

THE LIST CHROMATIC NUMBERS OF SOME PLANAR GRAPHS

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Abstract. In this paper, the choosability of outerplanar graphs, 1-tree and strong 1-outerplanar graphs have been described completely. A precise upper bound of the list chromatic number of 1-outerplanar graphs is given, and that every 1-outerplanar graph with girth at least 4 is 3-choosable is proved.

§ 1 Introduction

Throughout this paper, we only consider the finite undirected simple graphs. The terms and notations can be found in [1]. Given a graph $G=(V, E)$ in which each vertex v is assigned a list $L(v)$ of possible colors. If there is a vertex coloring φ such that $\varphi(v) \in L(v)$ for all $v \in V(G)$, we call G L -colorable and also say φ is an L -coloring of G . Given an integer k , G is called k -choosable if it is L -colorable for every assignment L with $|L(v)| = k$ for all $v \in V$. Finally the list chromatic number $\chi_l(G)$ of G is the smallest k such that G is k -choosable. Clearly, every k -choosable graph G is k -colorable and so $\chi(G) \leq \chi_l(G)$ holds.

The study of list coloring problems was initiated by Vizing^[2] in 1976 and, independently but later, by Erdős, Rubin and Taylor^[3] in 1979. During the last years, some new results were found about the choosability of planar graphs. Alon and Tarsi^[4] proved that every bipartite graph is 3-choosable. In 1993 Thomassen^[5] proved that every planar graph is 5-choosable whereas Voigt^[6] presented an example of a planar graph which is not 4-choosable. And in 1995 Thomassen^[7] showed that every planar graph with girth at least 5 is 3-choosable. In this paper, we characterize the choosability of some planar graphs.

Let G be a planar graph. If there exists a face f such that each vertex of G on the boundary of f , then G is called an outerplanar graph. The edges on the boundary of f are said to be outer edges and other edges inner edges, let ϵ_{in} denote the number of the inner edges. If there is a vertex $v_0 \in V(G)$ such that $G - v_0$ is a forest, then G is called 1-tree. If there is a $v_0 \in V(G)$ such that $G - v_0$ is an outerplanar graph, then G is called 1-outerplanar

Received:1998-02-23. Revised:1998-04-27.

1991 MR Subject Classification: 05C15.

Keywords:Planar graph, coloring, list chromatic number.

The project supported by NSFC and NSFJS.

graph. If G is neither a 1-tree nor an outerplanar graph, and for each $v \in V(G)$, $G-v$ is an outerplanar graph, then G is called a strong 1-outerplanar graph. Let W_m be a wheel with order $m+1$. Let φ be a list coloring of G and for $S \subseteq V(G)$, we denote by $C_\varphi(S)$ a set of colors used on the vertices of $N_G(S)$ under φ .

§ 2 Main Results

Let \mathcal{P}_k be a collection of simple graphs satisfying the following properties:

- (1) For every $G \in \mathcal{P}_k, \delta(G) \leq k$;
- (2) If $G \in \mathcal{P}_k$, then for every $H \subseteq G, H \in \mathcal{P}_k$.

Theorem 1. If $G \in \mathcal{P}_k (k \geq 1)$, then $\chi_l(G) \leq k+1$.

Proof. It is clear by induction on $v(G)$.

In order to get the main results, we need several facts and lemmas as follows:

- (1) If G consists of n components G_1, G_2, \dots, G_n , then $\chi_l(G) = \max_{1 \leq i \leq n} \{\chi_l(G_i)\}$.
- (2) $\chi_l(G) = 1$ iff G is a null graph.
- (3) If $H \subseteq G$, then $\chi_l(H) \leq \chi_l(G)$.

Without loss of generality, we always consider that G is a simple connected planar graph with at least two vertices.

Lemma 1. Let C be an even cycle, then $\chi_l(C) = 2$.

Proof. It is easy to prove this.

Lemma 2. Let T be a tree and $x \in V(T)$. Then there exists a 2-list coloring of T such that the color of x can be assigned in advance.

Proof. Let us construct an effective method for giving a 2-list coloring φ of T . The procedure is described as follows:

Step 1. Let $\varphi(x) \in L(x)$ and set $U = \{x\}$.

Step 2. For every $y \in N_T(U) \setminus U$, let $y_1 \in U$ be a vertex adjacent to y . We put $\varphi(y) \in L(y) \setminus \{\varphi(y_1)\}$.

Step 3. Set $U = N_T(U) \cup U$. If $V(T) \setminus U = \emptyset$, stop. Otherwise, go to step 2.

Since T is finite, the above procedure must stop within finite steps. Thus a 2-list coloring φ of T is formed.

Lemma 3.^[8] If G is an outerplanar graph, then $\delta(G) \leq 2$; and when $\delta(G) = 2$, G contains at least two vertices of degree 2.

Theorem 2. Let G be an outerplanar graph. Then

$$\chi_l(G) = \begin{cases} 2, & \text{if } G \text{ is a bipartite graph with at most one cycle;} \\ 3, & \text{Otherwise.} \end{cases}$$

Proof. Note that $\chi_l(G) \geq 2$ is trivial. By Lemma 3, $G \in \mathcal{P}_2$. Thus, using Theorem 1, we have $\chi_l(G) \leq 3$.

Now suppose that G is a bipartite graph with at most one cycle. If G contains no cy-

ple, G is a tree and then, by Lemma 2, $\chi_l(G)=2$. Let G contain a cycle C , thus $G-E(C)$ is a forest. Since G is connected, every component of $G-E(C)$ contains exactly one vertex in $V(C)$. Now a 2-list coloring of G can be formed as follows: since C is even, by Lemma 1 we first give a 2-list coloring of C ; based on this and by Lemma 2, we further color every tree of $G-E(C)$ attached at some vertex of $V(C)$. Thus $\chi_l(G)=2$.

Conversely, suppose $\chi_l(G)=2$. Thus it is obvious that G is a bipartite graph. Suppose that G contains at least two cycles, say $C_1=u_0u_1\dots u_mu_0$ and $C_2=v_0v_1\dots v_nv_0$. Since G is bipartite outerplanar, both C_1 and C_2 are even and one of the following cases must occur:

Case 1. $|V(C_1) \cap V(C_2)|=1$.

Let $u_0=v_0$ and set $L(u_1)=L(v_2)=\{1,3\}$, $L(v_1)=L(u_2)=\{2,3\}$ and $L(x)=\{1,2\}$ for all $x \in V(C_1 \cup C_2) \setminus \{u_1, u_2, v_1, v_2\}$. If $\varphi(u_0)=1$, then $\varphi(u_1)=3, \varphi(u_2)=2, \varphi(u_3)=1, \dots, \varphi(u_{m-1})=2$. It follows that $C_\varphi(u_m)=L(u_m)=\{1,2\}$. Thus u_m can't be colored properly. If $\varphi(u_0)=2$, we can similarly obtain $C_\varphi(v_n)=L(v_n)=\{1,2\}$, which implies that v_n can't be colored properly. Therefore $\chi_l(G) \geq \chi_l(C_1 \cup C_2) \geq 3$.

Case 2. $|V(C_1) \cap V(C_2)|=2$.

Let $u_0=v_0, u_m=v_n$. Assigning the same color-lists as in Case 1 to the vertices in $C_1 \cup C_2$ and using a similar argument, we have $\chi_l(G) \geq \chi_l(C_1 \cup C_2) \geq 3$.

Case 3. $|V(C_1) \cap V(C_2)|=0$.

Since G is connected, there exists a path P in G connecting C_1 and C_2 . Without loss of generality, let $P=u_0x_1x_2\dots x_kv_0, k \geq 0$ and $x_1, \dots, x_k \notin V(C_1 \cup C_2)$.

3. 1. If $k \equiv 1 \pmod{2}$, we set $L(u_1)=L(v_2)=\{1,3\}$, $L(u_2)=L(v_1)=\{2,3\}$ and $L(x)=\{1,2\}$ for each $x \in V(C_1 \cup C_2 \cup P) \setminus \{u_1, u_2, v_1, v_2\}$. Since $|V(P)|=k+2$ is odd, we must use same color (i. e., 1 or 2) to u_0 and v_0 . Thus we may identify the vertices u_0 and v_0 , remove all internal vertices of P , and now this subcase is reduced to Case 1.

3. 2. If $k \equiv 0 \pmod{2}$, we set $L(u_1)=L(v_1)=\{1,3\}$, $L(u_2)=L(v_2)=\{2,3\}$ and $L(x)=\{1,2\}$ for each $x \in V(C_1 \cup C_2 \cup P) \setminus \{u_1, u_2, v_1, v_2\}$. Let φ be any 2-list coloring of $C_1 \cup C_2 \cup P$. If $\varphi(u_0)=1$, using the similar argument of Case 1, we have that u_m can't be colored properly. If $\varphi(u_0)=2$, then $\varphi(v_0)=1$ since $|V(P)|=k+2$ is even. It follows that v_m can't be colored properly. Hence $\chi_l(G) \geq \chi_l(C_1 \cup C_2 \cup P) \geq 3$.

Now, we always have $\chi_l(G) \geq 3$. This contradicts the assumption $\chi_l(G)=2$. Thus G contains at most one cycle.

Let \bar{G} denote a graph such that $\bar{G}=C_1 \cup C_2$ with $|V(C_1) \cap V(C_2)|=n-1$, where $C_i (i=1,2)$ are even cycles of length $n (\geq 4)$. Let G_0 be a graph containing \bar{G} as an induced subgraph such that $G-E(\bar{G})$ is a forest.

Lemma 4. $\chi_l(G_0)=2$.

Proof. It is enough to prove $\chi_l(\bar{G})=2$ by Lemma 2. Let $C_1=u_1u_2\dots u_{n-1}u_nu_1, C_2=u_1u_2\dots u_{n-1}v_nu_1$ and $P=u_1u_2\dots u_{n-1}$, where $u_n \neq v_n$. It is enough to consider the following

three cases to form a 2-list coloring of \bar{G} .

Case 1. $L(u_n) = L(v_n)$.

By Lemma 1, there is a 2-list coloring φ of C_1 . Since $N_G(u_n) = N_G(v_n)$ and $L(u_n) = L(v_n)$, then we put $\varphi(v_n) = \varphi(u_n)$. Hence there is a 2-list coloring of \bar{G} .

Case 2. $L(u_n) \cap L(v_n) = \emptyset$.

Suppose that $L(u_n) = \{1, 2\}$ and $L(v_n) = \{3, 4\}$. Let Φ denote a set of all (proper) 2-list colorings of P . Then $\Phi = \emptyset$ by Lemma 2. Moreover, we set $\Phi_{ij} = \{\varphi \in \Phi \mid \{\varphi(u_1), \varphi(u_{n-1})\} = \{i, j\}, \text{ where } i \in L(u_1) \text{ and } j \in L(u_{n-1}), \text{ or } i \in L(u_{n-1}) \text{ and } j \in L(u_1)\}$. Now this case can be reduced to prove the following statement:

There is a $\varphi \in \Phi$ with $\{\varphi(u_1), \varphi(u_{n-1})\} \neq L(u_n)$ and $\{\varphi(u_1), \varphi(u_{n-1})\} \neq L(v_n)$. (*)

In fact, if (*) holds, then $L(u_n) \setminus C_\varphi(u_n) \neq \emptyset$ and $L(v_n) \setminus C_\varphi(v_n) \neq \emptyset$. Thus, we can put $\varphi(u_n) \in L(u_n) \setminus C_\varphi(u_n), \varphi(v_n) \in L(v_n) \setminus C_\varphi(v_n)$, and then a 2-list coloring of \bar{G} is formed.

Suppose that (*) is not true. Thus $\Phi = \Phi_{12} \cup \Phi_{34} \neq \emptyset$. There are two possibilities:

2. 1. $\Phi_{12} \neq \emptyset$ and $\Phi_{34} \neq \emptyset$. Without loss of generality, we assume that $L(u_1) = \{1, 3\}$ and $L(u_{n-1}) = \{2, 4\}$. Let $\varphi \in \Phi_{12}$ and $\psi \in \Phi_{34}$. Thus we have $\varphi(u_1) = 1, \varphi(u_{n-1}) = 2, \psi(u_1) = 3$, and $\psi(u_{n-1}) = 4$. Now we claim that $\varphi(u_{n-2}) = 4$. In fact, if $\varphi(u_{n-2}) \neq 4$, we can define a new 2-list coloring φ_1 of P as follows: $\varphi_1(u_{n-1}) = 4, \varphi_1(u_i) = \varphi(u_i), i = 1, 2, \dots, n-2$. Clearly, $\varphi_1 \in \Phi_{14}$, which contradicts the fact $\Phi_{14} = \emptyset$. Similarly, we can deduce that $\psi(u_{n-2}) = 2$. This implies that $2, 4 \in L(u_{n-2})$. Noting that $|L(u_{n-2})| = 2$, we have $L(u_{n-2}) = \{2, 4\}$. Furthermore, we must have $\varphi(u_{n-3}) = 2$. Otherwise we can construct a 2-list coloring φ_2 of P as follows: $\varphi_2(u_{n-1}) = 4, \varphi_2(u_{n-2}) = 2, \varphi_2(u_i) = \varphi(u_i), i = 1, 2, \dots, n-3$. Thus $\varphi_2 \in \Phi_{14}$, a contradiction. Using analogous argument, we get $\psi(u_{n-3}) = 4$. Thus $L(u_{n-3}) = \{2, 4\}$. Along this way, we obtain that $L(u_2) = \dots = L(u_{n-1}) = \{2, 4\}$. Now let's put $\varphi^*(u_1) = 1, \varphi^*(u_i) = 2, i = 2, 4, \dots, n-2, \varphi^*(u_j) = 4, j = 3, 5, \dots, n-1$. It is easily checked that φ^* is a 2-list coloring of P and then $\varphi^* \in \Phi_{14}$, a contradiction.

2. 2. $\Phi_{12} = \emptyset$, or $\Phi_{34} = \emptyset$, say $\Phi_{12} = \emptyset$. We claim that $L(u_1) = L(u_{n-1}) = \{3, 4\}$. Otherwise there exists a color $a \notin \{3, 4\}$ belonging to $L(u_1)$ or $L(u_{n-1})$. By Lemma 2, we can obtain a 2-list coloring φ of P such that $\varphi(u_1)$ or $\varphi(u_{n-1})$ equals a . So $\varphi \notin \Phi_{34}$, a contradiction. Therefore (*) is proved.

Case 3. $|L(u_n) \cap L(v_n)| = 1$.

In this case, we suppose that $L(u_n) = \{1, 2\}$ and $L(v_n) = \{1, 3\}$. We can also prove the claim (*). Suppose that (*) is not true. Thus $\Phi = \Phi_{12} \cup \Phi_{13} \neq \emptyset$. There are two possibilities:

3. 1. $\Phi_{12} \neq \emptyset$ and $\Phi_{13} \neq \emptyset$. First, we claim that $1 \in L(u_1) \cap L(u_{n-1})$. Otherwise we can suppose $L(u_1) = \{\alpha, \beta\}$ and $L(u_{n-1}) = \{a, b\}$ where $1 \notin \{\alpha, a, b\}$. By Lemma 2, we can obtain a 2-list coloring φ of P such that $\varphi(u_1) = \alpha$ and $\varphi(u_{n-1}) \in \{a, b\}$. So $\varphi \notin \Phi_{12} \cup \Phi_{13}$, a contradiction. Hence we assume that $L(u_1) = \{1, 2\}$ and $L(u_{n-1}) = \{1, 3\}$. Analogous to Case 2. 1, there is a 2-list coloring φ^* of P such that $\varphi^* \in \Phi_{11}$, a contradiction.

3. 2. $\Phi_{12}=\emptyset$, or $\Phi_{13}=\emptyset$, say $\Phi_{12}=\emptyset$. Using an analogous argument as Case 2. 2, we can also gain a contradiction. Hence $(*)$ is proved.

Up to now, we have proved $\chi_l(\overline{G})\leq 2$. But $\chi_l(\overline{G})\geq 2$ is trivial. Therefore $\chi_l(\overline{G})=2$. The lemma is proved.

Theorem 3. Let G be a 1-tree. Then

$$\chi_l(G) = \begin{cases} 2, & \text{if } G \text{ is a bipartite graph with (i) at most one cycle } C \text{ or} \\ & \text{(ii) } G \text{ belongs to } \{G_0\} \text{ as above;} \\ 3, & \text{otherwise.} \end{cases}$$

Proof. Note that $\chi_l(G)\geq 2$ is trivial. Since any subgraph of a 1-tree is also a 1-tree, $G\in\mathcal{P}_2$. Thus we have $\chi_l(G)\leq 3$ by Theorem 1.

Since (i) implies that G is bipartite outerplanar, using Theorem 2, $\chi_l(G)=2$. For (ii), $\chi_l(G)=2$ by Lemma 4.

Conversely, suppose $\chi_l(G)=2$. Thus it is obvious that G is bipartite by contradiction. Since G is a 1-tree, one of the following cases must occur:

Case 1. G contains two cycles C_1 and C_2 such that there are $v_1, v_2 \in V(C_1) \setminus V(C_2), u_1, u_2 \in V(C_2) \setminus V(C_1)$ with $v_1v_2, u_1u_2 \in E(G)$. Since G is 1-tree, $V(C_1) \cap V(C_2) \neq \emptyset$. Let $C_1 = v_1v_2 \dots v_nw_kw_{k-1} \dots w_1v_1$, and $C_2 = u_1u_2 \dots u_mw_kw_{k-1} \dots w_1u_1$ where $k \geq 0$. Set $L(u_1) = L(v_2) = \{1, 3\}, L(u_2) = L(v_1) = \{2, 3\}$ and $L(x) = \{1, 2\}$ for all $x \in V(C_1 \cup C_2) \setminus \{u_1, u_2, v_1, v_2\}$. Note that C_1, C_2 are even. If $\varphi(w_1) = 1$, then $\varphi(u_1) = 3, \varphi(u_2) = 2, \varphi(u_3) = 1, \dots, \varphi(w_3) = 2$. It follows that $C_\varphi(w_2) = L(w_2) = \{1, 2\}$. Thus w_2 can't be colored properly. If $\varphi(w_1) = 2$, then $\varphi(v_1) = 3, \varphi(v_2) = 1, \varphi(v_3) = 2, \dots, \varphi(w_3) = 1$. Also it follows that $C_\varphi(w_2) = L(w_2) = \{1, 2\}$ and w_2 can't be colored properly. Therefore $\chi_l(G) \geq \chi_l(C_1 \cup C_2) \geq 3$.

Case 2. G contains three cycles C_1, C_2 and C_3 such that $|V(C_1)| = |V(C_2)| = |V(C_3)| = n(n \geq 4)$ and $C_1 \cap C_2 \cap C_3$ is a path $P = v_1v_2 \dots v_{n-1}$ of the length $n-2$. Let $\{x_i\} = V(C_i) \setminus V(P), i = 1, 2, 3$. Set $L(x_1) = \{1, 3\}, L(x_2) = \{2, 4\}, L(x_3) = \{1, 4\}, L(v_1) = \{1, 2\}, L(v_2) = \{2, 3\}$, and $L(x) = \{3, 4\}$ for all $x \in V(C_1 \cup C_2 \cup C_3) \setminus \{x_1, x_2, x_3, v_1, v_2\}$. Since G is bipartite, $C_i, (i = 1, 2, 3)$ are even. We have $|V(P)| \equiv 1 \pmod{2}$. It is easily checked that any 2-list coloring φ of P must satisfy $\{\varphi(v_1), \varphi(v_{n-1})\} = \{1, 3\}$ or $\{2, 4\}$ or $\{1, 4\}$. So there is an $i \in \{1, 2, 3\}$ such that $L(x_i) = C_\varphi(x_i)$. It follows that $\chi_l(G) \geq \chi_l(C_1 \cup C_2 \cup C_3) \geq 3$, which contradicts the assumption $\chi_l(G) = 2$. Thus the theorem is proved.

Lemma 5.^[8] If G is a 1-outerplanar graph, then $\delta(G) \leq 3$.

Theorem 4. If G is a 1-outerplanar graph, then $2 \leq \chi_l(G) \leq 4$.

Proof. $\chi_l(G) \geq 2$ is trivial. Since any subgraph of 1-outerplanar graph is a 1-outerplanar graph, $G \in \mathcal{P}_3$ by Lemma 5. Thus using Theorem 1, we have $\chi_l(G) \leq 4$.

Corollary 4. 1. If G is a 1-outerplanar graph, but neither outerplanar nor 1-tree, then $3 \leq \chi_l(G) \leq 4$

Proof. Suppose $\chi_l(G) = 2$, then G is a bipartite graph. We claim G satisfies the condition (i) or (ii) of Theorem 3. Otherwise G contains two cycle C_1 and C_2 and the following cases

must occur since G is 1-outerplanar.

Case 1. $V(C_1) \cap V(C_2) = \emptyset$. Analogous to Case 3 of Theorem 2, we can deduce $\chi_l(G) \geq 3$.

Case 2. $V(C_1) \cap V(C_2) \neq \emptyset$. Analogous to Case 1 and Case 2 of Theorem 3, also we can deduce $\chi_l(G) \geq 3$.

Thus G satisfies the condition (i) or (ii) of Theorem 3, which implies that G is an outerplanar graph or a 1-tree, a contradiction.

Lemma 6. ^[9] G is an outerplanar graph iff G doesn't contain the subdivision of K_4 or $K_{2,3}$.

Lemma 7. If G is a strong 1-outerplanar graph with $\delta(G) = 3$, then $\kappa(G) = 3$.

Proof. First we prove $\kappa(G) \geq 2$. Suppose that there is $x \in V(G)$ such that $G - x$ has components G'_1, G'_2, \dots, G'_m . Let $H'_1 = G[V(G'_1) \cup \{x\}]$, $H'_2 = G[V(\bigcup_{i=2}^m G'_i) \cup \{x\}]$. Since G is strong 1-outerplanar, H'_1 and H'_2 are outerplanar graphs. Thus $H_1 \cup H_2 = G$ is outerplanar, a contradiction. So $\kappa(G) \geq 2$. Now suppose that there are $u, v \in V(G)$ such that $G - \{u, v\}$ has components G_1, G_2, \dots, G_n . Let $H_i = G[V(G_i) \cup \{u, v\}]$, $i = 1, 2$. Since G is strong 1-outerplanar, H_1 and H_2 are outerplanar. Thus $\delta(H_i) \leq 2$ ($i = 1, 2$) by Lemma 3. Since $\delta(G) = 3$, $d_{H_1}(u) \leq 2$ or $d_{H_1}(v) \leq 2$, and $d_{H_1}(x) \geq 3$ for all $x \in V(G) \setminus \{u, v\}$ ($i = 1, 2$). Suppose $uv \in E(G)$, it is impossible since the outerplanar graph H_1 only contains two adjacent vertices of degree ≤ 2 . So we have $uv \notin E(G)$ and one of the following cases must occur:

Case 1. $\kappa(H_1) \geq 2$. It is clear that there are two internally vertex-disjoint (u, v) -paths $P_1, P_2 \subseteq H_1$ with $\nu(P_i) \geq 3$.

Case 2. $\kappa(H_1) = 1$. First, we claim that neither u nor v is a cut-vertex of H_1 . Otherwise suppose $H_1 - u$ is disconnected, which follows that $G - u$ also is disconnected. This is a contradiction with $\kappa(G) \geq 2$. Then, we claim H_1 exactly contains two blocks B_1 and B_2 with $u \in V(B_1)$ and $v \in V(B_2)$. If not, there is a block B of H_1 with $V(B) \cap \{u, v\} = \emptyset$ by the above claim. There is a cut-vertex y of H_1 such that $G - y$ is disconnected where $y \in V(B)$, a contradiction. Let $V(B_1) \cap V(B_2) = z$. We can assume $\delta_{B_1}(z) \geq 2$ by $\delta_G(z) \geq 3$. If $uz \in E(H_1)$, the outerplanar graph B_1 with $\nu(B_1) \geq 3$ contains only two adjacent vertices of degree ≤ 2 , which is impossible. Hence there are two internally vertex-disjoint (u, z) -paths $P_1, P_2 \subseteq B_1 \subseteq H_1$ with $\nu(P_i) \geq 3$ ($i = 1, 2$).

Similarly, we can also prove there are two internally vertex-disjoint paths $P_3, P_4 \subseteq H_2$ with $\nu(P_j) \geq 3$ ($j = 3, 4$). It follows that $G - w$ contains a subdivision of $K_{2,3}$ with $w \in V(P_4) \setminus \{u, v\}$, which contradicts Lemma 6. Therefore the lemma is proved.

Theorem 5. Let G be a strong 1-outerplanar graph with $\delta(G) = 3$. Then G is isomorphic to a wheel or a triangular prism.

Proof. Since $\delta(G) = 3, \nu(G) \geq 4$. Take any $v \in V(G)$ such that $d_G(v) = \Delta(G)$. By Lemma 7, $G - v$ is a 2-connected outerplanar graph. Thus $G - v$ contains a Hamilton cycle $C =$

$v_1v_2 \dots v_mv_1 (m = \nu(G-v) \geq 3)$ as a boundary of the exterior face. If $m = 3$, then $vv_i \in E(G), i = 1, 2, 3$ because $d_G(v) = 3$. So G is a 3-wheel. Otherwise $m \geq 4$, we have one of the following cases:

Case 1. $\Delta(G) = m$.

If C contains an inner edge $v_iv_j (j > i)$, there is a $v_k \in V(C)$ with $i < k < j$ such that $G-v_k$ contains a subdivision of K_4 . This contradicts the definition of G by Lemma 6. Thus G doesn't contain any inner edge. In this case G is isomorphic to a m -wheel.

Case 2. $3 \leq \Delta(G) \leq m-1$.

Since $\delta(G) = 3$ and $\Delta(G) \leq m-1$, C must contain an inner edge $v_iv_j (j > i)$. Let $C_1 = v_iv_{i+1} \dots v_{j-1}v_jv_i$ and $C_2 = v_iv_{j+1} \dots v_m \dots v_{i-1}v_iv_j$. We consider several subcases below.

2.1. $\Delta(G) \geq 5$.

In fact, we have $\max\{|V(C_1) \cap N_G(v)|, |V(C_2) \cap N_G(v)|\} \geq \lceil \frac{\Delta(G)}{2} \rceil \geq 3$, say $|V(C_1) \cap N_G(v)| \geq 3$. Thus, for any $v_0 \in V(C_2) \setminus \{v_i, v_j\}$, $G-v_0$ contains a subdivision of K_4 , a contradiction.

2.2. $\Delta(G) = 3$.

Since $\delta(G) = 3$, G is a 3-regular planar graph and thus $\nu(G) \equiv 0 \pmod{2}$. By the above discussion we may assume $\nu(G) \geq 6$. If $\nu(G) = 6$, we have obviously $N_G(v) = V(C) \setminus \{v_i, v_j\}$. So G becomes isomorphic to a triangular prism. If $\nu(G) \geq 8$, $\epsilon_{in} \geq \frac{\nu(G)-1-3}{2} \geq 2$. Thus G contains the other inner edge $v_s v_t$ such that $s, t \notin \{i, j\}$ by $\Delta(G) = 3$. Let $\{v_s, v_t\} \subseteq V(C_1)$, where $t > s$. G contains a cycle $C_3 = v_s v_{s+1} \dots v_{t-1} v_t v_s$, with $V(C_2) \cap V(C_3) = \emptyset$. By Lemma 7, there are three vertex-disjoint paths from v to C_3 . Since $G-v$ is outerplanar, there is $v_k \in N_G(v)$ such that $v_k \in V(C_3) \setminus \{v_s, v_t\}$. Similarly, there is $v_l \in V(C_2) \setminus \{v_i, v_j\}$. Suppose that $v_h = N_G(v) \setminus \{v_k, v_l\} \not\subseteq V(C_2) \cup V(C_3)$, then $G-v_i$ contains a subdivision of $K_{2,3}$, a contradiction. So $v_h \in V(C_2) \cup V(C_3)$. We can assume $v_h \in V(C_2)$. Then $G-v_i$ contains a subdivision of $K_{2,3}$, a contradiction too.

2.3. $\Delta(G) = 4$.

First it is easily seen that $|N_G(v) \cap V(C_1)| \leq 2$ and $|N_G(v) \cap V(C_2)| \leq 2$ since any subdivision of $K_4 \not\subseteq G$. Thus there must exist $v_k, v_l \in (N_G(v) \cap V(C_1)) \setminus \{v_i, v_j\}$ and $v_s, v_t \in (N_G(v) \cap V(C_2)) \setminus \{v_i, v_j\}$. So $G-\{v_k\}$ contains a subdivision of K_4 , a contradiction.

Up to now, the theorem is proved.

Lemma 8. If G is a triangular prism, then $\chi_l(G) = 3$.

Proof. Let $C_1 = u_1u_2u_3u_1$ and $C_2 = v_1v_2v_3v_1$ are two 3-cycles of G with $u_i, v_i \in E(G), i = 1, 2, 3$. One of the following cases must occur:

Case 1. $L(u_1) \cap L(v_2) \neq \emptyset$.

A 3-list coloring φ of G can be formed as follows: $\varphi(u_1) = \varphi(v_2) \in L(u_1) \cap L(v_2), \varphi(v_3) \in L(v_3) \setminus \{\varphi(v_2)\}, \varphi(u_3) \in L(u_3) \setminus \{\varphi(v_3), \varphi(u_1)\}, \varphi(v_1) \in L(v_1) \setminus \{\varphi(u_1), \varphi(v_3)\}, \varphi(u_2) \in L(u_2) \setminus \{\varphi(u_1), \varphi(u_3)\}$.

Case 2. $L(u_1) \cap L(v_2) = \emptyset$. In this case we have two possibilities.

2.1. $L(u_1) \setminus L(v_1) \neq \emptyset$.

A 3-list coloring φ of G can be formed as follows: $\varphi(u_1) \in L(u_1) \setminus L(v_1), \varphi(u_2) \in L(u_2) \setminus \{\varphi(u_1)\}, \varphi(u_3) \in L(u_3) \setminus \{\varphi(u_1), \varphi(u_2)\}, \varphi(v_2) \in L(v_2) \setminus \{\varphi(u_2)\}, \varphi(v_3) \in L(v_3) \setminus \{\varphi(v_2), \varphi(u_3)\}, \varphi(v_1) \in L(v_1) \setminus \{\varphi(v_2), \varphi(v_3)\}$.

2.2. $L(u_1) = L(v_1)$, thus $L(v_2) \setminus L(v_1) \neq \emptyset$.

A 3-list coloring φ of G can be formed as follows: $\varphi(v_2) \in L(v_2) \setminus L(v_1), \varphi(v_3) \in L(v_3) \setminus \{\varphi(v_2)\}, \varphi(u_3) \in L(u_3) \setminus \{\varphi(v_3)\}, \varphi(u_2) \in L(u_2) \setminus \{\varphi(v_2), \varphi(u_3)\}, \varphi(u_1) \in L(u_1) \setminus \{\varphi(v_2), \varphi(u_3)\}, \varphi(v_1) \in L(v_1) \setminus \{\varphi(u_1), \varphi(v_3)\}$.

Up to now, we have proved $\chi_l(G) \leq 3$. But $\chi_l(G) \geq \chi(C_1) = 3$ is trivial, therefore we have $\chi_l(G) = 3$.

Lemma 9. For a wheel $W_m (m \geq 3)$, we have

$$\chi_l(W_m) = \begin{cases} 3, & \text{if } m \equiv 0 \pmod{2}; \\ 4, & \text{otherwise.} \end{cases}$$

Proof. If $m \equiv 1 \pmod{2}$, $\chi_l(W_m) = \chi(W_m) = 4$ by Theorem 4. Otherwise, let w be the center of $W_m, W_m - w$ be a cycle $C = u_1 u_2 \dots u_m u_1$. For any $u, v \in V(W_m), L(u) = L(v)$, then a 3-list coloring φ of G can be formed as follows: $\varphi(v) = c(v)$ for $v \in V(G)$ where c is a 3-coloring of W_m . Otherwise, there are $u, v \in V(W_m)$ such that $L(u) \neq L(v)$ and $uv \in E(G)$. We can assume that $L(w) \neq L(u_1)$. A 3-list coloring φ of W_m can be formed as follows: $\varphi(w) \in L(w) \setminus L(u_1), \varphi(u_2) \in L(u_2) \setminus \{\varphi(w)\}, \varphi(u_i) \in L(u_i) \setminus \{\varphi(u_{i-1}), \varphi(w)\}, i = 1, \dots, m$. Since $N_G(u_1) = \{u_2, u_m, w\}$ and $\varphi(w) \notin L(u_1)$, we have $L(u_1) \setminus C_\varphi(u_1) \neq \emptyset$. So let $\varphi(u_1) \in L(u_1) \setminus C_\varphi(u_1)$. Therefore the lemma is proved.

Theorem 6. Let G be a strong 1-outerplanar graph, then

$$\chi_l(G) = \begin{cases} 4, & \text{if } G \text{ is } W_m \text{ with } m \text{ odd}; \\ 3, & \text{otherwise.} \end{cases}$$

Proof. Since G is a strong 1-outerplanar graph, $3 \leq \chi_l(G) \leq 4$ by Corollary 4.1. Thus by Theorems 2 and 5, Lemmas 5, 8 and 9, the theorem is easily proved.

Lemma 10.^[8] If G is an outerplanar graph with $\delta(G) = 2$, then at least one of the following cases is true:

- (1) There are two adjacent vertices of degree 2.
- (2) There is a vertex v of degree 2 on a 3-cycle.

Theorem 7. Let G be a 1-outerplanar graph with $g(G) \geq 4$, where $g(G)$ denotes the girth of G . Then $\chi_l(G) \leq 3$.

Proof. We first prove that $\delta(G) \leq 2$. In fact, if $\delta(G) \geq 3$, then by Lemma 5, we have $\delta(G) = 3$. By virtue of the definition of 1-outerplanar graph, there is a vertex $x \in V(G)$ such that $G - x$ is an outerplanar graph. Obviously, $\delta(G - x) \geq \delta(G) - 1 = 2$, thus by Lemma 3, $\delta(G) = 2$. Since $g(G - x) \geq g(G) \geq 4$, it follows easily that Case 1 of Lemma 10 holds only. This means that $G - x$ contains two adjacent vertices u and v of degree 2. If

$ux, vx \in E(G)$, we have $g(G) = 3$, which is impossible. Hence at most one of ux and vx belongs to $E(G)$. Therefore $\delta(G) = 2$, a contradiction. Next, that any subgraph H of G is still a 1-outerplanar graph with $g(H) \geq g(G) \geq 4$ deduces $G \in \mathcal{D}_2$. By Theorem 1, we have $\chi_l(G) \leq 3$.

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