ for any $0 \leq i \leq k - 2$. Hence we conclude that $\text{Hom}_\Lambda(X, U) = 0 = \text{Ext}^1_\Lambda(X, U)$ for any $1 \leq i \leq k - 1$. This completes the proof. ■

Putting $m = -1$, then by Lemma 3.2, we have the following
Corollary 3.3  (1)  $U\text{-}\lim\dim_A(\bigoplus_{i=0}^{k-1} E_i) \leq k-1$ if and only if $s.\text{grade}_U \text{Ext}^k_U(N, U) \geq k$ for any $N \in \text{mod } \Gamma^\text{op}$ if and only if $l.\text{fd}_\Gamma(\text{Hom}_A(U, \bigoplus_{i=0}^{k-1} E_i)) \leq k - 1$.

(2)  $U\text{-}\lim\dim_A(E_i) \leq i$ for any $0 \leq i \leq k-1$ if and only if $s.\text{grade}_U \text{Ext}^k_U(N, U) \geq i$ for any $N \in \text{mod } \Gamma^\text{op}$ and $1 \leq i \leq k$ if and only if $l.\text{fd}_\Gamma(\text{Hom}_A(U, E_i)) \leq i$ for any $0 \leq i \leq k - 1$.

Let $M$ be in mod $\Lambda$ (resp. mod $\Gamma^\text{op}$) and $P_1 \xrightarrow{f} P_0 \rightarrow M \rightarrow 0$ be a projective presentation of $M$ in mod $\Lambda$ (resp. mod $\Gamma^\text{op}$). Then we have an exact sequence:

$$0 \rightarrow M^* \rightarrow P_0^* \xrightarrow{f^*} P_1^* \rightarrow \text{Coker} f^* \rightarrow 0.$$

We call $\text{Coker} f^*$ the transpose (with respect to $\Lambda U_T$) of $M$, and denote it by $\text{Tr}_U M$.

For a positive integer $k$, recall from [10] that $M$ is called $U$-$k$-torsionfree if $\text{Ext}^k_U(\text{Tr}_U M, U)$ (resp. $\text{Ext}^k_U(\text{Tr}_U M, U)$) = 0 for any $1 \leq i \leq k$. We call $M$ $U$-$k$-syzygy if there exists an exact sequence $0 \rightarrow M \rightarrow X_0 \rightarrow X_1 \rightarrow \cdots \rightarrow X_{k-1}$ with all $X_i$ in $\text{add}_A U$ (resp. $\text{add}_U$), and denote $M$ by $\Omega^k_U(\text{Coker} f)$. Putting $\Lambda U_T = \Lambda \Lambda_A$, then, in this case, the notions of $U$-$k$-torsionfree modules and $U$-$k$-syzygy modules are just that of $k$-torsionfree modules and $k$-syzygy modules respectively (see [3] for the definitions of $k$-torsionfree modules and $k$-syzygy modules). We use $\mathcal{T}_U^k(\text{mod } \Lambda)$ (resp. $\mathcal{T}_U^k(\text{mod } \Gamma^\text{op})$) and $\Omega_U^k(\text{mod } \Lambda)$ (resp. $\Omega_U^k(\text{mod } \Gamma^\text{op})$) to denote the full subcategory of mod $\Lambda$ (resp. mod $\Gamma^\text{op}$) consisting of $U$-$k$-torsionfree modules and $U$-$k$-syzygy modules, respectively. It is not difficult to verify that $\mathcal{T}_U^k(\text{mod } \Lambda) \subseteq \Omega_U^k(\text{mod } \Lambda)$ and $\mathcal{T}_U^k(\text{mod } \Gamma^\text{op}) \subseteq \Omega_U^k(\text{mod } \Gamma^\text{op})$.

The following result generalizes [3] Proposition 1.6(a).

Lemma 3.4 The following statements are equivalent.

(1) $\text{grade}_U \text{Ext}^i_U(M, U) \geq i$ for any $M \in \text{mod } \Lambda$ and $1 \leq i \leq k - 1$.

(1) $\text{grade}_U \text{Ext}^i_U(N, U) \geq i$ for any $N \in \text{mod } \Gamma^\text{op}$ and $1 \leq i \leq k - 1$.

If one of the above equivalent conditions holds, then $\mathcal{T}_U^i(\text{mod } \Lambda) = \Omega_U^i(\text{mod } \Lambda)$ and $\mathcal{T}_U^i(\text{mod } \Gamma^\text{op}) = \Omega_U^i(\text{mod } \Gamma^\text{op})$ for any $1 \leq i \leq k$.

Proof. The equivalence of (1) and (1) was proved in [12] Lemma 3.3. The latter assertion follows from [10] Theorem 3.1.

Putting $m = 0$, then by Lemma 3.2, we have the following result, in which the second assertion is just [3] Proposition 2.2 when $\Lambda U_T = \Lambda \Lambda_A$.

Corollary 3.5  (1) $U\text{-}\lim\dim_A(\bigoplus_{i=0}^{k-1} E_i) \leq k$ if and only if $s.\text{grade}_U \text{Ext}^{k+1}_U(N, U) \geq k$ for any $N \in \text{mod } \Gamma^\text{op}$ if and only if $l.\text{fd}_\Gamma(\text{Hom}_A(U, \bigoplus_{i=0}^{k-1} E_i)) \leq k$.

(2) $U\text{-}\lim\dim_A(E_i) \leq i + 1$ for any $0 \leq i \leq k - 1$ if and only if $s.\text{grade}_U \text{Ext}^{i+1}_U(N, U) \geq i$ for any $N \in \text{mod } \Gamma^\text{op}$ and $1 \leq i \leq k$ if and only if $l.\text{fd}_\Gamma(\text{Hom}_A(U, E_i)) \leq i + 1$ for any
0 ≤ i ≤ k − 1. In this case, \( T_i(U) \equiv \Omega_i(U) \mod\Gamma^{op} \) for any 1 ≤ i ≤ k.

**Proof.** Our assertions follows from Lemma 3.2 and Lemma 3.4. ■

Putting \( m = -k \), then by Lemma 3.2, we have the following

**Corollary 3.6** The following statements are equivalent.

1. \( U \)-dom.dim(\( \Lambda U \)) ≥ k.
2. \( s.\text{grade}_U \text{Ext}_1^\Lambda(N, U) \geq k \) for any \( N \in \text{mod} \Gamma^{op} \).
3. \( \text{Hom}_\Lambda(U, E_i) \) is \( \Gamma \)-flat for any 0 ≤ i ≤ k − 1.

Dually, we have the following

**Corollary 3.6** The following statements are equivalent.

1. \( U \)-dom.dim(\( \Gamma U \)) ≥ k.
2. \( s.\text{grade}_U \text{Ext}_1\Lambda(M, U) \geq k \) for any \( M \in \text{mod} \Lambda \).
3. \( \text{Hom}_\Gamma(U, E_i') \) is \( \Lambda^{op} \)-flat for any 0 ≤ i ≤ k − 1.

The following two results are cited from [11].

**Lemma 3.7** ([11] Corollary 2.5) \( \text{Hom}_\Lambda(U, E_0) \) is \( \Gamma \)-flat if and only if \( \text{Hom}_\Gamma(U, E_0') \) is \( \Lambda^{op} \)-flat.

**Lemma 3.8** ([11] Lemma 2.6) Let \( X \) be in \( \text{mod} \Lambda \) (resp. \( \text{mod} \Gamma^{op} \)) and \( n \) a non-negative integer. If \( \text{grade}_U X \geq n \) and \( \text{grade}_U \text{Ext}_1^n(\Lambda, U) \) (resp. \( \text{grade}_U \text{Ext}_1^n(\Gamma, U) \)) ≥ n + 1, then \( \text{grade}_U X \geq n + 1 \).

**Lemma 3.9** If \( U \)-dom.dim(\( U_1 \)) ≥ k, then \( U \)-dom.dim(\( \Lambda U \)) ≥ k.

**Proof.** When \( k = 1 \), by Corollary 3.6, \( \text{Hom}_\Lambda(U, E_0') \) is \( \Lambda^{op} \)-flat. Then, by Lemma 3.7, \( \text{Hom}_\Lambda(U, E_i) \) is \( \Gamma \)-flat. So \( U \)-dom.dim(\( \Lambda U \)) ≥ 1 by Corollary 3.6.

Now suppose \( k \geq 2 \). By induction assumption, \( U \)-dom.dim(\( \Lambda U \)) ≥ k − 1. So, by Corollary 3.6, we have that \( s.\text{grade}_U \text{Ext}_1(N, U) \geq k - 1 \) for any \( N \in \text{mod} \Gamma^{op} \).

Let \( X \) be any submodule of \( \text{Ext}_1^\Gamma(N, U) \). Then \( \text{grade}_U X \geq k - 1 \). By assumption and Corollary 3.6, \( \text{grade}_U \text{Ext}_1^\Gamma(X, U) \geq k \) for any \( i \geq 1 \). It follows from Lemma 3.8 that \( \text{grade}_U X \geq k \). So \( s.\text{grade}_U \text{Ext}_1^\Gamma(N, U) \geq k \) and hence \( U \)-dom.dim(\( \Lambda U \)) ≥ k by Corollary 3.6. ■

**Proof of Theorem I.** By Corollary 3.6 we have that (1) \( \Leftrightarrow \) (2) \( \Leftrightarrow \) (3), and by Lemma 3.9 we have that (1) \( \Rightarrow \) (1) \( \Leftrightarrow \). The other implications follow from the symmetry. ■

We now begin to prove Theorem II.
Lemma 3.10 ([12] Lemma 3.2) If $\text{grade}_U \text{Ext}^{i+1}_\Gamma (X, U) \geq i$ for any $X \in \text{mod } \Lambda$ (resp. mod $\Gamma^{op}$) and $1 \leq i \leq k - 1$, then each $k$-syzygy module in mod $\Lambda$ (resp. mod $\Gamma^{op}$) is in $\Omega_k^{U} (mod \Lambda)$ (resp. $\Omega_k^{U} (mod \Gamma^{op})$).

Theorem 3.11 The following statements are equivalent.

1. $s.\text{grade}_U \text{Ext}^i_\Lambda (M, U) \geq i$ for any $M \in \text{mod } \Lambda$ and $1 \leq i \leq k$.
2. $\text{Ext}^i_\Gamma (\text{Ext}^i_\Lambda (M, U), U)$ preserves monomorphisms in mod $\Lambda$ for any $0 \leq i \leq k - 1$.

Proof. We proceed by using induction on $k$.

(1) $\Rightarrow$ (2) Let

$$0 \to X \xrightarrow{f} Y \to Z \to 0$$

be an exact sequence in mod $\Lambda$.

Suppose $k = 1$. By assumption, $s.\text{grade}_U \text{Ext}^1_\Lambda (Z, U) \geq 1$. Since $\text{Coker} f^*$ is a submodule of $\text{Ext}^1_\Lambda (Z, U)$, $(\text{Coker} f^*)^* = 0$ and $0 \to X^{**} \xrightarrow{f^{**}} Y^{**}$ is exact.

Now suppose $k \geq 2$. From the exact sequence (4), we get an exact sequence:

$$\text{Ext}^{k-1}_\Lambda (Z, U) \xrightarrow{\alpha} \text{Ext}^{k-1}_\Lambda (Y, U) \xrightarrow{\beta} \text{Ext}^{k-1}_\Lambda (X, U) \xrightarrow{\gamma} \text{Ext}^k_\Lambda (Z, U).$$

Set $A = \text{Im} \alpha$, $B = \text{Im} \beta$ and $C = \text{Im} \gamma$. By (1), we have that $\text{grade}_U A \geq k - 1$, $\text{grade}_U B \geq k - 1$ and $\text{grade}_U C \geq k$. Then we get the following exact sequences:

$$0 \to \text{Ext}^{k-1}_\Gamma (B, U) \to \text{Ext}^{k-1}_\Gamma (\text{Ext}^{k-1}_\Lambda (Y, U), U),$$

$$0 \to \text{Ext}^{k-1}_\Gamma (\text{Ext}^{k-1}_\Lambda (X, U), U) \to \text{Ext}^{k-1}_\Gamma (B, U).$$

Thus we get a composition of monomorphisms:

$$\text{Ext}^{k-1}_\Gamma (\text{Ext}^{k-1}_\Lambda (X, U), U) \hookrightarrow \text{Ext}^{k-1}_\Gamma (B, U) \hookrightarrow \text{Ext}^{k-1}_\Gamma (\text{Ext}^{k-1}_\Lambda (Y, U), U),$$

which is also a monomorphism.

(2) $\Rightarrow$ (1) Suppose $k = 1$. Let $M$ be in mod $\Lambda$ and $X$ a submodule of $\text{Ext}^1_\Lambda (M, U)$. Because $\text{Ext}^1_\Lambda (M, U)$ is in mod $\Gamma^{op}$, $X$ is also in mod $\Gamma^{op}$. So there exist a positive integer $t$ and an exact sequence:

$$0 \to U^t \xrightarrow{f} L \to M \to 0$$

such that the induced exact sequence:

$$L^* \xrightarrow{f^*} (U^t)^* \to \text{Ext}^1_\Lambda (M, U)$$

has the property that $X \cong \text{Coker} f^*$. By assumption, $f^{**}$ is monic, $X^* \cong \text{Ker} f^{**} = 0$. Hence we conclude that $s.\text{grade}_U \text{Ext}^1_\Lambda (M, U) \geq 1$.
Now suppose \( k \geq 2 \). By induction assumption, for any \( M \in \text{mod } \Lambda \), we have that 

\[
\text{s.grade}_{\Lambda} \text{Ext}_{\Lambda}^i(M, U) \geq i \text{ for any } 1 \leq i \leq k - 1 \text{ and } s.\text{grade}_{\Lambda} \text{Ext}_{\Lambda}^k(M, U) \geq k - 1.
\]

By [10] Theorem 3.1, \( \Omega_U^i(\text{mod } \Lambda) = T_{U}^i(\text{mod } \Lambda) \) for any \( 1 \leq i \leq k \).

Let \[
\cdots \xrightarrow{g_{i+1}} P_i \xrightarrow{g_i} \cdots \xrightarrow{g_2} P_1 \xrightarrow{g_1} P_0 \to M \to 0
\]

be a projective resolution of \( M \) in \( \text{mod } \Lambda \). Notice that \( \text{Coker} g_k \) is a \((k - 1)\)-syzygy module in \( \text{mod } \Lambda \), so it is in \( \Omega_U^{k-1}(\text{mod } \Lambda) \) by Lemma 3.10 and hence in \( T_{U}^{k-1}(\text{mod } \Lambda) \). Thus 

\[
\text{Ext}_{\Gamma}^k(\text{Coker} g_k^*, U) = 0 \text{ for any } 1 \leq i \leq k - 1.
\]

Let \( X \) be a submodule of \( \text{Ext}_{\Gamma}^k(M, U) \). Then \( \text{grade}_U X \geq k - 1 \). By [9] Lemma 2, there exists an embedding \( 0 \to X \to \text{Coker} g_k^* \). By assumption, we then have an exact sequence:

\[
0 \to \text{Ext}_{\Gamma}^{k-1}(\text{Ext}_{\Lambda}^{k-1}(X, U), U) \to \text{Ext}_{\Gamma}^{k-1}(\text{Ext}_{\Lambda}^{k-1}(\text{Coker} g_k^*, U), U) = 0,
\]

which implies that \( \text{Ext}_{\Gamma}^{k-1}(\text{Ext}_{\Lambda}^{k-1}(X, U), U) = 0 \).

On the other hand, \( \text{s.grade}_{\Gamma} \text{Ext}_{\Gamma}^{k-1}(X, U) \geq k - 1 \) by [21] Theorem 7.5. So \( \text{s.grade}_{\Gamma} \text{Ext}_{\Gamma}^{k-1}(X, U) \geq k \). It follows from Lemma 3.8 that \( \text{grade}_U X \geq k \) and \( \text{s.grade}_{\Gamma} \text{Ext}_{\Gamma}^{k}(M, U) \geq k \). We are done. ■

**Proof of Theorem II.** By definition, we have \( (1) \Leftrightarrow (2) \). By Corollary 3.5(2), we have that 
\( (3) \Leftrightarrow (2)^{\text{op}} \Leftrightarrow (4) \). By Theorem 3.11 and [21] Theorem 7.5, we have that \( (5) \Leftrightarrow (2) \Leftrightarrow (2)^{\text{op}} \). The other implications follow from the symmetry. ■

### 4. Exactness of the double dual

As applications to the results in Section 3, we give in this section some characterizations of \((-)^{**}\) preserving monomorphisms and being left exact, respectively.

As an immediate consequence of Theorem II, we have the following result, which generalizes [5] Theorem 1 and [7] Proposition 3.1.

**Proposition 4.1** The following statements are equivalent.

1. \( U\text{-dom.dim}(\Lambda U) \geq 1 \).
2. \( \text{s.grade}_{U} \text{Ext}_{\Lambda}^1(M, U) \geq 1 \) for any \( M \in \text{mod } \Lambda \).
3. \( E_0 \in \text{add-lim}_{\Lambda} U \).
4. \((-)^{**}\) preserves monomorphisms in \( \text{mod } \Lambda \).
5. \( U\text{-dom.dim}(U_{\Gamma}) \geq 1 \).
6. \( s.\text{grade}_{U} \text{Ext}_{\Gamma}^1(N, U) \geq 1 \) for any \( N \in \text{mod } \Gamma^{\text{op}} \).
7. \( E_0^{\text{op}} \in \text{add-lim}_{U_{\Gamma}} \).

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Lemma 4.2 Assume that \( U\text{-dom.dim}(\Lambda U) \geq k \). Then, for a module \( M \) in \( \text{mod} \, \Lambda \), \( \text{grade}_U M \geq k \) if \( M^* = 0 \).

Proof. For any \( M \in \text{mod} \, \Lambda \) and \( i \geq 1 \), we have an exact sequence
\[
\text{Hom}_\Lambda(M, E_{i-1}) \to \text{Hom}_\Lambda(M, K_i) \to \text{Ext}_\Lambda^1(M, U) \to 0
\] where \( K_i = \text{Im}(E_{i-1} \to E_i) \).

Suppose \( U\text{-dom.dim}(\Lambda U) \geq k \). Then each \( E_i \) is in \( \text{add-lim} \, \Lambda U \) for any \( 0 \leq i \leq k - 1 \). So, for a given \( M \in \text{mod} \, \Lambda \) with \( M^* = 0 \), we have that \( \text{Hom}_\Lambda(M, E_i) = 0 \) by [17] Theorem 3.2 and \( \text{Hom}_\Lambda(M, K_i) = 0 \) for any \( 0 \leq i \leq k - 1 \). Then by the exactness of the sequence (5), \( \text{Ext}_\Lambda^1(M, U) = 0 \) for any \( 1 \leq i \leq k - 1 \), and so \( \text{grade}_U M \geq k \).

Lemma 4.3 If \( [\text{Ext}_\Lambda^1(M, U)]^* = 0 \) for any \( M \in \text{mod} \, \Lambda \), then \( N^* \) is \( U \)-reflexive for any \( N \in \text{mod} \, \Gamma^\text{op} \).

Proof. By the dual statements of [10] Proposition 4.2 and Corollary 4.2.

We now characterize \( U \)-dominant dimension of \( U \) at least two. The following result generalizes [5] Theorem 2 and [8] Proposition E.

Proposition 4.4 The following statements are equivalent.

1. \( U\text{-dom.dim}(\Lambda U) \geq 2 \).
2. \( (-)^{**} : \text{mod} \, \Lambda \to \text{mod} \, \Lambda \) is left exact.
3. \( (-)^{**} : \text{mod} \, \Lambda \to \text{mod} \, \Lambda \) preserves monomorphisms and \( \text{Ext}_\Lambda^1(\text{Ext}_\Lambda^1(X, U), U) = 0 \) for any \( X \in \text{mod} \, \Lambda \).

1.\(^{\text{op}} \) \( U\text{-dom.dim}(\Lambda U) \geq 2 \).
2.\(^{\text{op}} \) \( (-)^{**} : \text{mod} \, \Gamma^{\text{op}} \to \text{mod} \, \Gamma^{\text{op}} \) is left exact.
3.\(^{\text{op}} \) \( (-)^{**} : \text{mod} \, \Gamma^{\text{op}} \to \text{mod} \, \Gamma^{\text{op}} \) preserves monomorphisms and \( \text{Ext}_\Gamma^1(\text{Ext}_\Gamma^1(Y, U), U) = 0 \) for any \( Y \in \text{mod} \, \Gamma^{\text{op}} \).

Proof. By Theorem I, we have (1) \( \iff \) (1)\(^{\text{op}} \). By symmetry, we only need to prove that (1) \( \implies \) (2) and (2)\(^{\text{op}} \) \( \implies \) (3) \( \implies \) (1)\(^{\text{op}} \).

(1) \implies (2) Assume that \( U\text{-dom.dim}(\Lambda U) \geq 2 \) and \( 0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0 \) is an exact sequence in \( \text{mod} \, \Lambda \). By Proposition 4.1, \( \alpha^{**} \) is monic. By Theorem I and [4] Chapter VI, Proposition 5.3, we have that \( \text{Hom}_\Gamma(U, E'_0) \) is \( \Lambda^{op} \)-flat and \( \text{Hom}_\Gamma(\text{Ext}_\Lambda^1(C, U), E'_0) = 0 \). Since \( \text{Coker}(\alpha^*) \) is isomorphic to a submodule of \( \text{Ext}_\Lambda^1(C, U) \), \( \text{Hom}_\Gamma(\text{Coker}(\alpha^*), E'_0) = 0 \) and \( (\text{Coker}(\alpha^*))^* = 0 \). Then by Lemma 4.2, we have that \( \text{grade}_U \text{Coker}(\alpha^*) \geq 2 \) and \( \text{Ext}_\Gamma^1(\text{Coker}(\alpha^*), U) = 0 \). It follows easily that \( 0 \to A^{**} \xrightarrow{\alpha^{**}} B^{**} \xrightarrow{\beta^{**}} C^{**} \) is exact.
(2)$^{op} \Rightarrow (3)$ By Proposition 4.1, $(-)^{**}: \text{mod } \Gamma^{op} \to \text{mod } \Gamma^{op}$ preserves monomorphisms and $U$-$\text{dom.dim}(\Lambda U) = U$-$\text{dom.dim}(U \Gamma) \geq 1$. By Theorem I, for any $X \in \text{mod } \Lambda$, we have that $s.\text{grade}_U \text{Ext}_1^1(X, U) \geq 1$ and $[\text{Ext}_1^1(X, U)]^* = 0$.

Let 

$$0 \to K \xrightarrow{f} Q \xrightarrow{g} \text{Ext}_1^1(X, U) \to 0$$

be an exact sequence in $\text{mod } \Gamma^{op}$ with $Q$ projective. Then, by (2)$^{op}$, $f^{**}$ and $f^{***}$ are monomorphisms and hence isomorphisms. On the other hand, we have the following commutative diagram with exact rows:

$$
\begin{array}{cccc}
0 & \to & K & \xrightarrow{f} \text{Ext}_1^1(X, U) & \to & 0 \\
& & \downarrow{\sigma_K^*} & & \downarrow{\sigma_K^*} & \\
0 & \to & Q & \xrightarrow{f^{**}} K & \xrightarrow{f^{***}} \text{Ext}_1^1(X, U) & \to & 0
\end{array}
$$

It follows from Lemma 4.3 that $Q^*$ and $K^*$ are $U$-reflexive. So $\sigma_Q^*$ and $\sigma_K^*$ are isomorphisms and hence $f^*$ is an isomorphism. Consequently we have that $\text{Ext}_1^1(X, U) = 0$.

$(3) \Rightarrow (1)^{op}$ Suppose that (3) holds. Then $U$-$\text{dom.dim}(U \Gamma) \geq 1$ by Proposition 4.1.

Let $A$ be in $\text{mod } \Lambda$ and $B$ any submodule of $\text{Ext}_1^1(A, U)$ in $\text{mod } \Gamma^{op}$. Since $U$-$\text{dom.dim}(U \Gamma) \geq 1$, by Theorem I and [4] Chapter VI, Proposition 5.3, we have that $\text{Hom}_\Gamma(U, E_0')$ is $\Lambda^{op}$-flat and $\text{Hom}_\Gamma(\text{Ext}_1^1(A, U), E_0') = 0$. So $\text{Hom}_\Gamma(B, E_0') = 0$ and hence $\text{Hom}_\Gamma(B, E_0'/U \Gamma) \cong \text{Ext}_1^1(B, U)$. On the other hand, $\text{Hom}_\Gamma(B, E_0') = 0$ implies $B^* = 0$. Then by [13] Lemma 2.1, we have that $B \cong \text{Ext}_1^1(\text{Tr}_U B, U)$ with $\text{Tr}_U B$ in mod $\Lambda$. By (3), $\text{Hom}_\Gamma(B, E_0'/U) \cong \text{Ext}_1^1(B, U) \cong \text{Ext}_1^1(\text{Ext}_1^1(\text{Tr}_U B, U), U) = 0$. Then by using a similar argument to the proof of $(2) \Rightarrow (3)$ in Lemma 3.2, we have that $\text{Hom}_\Gamma(\text{Ext}_1^1(A, U), E_1') = 0$ (note: $E_1'$ is the injective envelope of $E_0'/U$). It follows from [4] Chapter VI, proposition 5.3 that $\text{Hom}_\Gamma(U, E_1')$ is $\Lambda^{op}$-flat and thus $U$-$\text{dom.dim}(U \Gamma) \geq 2$ by Theorem I. $\blacksquare$

5. A generalization of $k$-Gorenstein modules

In this section, we study a generalization of $k$-Gorenstein modules, which is however not left-right symmetric. We characterize this generalization in terms of some properties similar to that of $k$-Gorenstein modules. The results obtained here develops the main result of Auslander and Reiten in [3].

We begin with the following equivalent characterizations of $U$-$\text{lim.dim}_\Lambda(E_0) \leq 1$ as follows, which generalizes [8] Proposition D.

**Proposition 5.1** The following statements are equivalent.

(1) $U$-$\text{lim.dim}_\Lambda(E_0) \leq 1$. 

(2) $\sigma_X$ is an essential monomorphism for any $U$-torsionless module $X$ in mod $\Lambda$.

(3) $f^{**}$ is a monomorphism for any monomorphism $f : X \to Y$ in mod $\Lambda$ with $Y$ $U$-torsionless.

(4) $f^{**}$ is a monomorphism for any monomorphism $f : X \to Y$ in mod $\Lambda$ with $X$ and $Y$ $U$-torsionless.

(5) $\text{grade}_U \text{Ext}^1_{\Lambda}(X, U) \geq 1$ for any $X \in \text{mod} \, \Lambda$.

(6) $s.\text{grade}_U \text{Ext}^2_{\Lambda}(N, U) \geq 1$ for any $N \in \text{mod} \, \Gamma^{\text{op}}$.

Proof. (1) $\Leftrightarrow$ (6) follows from Corollary 3.5(2) and (3) $\Rightarrow$ (4) is trivial.

(1) $\Rightarrow$ (2) Suppose $U$-$\text{lim.dim} \Lambda(E_0) \leq 1$. Then by Lemma 3.1, we have that $l.\text{fd}_{\Gamma}(\text{Hom}_{\Lambda}(U, E_0)) \leq 1$.

Assume that $X$ is $U$-torsionless in mod $\Lambda$. Then $\text{Coker}\sigma_X \cong \text{Ext}^2_{\Gamma}(\text{Tr}_U X, U)$ by [13] Lemma 2.1. By [4] Chapter VI, Proposition 5.3, we have that $\text{Hom}_{\Lambda}(\text{Coker}\sigma_X, E_0) \cong \text{Hom}_{\Lambda}(\text{Ext}^2_{\Gamma}(\text{Tr}_U X, U), E_0) \cong \text{Tor}^2_{\Gamma}(\text{Tr}_U X, \text{Hom}_{\Lambda}(U, E_0)) = 0$. Then $A^* = 0$ for any submodule $A$ of $\text{Coker}\sigma_X$, which implies that any non-zero submodule of $\text{Coker}\sigma_X$ is not $U$-torsionless.

Let $B$ be a submodule of $X^{**}$ with $X \cap B = 0$. Then $B \cong B/(X \cap B) \cong (X + B)/X$ is isomorphic to a submodule of $\text{Coker}\sigma_X$. On the other hand, $B$ is clearly $U$-torsionless. So $B = 0$ and hence $\sigma_X$ is essential.

(2) $\Rightarrow$ (3) Let $f : X \to Y$ be monic in mod $\Lambda$ with $Y$ $U$-torsionless. Then $f^{**}\sigma_X = \sigma_Y f$ is monic. By (2), $\sigma_X$ is an essential monomorphism, so $f^{**}$ is monic.

(4) $\Rightarrow$ (5) Let $X$ be in mod $\Lambda$ and $0 \to Y \to P \to X \to 0$ an exact sequence in mod $\Lambda$ with $P$ projective. It is easy to see that $[\text{Ext}^1_{\Lambda}(X, U)]^* \cong \text{Ker}g^{**}$. On the other hand, since $\Lambda U_\Gamma$ is a faithfully balanced bimodule, $P$ is $U$-reflexive and $Y$ is $U$-torsionless. So $g^{**}$ is monic by (4) and hence $\text{Ker}g^{**} = 0$ and $[\text{Ext}^1_{\Lambda}(X, U)]^* = 0$.

(5) $\Rightarrow$ (1) Let $M$ be in mod $\Gamma^{\text{op}}$ and $\cdots \to P_1 \to P_0 \to M \to 0$ a projective resolution of $M$ in mod $\Gamma^{\text{op}}$. Put $N = \text{Coker}(P_2 \to P_1)$. By [13] Lemma 2.1, $\text{Ext}^1_{\Gamma}(M, U) \cong \text{Ext}^1_{\Lambda}(N, U) \cong \text{Ker}\sigma_{\text{Tr}_U N}$. On the other hand, since $N$ is $U$-torsionless, $\text{Ext}^1_{\Lambda}(\text{Tr}_U N, U) \cong \text{Ker}\sigma_N = 0$.

Let $X$ be any finitely generated submodule of $\text{Ext}^2_{\Gamma}(M, U)$ and $f_1 : X \to \text{Ext}^2_{\Gamma}(M, U) \cong \text{Ker}\sigma_{\text{Tr}_U N}$ the inclusion, and let $f$ be the composition: $X \xrightarrow{f_1} \text{Ext}^2_{\Gamma}(M, U) \xrightarrow{g} \text{Tr}_U N$, where $g$ is a monomorphism. Then $\sigma_{\text{Tr}_U N} f = 0$ and $f^{*}\sigma_{\text{Tr}_U N}^{*} = (\sigma_{\text{Tr}_U N} f)^* = 0$. But $\sigma_{\text{Tr}_U N}^{*}$ is epic by [1] Proposition 20.14, so $f^{*} = 0$. Hence, by applying the functor $\text{Hom}_{\Lambda}(\cdot, U)$ to the exact sequence $0 \to X \xrightarrow{f} \text{Tr}_U N \to \text{Coker}f \to 0$, we have that $X^* \cong \text{Ext}^1_{\Lambda}(\text{Coker}f, U)$ and then $X^{**} \cong [\text{Ext}^1_{\Lambda}(\text{Coker}f, U)]^* = 0$ by (5), which implies that $X^* = 0$ since $X^*$ is a direct summand of $X^{***}(= 0)$. By using a similar argument to the proof of (2) $\Rightarrow$ (3) in Lemma...
3.2, we can prove that $l.\text{fd}_\Gamma(\text{Hom}_\Lambda(U, E_0)) \leq 1$. Therefore $U.-\text{lim}.\text{dim}_\Lambda(E_0) \leq 1$ by Lemma 3.1. ■

By Proposition 4.1, we have that $E_0 \in \text{add}.\text{lim}_\Lambda U$ if and only if $E'_0 \in \text{add}.\text{lim}_\Gamma U$, that is, $U.-\text{lim}.\text{dim}_\Lambda(E_0) = 0$ if and only if $U.-\text{lim}.\text{dim}_\Gamma(E'_0) = 0$. However, in general, we don’t have the fact that $U.-\text{lim}.\text{dim}_\Lambda(E_0) \leq 1$ if and only if $U.-\text{lim}.\text{dim}_\Gamma(E'_0) \leq 1$ even when $\Lambda U\Gamma = \Lambda\Lambda\Lambda$.

Example We use $I_0$ and $I'_0$ to denote the injective envelope of $\Lambda\Lambda$ and $\Lambda\Lambda$, respectively. Consider the following example. Let $K$ be a field and $\Delta$ the quiver:

\[ 1 \xrightarrow{\alpha} 2 \xleftarrow{\beta} \gamma \xrightarrow{} 3 \]

(1) If $\Lambda = K\Delta/(\alpha\beta\alpha)$. Then $l.\text{fd}_\Lambda(I_0) = 1$ and $r.\text{fd}_\Lambda(I'_0) \geq 2$. (2) If $\Lambda = K\Delta/(\gamma\alpha, \beta\alpha)$. Then $l.\text{fd}_\Lambda(I_0) = 2$ and $r.\text{fd}_\Lambda(I'_0) = 1$.

Compare the following result with Theorem 3.11.

Theorem 5.2 The following statements are equivalent.

(1) $\text{grade}_U\text{Ext}^i_\Lambda(M, U) \geq i$ for any $M \in \text{mod} \Lambda$ and $1 \leq i \leq k$.

(2) $\text{Ext}^i_\Gamma(\text{Ext}^i_\Lambda(M, U), U)$ preserves monomorphisms $X \rightarrow Y$ with both $X$ and $Y$ $U$-torsionless in $\text{mod} \Lambda$ for any $0 \leq i \leq k - 1$.

Proof. We proceed by induction on $k$. The case $k = 1$ follows from Proposition 5.1. Now suppose $k \geq 2$.

(1) $\Rightarrow$ (2) Let $A$ be a $U$-torsionless module in $\text{mod} \Lambda$. Then there exists an exact sequence in $\text{mod} \Lambda$ with $P$ in $\text{add}_\Lambda U$:

\[ 0 \rightarrow A \rightarrow P \rightarrow B \rightarrow 0. \]

By (1), for any $1 \leq i \leq k - 1$, we have that $\text{grade}_U\text{Ext}^i_\Lambda(A, U) = \text{grade}_U\text{Ext}^{i+1}_\Lambda(B, U) \geq i + 1$, which implies that $\text{Ext}^k_\Gamma(\text{Ext}^k_\Lambda(A, U), U) = 0$. The desired conclusion follows trivially.

(2) $\Rightarrow$ (1) By induction assumption, for any $M \in \text{mod} \Lambda$, we have that $\text{grade}_U\text{Ext}^i_\Lambda(M, U) \geq i$ for any $1 \leq i \leq k - 1$ and $\text{grade}_U\text{Ext}^k_\Lambda(M, U) \geq k - 1$. So it suffices to prove that $\text{Ext}^{k-1}_\Gamma(\text{Ext}^k_\Lambda(M, U), U) = 0$.

Let

\[ 0 \rightarrow K \rightarrow P \rightarrow M \rightarrow 0 \]

be an exact sequence in $\text{mod} \Lambda$ with $P$ projective. Then by (2), we have the following exact sequence:

\[ 0 \rightarrow \text{Ext}^{k-1}_\Gamma(\text{Ext}^{k-1}_\Lambda(K, U), U) \rightarrow \text{Ext}^{k-1}_\Gamma(\text{Ext}^{k-1}_\Lambda(P, U), U). \]
But the last term in this sequence is always zero, so \( \text{Ext}^{k-1}_\Gamma(\text{Ext}^k_\Lambda(M,U),U) \cong \text{Ext}^{k-1}_\Gamma(K,U),U) = 0. \)

Compare the following result with [21] Theorem 7.5.

**Theorem 5.3** The following statements are equivalent.

1. \( \text{s.grade}_U \text{Ext}^{i+1}_\Lambda(N,U) \geq i \) for any \( N \in \text{mod} \Gamma^{op} \) and \( 1 \leq i \leq k \).
2. \( \text{grade}_U \text{Ext}^i_\Lambda(M,U) \geq i \) for any \( M \in \text{mod} \Lambda \) and \( 1 \leq i \leq k \).

**Proof.** We proceed by using induction on \( k \). The case \( k = 1 \) follows from Proposition 5.1. Now suppose \( k \geq 2 \).

(1) \( \Rightarrow \) (2) By induction assumption, for any \( M \in \text{mod} \Lambda \), we have that \( \text{grade}_U \text{Ext}^i_\Lambda(M,U) \geq i \) for any \( 1 \leq i \leq k - 1 \) and \( \text{grade}_U \text{Ext}^k_\Lambda(M,U) \geq k - 1 \). Then \( T^k_U(\text{mod} \Lambda) = \Omega^k_U(\text{mod} \Lambda) \) for any \( 1 \leq i \leq k \) by Lemma 3.4.

Let

\[
\cdots \to P_i \to \cdots \to P_1 \to P_0 \to M \to 0
\]

be an exact sequence in mod \( \Lambda \) with each \( P_i \) projective for any \( i \geq 0 \). By [9] Lemma 2, we have the following exact sequence:

\[
0 \to \text{Ext}^k_\Lambda(M,U) \to \text{Tr}_U \Omega^{k-1}_\Lambda(M) \to P^*_k \to 0 \quad (6)
\]

Notice that \( \Omega^{k-1}_\Lambda(M) \) is \((k-1)\)-syzygy and \( \Omega^k_\Lambda(M) \) is \( k \)-syzygy, so, by Lemma 3.10, \( \Omega^{k-1}_\Lambda(M) \) (resp. \( \Omega^k_\Lambda(M) \)) is in \( \text{add} \text{Tr}_U \) \( \text{mod} \Lambda \) (resp. \( \text{add} \text{Tr}_U \) \( \text{mod} \Lambda \)) and hence is in \( T^k_U(\text{mod} \Lambda) \) (resp. \( T^k_U(\text{mod} \Lambda) \)). It follows that \( \text{Ext}^i\text{Tr}_U \Omega^{k-1}_\Lambda(M),U) = 0 \) for any \( 1 \leq i \leq k - 1 \) and \( \text{Ext}^i\text{Tr}_U \Omega^k_\Lambda(M),U) = 0 \) for any \( 1 \leq i \leq k \). In addition, \( P^*_k \subseteq \text{add} \text{Tr}_U \), so \( \text{Ext}^i\text{Tr}_U(P^*_k) = 0 \) for any \( i \geq 1 \). Thus from the exact sequence (6) we get an embedding:

\[
0 \to \text{Ext}^{k-1}_\Gamma(\text{Ext}^k_\Lambda(M,U),U) \to \text{Ext}^{k+1}_\Gamma(\text{Tr}_U \Omega^k_\Lambda(M),U).
\]

Then, by (1), we have that \( \text{grade}_U \text{Ext}^{k-1}_\Gamma(\text{Ext}^k_\Lambda(M,U),U) \geq k \). Consequently, \( \text{grade}_U \text{Ext}^k_\Lambda(M,U) \geq k \) by Lemma 3.8.

(2) \( \Rightarrow \) (1) By induction assumption, for any \( N \in \text{mod} \Gamma^{op} \), we have that \( \text{s.grade}_U \text{Ext}^{i+1}_\Gamma(N,U) \geq i \) for any \( 1 \leq i \leq k - 1 \) and \( \text{s.grade}_U \text{Ext}^{k+1}_\Gamma(N,U) \geq k - 1 \). Then \( T^k_U(\text{mod} \Gamma^{op}) = \Omega^k_U(\text{mod} \Gamma^{op}) \) for any \( 1 \leq i \leq k \) by Lemma 3.4.

Let \( X \) be a submodule of \( \text{Ext}^{k+1}_\Gamma(N,U) \). Then \( \text{grade}_U X \geq k - 1 \). By [9] Lemma 2, there exists an exact sequence:

\[
0 \to X \xrightarrow{f} \text{Tr}_U \Omega^k_\Gamma(N) \to \text{Coker}f \to 0 \quad (7)
\]
Notice that $\Omega^k_U(N)$ is $k$-syzygy, so, by Lemma 3.10, it is in $\Omega_U^k(\text{mod } \Gamma^{op})$ and hence is in $T_U^k(\text{mod } \Gamma^{op})$. It follows that $\text{Ext}_A^k(\text{Tr}_U \Omega_U^k(N), U) = 0$ for any $1 \leq i \leq k$. So from the exact sequence (7) we get that $\text{Ext}^{k-1}_{\Lambda}(X, U) \equiv \text{Ext}_A^k(\text{Coker } f, U)$. By (2), $\text{grade}_U \text{Ext}^{k-1}_{\Lambda}(X, U) = \text{grade}_U \text{Ext}^k_{\Lambda}(\text{Coker } f, U) \geq k$. It follows from Lemma 3.8 that $\text{grade}_U X \geq k$ and $s.\text{grade}_U \text{Ext}_U^{k+1}(N, U) \geq k$. ■

Recall that a full subcategory $\mathcal{X}$ of $\text{mod } \Lambda$ (resp. $\text{mod } \Gamma^{op}$) is said to be closed under extensions if the middle term $B$ of any short sequence $0 \to A \to B \to C \to 0$ is in $\mathcal{X}$ provided that the end terms $A$ and $C$ are in $\mathcal{X}$.

The following is the main result in this section.

**Theorem 5.4** The following statements are equivalent.

1. $s.\text{grade}_U \text{Ext}_U^{i+1}(N, U) \geq i$ for any $N \in \text{mod } \Gamma^{op}$ and $1 \leq i \leq k$.
2. $U\text{-lim.dim}_\Lambda(E_i) \leq i + 1$ for any $0 \leq i \leq k - 1$.
3. $l.\text{fd}_I(\text{Hom}_\Lambda(U, E_i)) \leq i + 1$ for any $0 \leq i \leq k - 1$ for any $0 \leq i \leq k - 1$.
4. $\text{grade}_U \text{Ext}_\Lambda^i(M, U) \geq i$ for any $M \in \text{mod } \Lambda$ and $1 \leq i \leq k$.
5. $\text{Ext}_\Lambda^i(\text{Ext}_\Lambda^{k-i}(U), U)$ preserves monomorphisms $X \to Y$ with both $X$ and $Y$ $U$-torsionless in $\text{mod } \Lambda$ for any $0 \leq i \leq k - 1$.

If one of the above equivalent conditions holds, then $\Omega_U^i(\text{mod } \Gamma^{op}) = T_U^i(\text{mod } \Gamma^{op})$ is closed under extensions for any $1 \leq i \leq k$.

**Proof.** By Corollary 3.5(2), we have that (1) $\iff$ (2) $\iff$ (3). It follows from Theorems 5.3 and 5.2 that (1) $\iff$ (4) $\iff$ (5). The last assertion follows from [10] Theorem 3.3. ■

We use $I_i$ (resp. $I'_i$) to denote the $(i + 1)$-st term in a minimal injective resolution of $\Lambda^A$ (resp. $\Lambda^A$) for any $i \geq 0$. The following corollary generalizes [3] Theorem 4.7. In [3], the assumption of $\Lambda$ being a noetherian algebra is necessary for proving (5) $\Rightarrow$ (3). But here the assumption of $\Lambda$ being a left and right noetherian ring is enough for all of the implications.

**Corollary 5.5** The following statements are equivalent.

1. $s.\text{grade}_A \text{Ext}_A^{i+1}(N, \Lambda) \geq i$ for any $N \in \text{mod } \Lambda^{op}$ and $1 \leq i \leq k$.
2. $l.\text{fd}_A(I_i) \leq i + 1$ for any $0 \leq i \leq k - 1$.
3. $\text{grade}_A \text{Ext}_A^i(M, \Lambda) \geq i$ for any $M \in \text{mod } \Lambda$ and $1 \leq i \leq k$.
4. $\text{Ext}_A^i(\text{Ext}_A^k(U), \Lambda, \Lambda)$ preserves such monomorphisms $X \to Y$ with both $X$ and $Y$ $U$-torsionless in $\text{mod } \Lambda$ for any $0 \leq i \leq k - 1$.
5. $\Omega_A^i(\text{mod } \Lambda^{op})$ is closed under extensions for any $1 \leq i \leq k$.
6. $\text{add}\Omega_A^i(\text{mod } \Lambda^{op})$ (the subcategory of $\text{mod } \Lambda^{op}$ whose objects are those modules which are direct summands of $i$-th syzygies) is closed under extensions for any $1 \leq i \leq k$.
Lemma 3.8 that grade

\[ (5) \text{ and } (6) \text{ follows from the dual statements of [3] Theorem 1.7.} \]

At the end of this section, we generalize the result of Wakamatsu on the symmetry of \( k \)-Gorenstein modules.

**Proposition 5.6** Assume that \( m \) is a non-negative integer and \( \underline{\text{l}}\dim_{\Lambda}(E_i) \leq i + 1 \) for any \( 0 \leq i < m \).

1. If \( \underline{\text{l}}\dim_{\Gamma}(\bigoplus_{i=0}^{m} E'_i) \leq m \), then \( \underline{\text{l}}\dim_{\Lambda}(E_m) \leq m \); Especially, if \( \text{id}_{\Lambda}(U) \leq m \), then \( \underline{\text{l}}\dim_{\Lambda}(E_m) \leq m \).

2. For a positive integer \( k \), if \( \underline{\text{l}}\dim_{\Gamma}(\bigoplus_{i=0}^{m} E'_i) \leq m \) and \( \underline{\text{l}}\dim_{\Gamma}(E'_{m+j}) \leq m+j \) for any \( 1 \leq j \leq k-1 \), then \( \underline{\text{l}}\dim_{\Lambda}(E_{m+j}) \leq m+j \) for any \( 0 \leq j \leq k-1 \).

**Proof.** The case \( m = 0 \) follows from Theorem II. Now suppose \( m \geq 1 \).

1. By Corollaries 3.5 and 3.3, it suffices to prove that if \( \text{s.grade}_{U}\text{Ext}_{\Gamma}^{m+1}(N,U) \geq i \) for any \( N \in \text{mod } \Gamma^{op} \) and \( 1 \leq i \leq m \) and \( \text{s.grade}_{\Lambda}\text{Ext}_{\Lambda}^{m+1}(M,U) \geq m+1 \) for any \( M \in \text{mod } \Lambda \), then \( \text{s.grade}_{U}\text{Ext}_{\Gamma}^{m+1}(N,U) \geq m+1 \) for any \( N \in \text{mod } \Gamma^{op} \).

Suppose that

\[ \cdots \to Q_i \to \cdots \to Q_1 \to Q_0 \to N \to 0 \tag{8} \]

is a projective resolution of \( N \) in mod \( \Gamma^{op} \).

By Lemma 3.4, we have that \( T_U^i(\text{mod } \Gamma^{op}) = \Omega_U^i(\text{mod } \Gamma^{op}) \) for any \( 1 \leq i \leq m+1 \). Notice that \( \text{Coker}(Q_{m+1} \to Q_m) \) is \( m \)-syzygy, so, by Lemma 3.10, it is in \( \Omega_U^m(\text{mod } \Gamma^{op}) \) and hence is in \( T_U^m(\text{mod } \Gamma^{op}) \), which implies that \( \text{Ext}_{\Gamma}^1(\text{Tr}_{\Gamma}\Omega_U^m(N),U) = 0 \) for any \( 1 \leq i \leq m \).

Let \( X \) be a submodule of \( \text{Ext}_{\Gamma}^{m+1}(N,U) \). Then \( \text{grade}_{U}X \geq m \). By [9] Lemma 2, we have an exact sequence:

\[ 0 \to X \xrightarrow{f} \text{Tr}_{\Gamma}\Omega_U^m(N) \to \text{Coker}f \to 0. \]

We then get an embedding \( 0 \to \text{Ext}_{\Gamma}^m(X,U) \to \text{Ext}_{\Lambda}^{m+1}(\text{Coker}f,U) \). By assumption, \( \text{s.grade}_{U}\text{Ext}_{\Lambda}^{m+1}(\text{Coker}f,U) \geq m+1 \). So \( \text{grade}_{U}\text{Ext}_{\Lambda}^m(X,U) \geq m+1 \). It follows from Lemma 3.8 that \( \text{grade}_{U}X \geq m+1 \) and \( \text{s.grade}_{U}\text{Ext}_{\Gamma}^{m+1}(N,U) \geq m+1 \).

By Lemma 2.4(2) and the dual statement of Lemma 3.1, we have that \( \underline{\text{l}}\dim_{\Gamma}(\bigoplus_{i=0}^{k} E'_i) \leq \text{id}_{\Lambda}(U) \). So the latter assertion follows from the former one.

2. We proceed by using induction on \( k \). The case \( k = 1 \) is just (1).

Now suppose \( k \geq 2 \). By induction assumption, we have that \( \underline{\text{l}}\dim_{\Lambda}(E_i) \leq i + 1 \) for any \( 0 \leq i \leq m-1 \) and \( \underline{\text{l}}\dim_{\Lambda}(E_{m+j}) \leq m+j \) for any \( 0 \leq j \leq k-2 \). By Corollaries 3.5 and 3.3, for any \( N \in \text{mod } \Gamma^{op} \), we have that \( \text{s.grade}_{U}\text{Ext}_{\Gamma}^{m+1}(N,U) \geq i \) for any \( 1 \leq i \leq m \).
and \( \text{grade}_\mathcal{U} \text{Ext}^{m+j}(N, U) \geq m + j \) for any \( 1 \leq j \leq k - 1 \). By Corollary 3.3, it suffices to prove that \( \text{grade}_\mathcal{U} \text{Ext}^{m+k}(N, U) \geq m + k \).

Suppose that \( N \) has a projective resolution as (8). By Lemma 3.4, we have that \( T_i^j(\text{mod } \Gamma^\mathcal{U}) = \Omega_i^\mathcal{U}(\text{mod } \Gamma^\mathcal{U}) \) for any \( 1 \leq i \leq m + k \). Notice that \( \text{Coker}(Q_{m+k} \to Q_{m+k-1}) \) is \((m + k - 1)\)-syzygy, so, by Lemma 3.10, it is in \( \Omega_{m+k-1}^\mathcal{U}(\text{mod } \Gamma^\mathcal{U}) \) and hence is in \( T_{m+k-1}^\mathcal{U}(\text{mod } \Gamma^\mathcal{U}) \), which implies that \( \text{Ext}^i_{\Lambda}(\text{Tr} \Omega_{m+k-1}^\mathcal{U}(N), U) = 0 \) for any \( 1 \leq i \leq m + k - 1 \).

By assumption, \( \text{U-lim}	ext{dim}_\Gamma(\bigoplus_{i=0}^m E_i^j) \leq m \) and \( \text{U-lim}	ext{dim}_\Gamma(E_{m+j}^j) \leq m + j \) for any \( 1 \leq j \leq k - 1 \). Then, by Corollary 3.3, we have that \( \text{grade}_\mathcal{U} \text{Ext}^{m+k}(M, U) \geq m + k \) for any \( M \in \text{mod } \Lambda \).

Let \( X \) be a submodule of \( \text{Ext}_{\mathcal{U}}^{m+k}(N, U) \). Then \( \text{grade}_\mathcal{U} X \geq m + k - 1 \). By [9] Lemma 2, we have an exact sequence:

\[
0 \to X \xrightarrow{f} \text{Tr}_\mathcal{U} \Omega_{m+k-1}^\mathcal{U}(N) \to \text{Coker}f \to 0.
\]

We then get an embedding \( 0 \to \text{Ext}_{\Lambda}^{m+k-1}(X, U) \to \text{Ext}_{\Lambda}^{m+k}(\text{Coker}f, U) \). Since \( \text{grade}_\mathcal{U} \text{Ext}_{\Lambda}^{m+k}(\text{Coker}f, U) \geq m + k \), \( \text{grade}_\mathcal{U} \text{Ext}_{\Lambda}^{m+k-1}(X, U) \geq m + k \). It follows from Lemma 3.8 that \( \text{grade}_\mathcal{U} X \geq m + k \) and \( \text{grade}_\mathcal{U} \text{Ext}_{\mathcal{U}}^{m+k}(N, U) \geq m + k \).

Putting \( m = 0 \), by Proposition 5.6(2), \( \text{U-lim}	ext{dim}_\Gamma(E_i) \leq i \) for any \( 0 \leq i \leq k - 1 \) if \( \text{U-lim}	ext{dim}_\Gamma(E_i^j) \leq i \) for any \( 0 \leq i \leq k - 1 \). Combining this result with Corollary 3.3(2) and their dual statements, we then get the symmetry of \( k \)-Gorenstein modules (see [21] Theorem 7.5).

Putting \( \Lambda^{\mathcal{U} \Gamma} = \Lambda \Lambda_{\mathcal{U}} \), the following corollary is an immediate consequence of Proposition 5.6, which is a generalization of the result of Auslander on the symmetry of \( k \)-Gorenstein rings.

**Corollary 5.7** Assume that \( m \) is a non-negative integer and \( \text{l.fdl}_\Lambda(I_i) \leq i + 1 \) for any \( 0 \leq i \leq m - 1 \).

1. If \( r.\text{fd}_\Lambda(\bigoplus_{i=0}^m I_i^j) \leq m \), then \( \text{l.fdl}_\Lambda(I_m) \leq m \); Especially, if \( \text{l.idl}_\Lambda(\Lambda) \leq m \), then \( \text{l.fdl}_\Lambda(I_m) \leq m \).
2. For a positive integer \( k \), if \( r.\text{fd}_\Lambda(\bigoplus_{i=0}^m I_i^j) \leq m \) and \( r.\text{fd}_\Lambda(I^j_{m+j}) \leq m + j \) for any \( 1 \leq j \leq k - 1 \), then \( \text{l.fdl}_\Lambda(I_{m+j}) \leq m + j \) for any \( 0 \leq j \leq k - 1 \).

When \( m = 0 \), the result in Corollary 5.7(2) is equivalent to the symmetry of \( k \)-Gorenstein rings (see [6] Theorem 3.7). In the following, we give an example satisfying the conditions in Corollary 5.7 for the case \( m = 1 \) and \( k = 2 \) as follows.
Example Let $K$ be a field and $\Lambda$ a finite dimensional $K$-algebra which given by the quiver:

\[
\begin{array}{cccc}
1 & \alpha & 3 & \beta \\
\downarrow & & \downarrow & \\
2 & 3 & 5 & \\
\end{array}
\]\n
modulo the ideal $\beta \alpha$. Then $lfd_{\Lambda}(I_0) = lfd_{\Lambda}(I_1) = rfd_{\Lambda}(I_0') = rfd_{\Lambda}(I_1') = 1$, $lfd_{\Lambda}(I_2) = rfd_{\Lambda}(I_2') = 2$ and $lfd_{\Lambda}(\Lambda) = rfd_{\Lambda}(\Lambda) = 2$.

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