

# On a conjecture involving cycle-complete graph Ramsey numbers

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## Abstract

It has been conjectured that  $r(C_n, K_m) = (m - 1)(n - 1) + 1$  for all  $(n, m) \neq (3, 3)$  satisfying  $n \geq m$ . We prove this for the case  $m = 5$ .

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# 1 Introduction

The *independence number*  $\alpha(G)$  of a graph  $G$  is the cardinality of its largest independent set. Given a graph  $H$  without isolated vertices, the *Ramsey number*  $r(H, K_m)$  is the smallest integer  $N$  such that every graph  $G$  of order  $N$  either contains  $H$  as a subgraph or satisfies  $\alpha(G) \geq m$ . In one of the earliest contributions to graphical Ramsey theory [1], Bondy and Erdős proved the following result for the case where  $H \cong C_n$ , a cycle of length  $n$ .

**Theorem (Bondy, Erdős).** For all  $n \geq m^2 - 2$ ,

$$r(C_n, K_m) = (m - 1)(n - 1) + 1.$$

The condition  $n \geq m^2 - 2$  is required because of the proof technique, and it has been thought from the beginning that the conclusion is likely to hold under a rather less restrictive hypothesis. The problem of determining for each  $m$  the smallest  $n$  for which  $r(C_n, K_m) = (m - 1)(n - 1) + 1$  is among those given in [3], and it is conjectured in [8] and elsewhere that  $r(C_n, K_m) = (m - 1)(n - 1) + 1$  for all  $(n, m) \neq (3, 3)$  satisfying  $n \geq m$ . This is trivial for  $m = 2$ . It was confirmed for  $m = 3$  in early work on graphical Ramsey theory [4], and recently it was proved for  $m = 4$  [9]. In this paper, we shall prove that the conjecture is true for  $m = 5$ .

**Theorem 1.** For all  $n \geq 5$ ,  $r(C_n, K_5) = 4n - 3$ .

*Note.* The condition  $n \geq 5$  is best possible. From early work of Clancy [2], it is known that  $r(C_4, K_5) = 14$ . There is a unique graph  $G$  of order 13 such that  $C_4 \not\subset G$  and  $\alpha(G) \leq 4$ . This graph is exhibited in [6] and elsewhere.

To reach our goal, it is only necessary to prove that for  $n \geq 5$  every  $C_n$ -free graph  $G$  of order  $4n - 3$  satisfies  $\alpha(G) \geq 5$ . The fact that  $r(C_n, K_5) \geq 4n - 3$  follows from the simple example of  $G \cong 4K_{n-1}$ , which contains no  $C_n$  and has independence number  $\alpha(G) = 4$ .

## 2 Proofs

The proof of Theorem 1 will be given through a sequence of lemmas. As usual,  $\delta(G)$  denotes the minimum degree, that is  $\delta(G) = \min_{v \in V(G)} \deg v$ .

**Lemma 1.** Suppose that for some  $n \geq 4$  there exists a graph  $G$  of order  $4(n - 1) + 1$  such that  $C_n \not\subset G$  and  $\alpha(G) \leq 4$ . Then  $\delta(G) \geq n - 1$ .

*Proof.* Suppose to the contrary that some vertex  $v \in V(G)$  satisfies  $\deg v \leq n - 2$ . Deleting  $v$  and its neighborhood, we obtain a graph  $H$  of order at least  $3(n - 1) + 1$ . By the result in [9] either  $C_n \subset H$  or  $\alpha(H) \geq 4$ . Since  $C_n \not\subset G$ , we must assume that latter. But then  $v$  together with the appropriate four vertices from  $V(H)$  yields a five-element independent set in  $G$ , a contradiction.  $\square$

The following lemma is proved in [7].

**Lemma 2.** *Suppose  $\delta(G) \geq 4$  and  $C_5 \not\subset G$ . Then  $\alpha(G) \geq \Delta(G)$ .*

The following result is given in [5]. In the interest of completeness, it is included here with proof.

**Lemma 3.**  $r(C_5, K_5) = 17$ .

*Proof.* Suppose there exists a graph  $G$  of order 17 such that  $C_5 \not\subset G$  and  $\alpha(G) \leq 4$ . By Lemma 1 we know that  $\delta(G) \geq 4$ . Let  $u \in V(G)$  be a vertex of degree  $\delta(G)$ , let  $\Gamma$  denote the neighborhood of  $u$ , and let  $W$  denote the set of vertices that remain after  $u$  and its neighborhood have been deleted. There are two cases.

*Case (i):*  $\delta(G) = 4$ . In this case  $\langle W \rangle$  is a  $C_5$ -free graph of order 12 with no four-element independent set. All such graphs are found in [7], and they are listed in the Appendix (§3) of this paper for the reader's convenience. Inspection shows that each one contains a  $K_4$  with at least two vertices of degree three. In particular, for each possibility there is a cycle  $(w_1, w_2, w_3, w_4, w_1)$  in which  $w_1$  and  $w_2$  have degree three in  $\langle W \rangle$ . Since  $\delta(G) = 4$ ,  $w_1$  is adjacent to some vertex in  $\Gamma$  and so is  $w_2$ . If  $w_1$  and  $w_2$  are each adjacent to  $v \in \Gamma$  then  $(v, w_1, w_4, w_3, w_2, v)$  is a  $C_5$  in  $G$ . If  $w_1$  and  $w_2$  are adjacent to  $v_1$  and  $v_2$ , respectively, where  $v_1 \neq v_2$ , then  $(u, v_1, w_1, w_2, v_2, u)$  is a  $C_5$  in  $G$ . In either case, we have obtained the desired contradiction.

*Case (ii):*  $\delta(G) \geq 5$ . In this case  $\alpha(G) \geq \Delta(G) \geq 5$  by Lemma 2, a contradiction.  $\square$

**Lemma 4.**  $r(C_6, K_5) = 21$ .

*Proof.* Suppose there exists a graph  $G$  of order 21 such that  $C_6 \not\subset G$  and  $\alpha(G) \leq 4$ . Let  $V(G) = \{v_1, v_2, \dots, v_{21}\}$ . By Lemma 1,  $\delta(G) \geq 5$ . Also,  $r(K_1 + P_4, K_5) = 19$  [5] and  $r(C_6, K_4) = 16$ , so we may assume that  $v_1$  is adjacent to each vertex of the path  $(v_2, v_3, v_4, v_5)$ , and  $I \stackrel{\text{def}}{=} \{v_6, v_7, v_8, v_9\}$  is an independent set. It is easy to check that since  $C_6 \not\subset G$ , no vertex in  $\{v_6, v_7, \dots, v_{21}\}$  is adjacent to two or more vertices of  $\{v_2, v_3, v_4, v_5\}$ . [If  $w$  is adjacent to  $v_2$  and  $v_3$  then  $(w, v_2, v_1, v_5, v_4, v_3, w)$  is a  $C_6$  in  $G$ , if  $w$  is adjacent to  $v_2$  and  $v_4$  then  $(w, v_2, v_3, v_1, v_5, v_4, w)$  is a  $C_6$  in  $G$ , and so on.] Since  $\alpha(G) \leq 4$  each vertex of  $V(G) \setminus I$  is adjacent to at least one vertex of  $I$ . In view of these two facts, we may assume  $\{v_2v_6, v_3v_7, v_4v_8, v_5v_9\} \subset E(G)$ . No vertex in  $\{v_{10}, \dots, v_{21}\}$  is adjacent to two or more vertices of  $I$ ; otherwise,  $G$  contains a  $C_6$ . Consider  $v_6$ . Note that  $v_1v_6 \notin E(G)$ ; otherwise  $(v_1, v_5, v_4, v_3, v_2, v_6, v_1)$  is a  $C_6$  in  $G$ . Since  $\delta(G) \geq 5$  we may assume that  $v_6v_j \in E(G)$  for  $10 \leq j \leq 13$ . Note that  $\{v_6, v_{10}, v_{11}, v_{12}, v_{13}\}$  spans a complete subgraph; if  $v_iv_j \notin E(G)$  for some  $\{i, j\} \subset \{10, 11, 12, 13\}$ , then  $\{v_7, v_8, v_9, v_i, v_j\}$  is a five-element independent set in  $G$ . Now the argument can be repeated, except instead of simply containing  $K_1 + P_4$ , we may assume that the subgraph induced by  $\{v_1, v_2, \dots, v_5\}$  is complete. Then either some  $i \leq 5$  makes  $\{v_i, v_6, v_7, v_8, v_9\}$  a five-element independent set in  $G$  or else some  $v_j \in I$  is adjacent to two or more vertices of  $\{v_1, v_2, \dots, v_5\}$  yielding a  $C_6$  in  $G$ , a contradiction.  $\square$

The following lemma provides tools which will be used repeatedly in the remaining proofs. Parts (a) and (b) were used in [1] and parts (c) and (d) appear in [9].

**Lemma 5.** Suppose  $G$  contains the cycle  $(u_1, u_2, \dots, u_{n-1}, u_1)$  of length  $n - 1$  but no cycle of length  $n$ . Let  $X \subseteq V(G) \setminus \{u_1, u_2, \dots, u_{n-1}\}$ . Then

- (a) No vertex  $x \in X$  is adjacent to two consecutive vertices on the cycle.
- (b) If  $x \in X$  is adjacent to  $u_i$  and  $u_j$ , then  $u_{i+1}u_{j+1} \notin E(G)$ .
- (c) If  $x \in X$  is adjacent to  $u_i$  and  $u_j$ , then no vertex  $x' \in X$  is adjacent to both  $u_{i+1}$  and  $u_{j+2}$ .
- (d) Suppose  $\alpha(G) = m - 1$  where  $m \leq (n + 3)/2$ , and  $\{x_1, x_2, \dots, x_{m-1}\} \subset X$  is an  $(m - 1)$ -element independent set. Then no member of this set is adjacent to  $m - 2$  or more vertices on the cycle.

*Proof.* (a) Obvious.

(b) If  $x \in X$  is adjacent to  $u_i$  and  $u_j$ , where  $u_{i+1}u_{j+1} \in E(G)$  then

$$(u_i, x, u_j, u_{j-1}, \dots, u_{i+1}, u_{j+1}, \dots, u_{i-1}, u_i)$$

is a  $C_n$  in  $G$ , a contradiction.

(c) If  $x$  is adjacent to  $u_i$  and  $u_j$  and  $x'$  is adjacent to  $u_{i+1}$  and  $u_{j+2}$  then

$$(u_i, x, u_j, u_{j-1}, \dots, u_{i+1}, x', u_{j+2}, \dots, u_{i-1}, u_i)$$

is a  $C_n$  in  $G$ , a contradiction.

(d) First notice as did Bondy and Erdős that no  $x \in X$  can be adjacent to  $m - 1$  or more vertices of the cycle. For, if  $1 \leq j_1 < j_2 < \dots < j_{m-1} \leq n - 2$  and  $x \in X$  satisfies  $xu_{j_j} \in E(G)$  for all  $j \in J = \{j_1, j_2, \dots, j_{m-1}\}$ , then in view of (a) and (b) we see that  $\{x\} \cup \{u_{j+1} \mid j \in J\}$  is an  $m$ -element independent set. Now suppose that  $1 \leq k_1 < k_2 < \dots < k_{m-2} \leq n - 3$  and  $x \in \{x_1, x_2, \dots, x_{m-1}\}$  satisfies  $xu_{k_k} \in E(G)$  for all  $k \in K = \{k_1, k_2, \dots, k_{m-2}\}$ . [The condition  $n \geq 2m - 3$  ensures that there is such an indexing of the vertices on the cycle.] By what was just proved,  $x$  is not adjacent to any more vertices on the cycle, in particular  $x$  is not adjacent to  $v_s$  where  $s = k_{m-2} + 2$ . But  $v_s$  is adjacent to some  $x' \in \{x_1, x_2, \dots, x_{m-1}\}$  since otherwise there would be an  $m$ -element independent set. By (b) we know that  $\{u_{k+1} \mid k \in K\}$  is an independent set, and by (c) no member of this set is adjacent to  $x'$ . It follows that  $\{x, x'\} \cup \{u_{k+1} \mid k \in K\}$  is an  $m$ -element independent set, a contradiction.  $\square$

**The Standard Configuration.** To prove that  $r(C_n, K_5) = 4(n-1)+1$  for  $n \geq 7$ , we shall in each case assume to the contrary that there exists a graph  $G$  of order  $4(n-1) + 1$  such that  $C_n \not\subseteq G$  and  $\alpha(G) \leq 4$ . By Lemma 1,  $\delta(G) \geq n - 1$ . By induction,  $r(C_{n-1}, K_5) = 4(n-2) + 1$ . Hence we may assume that  $(u_1, u_2, \dots, u_{n-1}, u_1)$  is a cycle of length  $n - 1$  in  $G$  and, disjoint from this cycle, there is a four-element independent set  $I = \{v_1, v_2, v_3, v_4\}$ . Let  $C = V(C_{n-1}) = \{u_1, u_2, \dots, u_{n-1}\}$  denote the set of vertices on the cycle, and let  $W = V(G) \setminus (C \cup I) = \{w_1, w_2, \dots, w_{3n-6}\}$  denote the set of vertices disjoint from  $C \cup I$ . Since  $\alpha(G) \leq 4$  each vertex in  $C$

adjacent to at least one vertex in  $I$ . In view of part (d) of Lemma 5 (with  $m = 5$ ), no member of  $I$  is adjacent to 3 or more vertices on the cycle. Thus the set of edges  $E(C, I) = \{uv \mid u \in C, v \in I\}$  satisfies  $|C| \leq |E(C, I)| \leq 8$ . If  $v \in I$  is adjacent to  $u_i$  and  $u_j$  and these two vertices have no other neighbors in  $I$  then  $u_i, u_j \in E(G)$ ; otherwise,  $u_i, u_j$  and the three members of  $I \setminus \{v\}$  yield a five-element independent set. Note that each vertex in  $I$  is adjacent to at least  $n - 3$  vertices in  $W$ . Since  $4(n - 3) > 3n - 6$ , we may assume (if needed) that there are two vertices in  $I$  that are commonly adjacent to some vertex  $w \in W$ . The structure just described will be called the *standard configuration*.

**Lemma 6.**  $r(C_7, K_5) = 25$ .

*Proof.* Assume the standard configuration. Then  $6 \leq |E(C, I)| \leq 8$ . The proof is divided into two parts. The first part deals with the possibility  $7 \leq |E(C, I)| \leq 8$  and the second part with  $|E(C, I)| = 6$ .

*Part I:*  $7 \leq |E(C, I)| \leq 8$ . Note that each vertex in  $I$  is adjacent to at least one vertex in  $C$ . If not, then some other vertex in  $I$  is adjacent to at least  $\lceil 7/3 \rceil = 3$  vertices in  $C$ , contradicting part (d) of Lemma 5 (with  $m = 5$ ). In case (i) below, we use the prerogative of assuming that  $v_1$  and  $v_2$  are commonly adjacent to some  $w \in W$ . We may assume that  $v_1$  is adjacent to two vertices in  $C$ . There are two cases.

*Case (i):*  $v_1$  is adjacent to  $u_1$  and  $u_3$ . Note that  $u_2u_4 \notin E(G)$  and  $u_2u_6 \notin E(G)$ , both by part (b) of Lemma 5. Also  $u_4v_2 \notin E(G)$ ; otherwise  $(w, v_1, u_1, u_2, u_3, u_4, v_2, w)$  is a  $C_7$  in  $G$ . In the same way,  $u_6v_2 \notin E(G)$ . We now make two claims.

*Claim 1:*  $u_5v_2 \notin E(G)$ . Suppose  $u_5v_2 \in E(G)$ . Then  $u_2v_2 \notin E(G)$  by part (c) of Lemma 5 and  $u_4u_6 \notin E(G)$  as well; otherwise  $(w, v_1, u_1, u_6, u_4, u_5, v_2, w)$  is a  $C_7$  in  $G$ . In this case,  $\{u_2, u_4, u_6, v_1, v_2\}$  is a five-element independent set in  $G$ , a contradiction.

*Claim 2:*  $u_2v_2 \in E(G)$ . Suppose  $u_2v_2 \notin E(G)$ . Then  $u_4u_6 \in E(G)$  since otherwise  $\{u_2, u_4, u_6, v_1, v_2\}$  is a five-element independent set in  $G$ . Then  $u_1v_2 \notin E(G)$ ; otherwise  $(w, v_1, u_3, u_4, u_6, u_1, v_2, w)$  is a  $C_7$  in  $G$ . In the same way  $u_3v_2 \notin E(G)$ . Then  $uv_2 \notin E(G)$  for all  $u \in C$ , a contradiction.

In view of part (a) of Lemma 5 and previously established facts, this means that  $v_2$  is adjacent to precisely one vertex in  $C$ . Hence if  $|E(C, I)| = 8$ , we have already reached a contradiction. Now we may assume that  $u_4$  and  $u_6$  are both adjacent to  $v_3$  and  $u_5$  is adjacent to  $v_4$ . Then  $u_4u_6 \in E(G)$ ; otherwise  $\{u_2, u_4, u_6, v_1, v_4\}$  is a five-element independent set in  $G$ . Note that  $u_2v_4 \notin E(G)$  by part (c) of Lemma 5. Also,  $u_1v_4 \notin E(G)$  and  $u_3v_4 \notin E(G)$ , both by part (b) of Lemma 5. It follows that  $v_4$  is adjacent to precisely one vertex on the cycle, so  $|E(C, I)| = 2 + 1 + 2 + 1 = 6$ , a contradiction. This completes the proof in case (i).

*Case (ii):*  $v_1$  is adjacent to  $u_1$  and  $u_4$ . In this case, we do not make use of the assumption that  $v_1$  and  $v_2$  are commonly adjacent to  $w \in E(G)$ . This means that the three vertices  $v_2, v_3, v_4$  are on an equal footing. A simple argument, sketched below, shows that a second vertex, which we may take to be  $v_2$ , is adjacent to  $u_2$  and  $u_5$  or to  $u_3$  and  $u_6$ . [If we deny this conclusion and use part (c) of Lemma 5, we find that if  $v \in \{v_2, v_3, v_4\}$  is adjacent to two vertices in  $C$ , then one of those

vertices must be  $u_1$  or  $u_4$ . For each such  $v$  there is an extra edge in  $E(C, I)$  over the six that are required by the fact that each vertex in  $C$  is adjacent to at least one vertex in  $I$ . Suppose there are  $k$  such vertices. By the observation just made,  $|E(C, I)| \geq 6 + k$ . On the other hand, the appropriate degree sum for vertices in  $I$  yields  $|E(C, I)| = 2(k + 1) + (3 - k) = 5 + k$ . Hence there are two subcases.

*Subcase (a):  $v_2$  is adjacent to  $u_2$  and  $u_5$ .* Then  $u_3u_6 \notin E(G)$  by part (b) of Lemma 5. For  $v \in \{v_3, v_4\}$ , either  $u_3v \in E(G)$  or  $u_6v \in E(G)$ ; otherwise  $\{u_3, u_6, v_1, v_2, v\}$  is a five-element independent set in  $G$ . If  $u_3v \in E(G)$  then  $u_1v \notin E(G)$  and  $u_4v \notin E(G)$ , by parts (c) and (a), respectively, of Lemma 5. If  $u_6v \in E(G)$  then  $u_1v \notin E(G)$  and  $u_4v \notin E(G)$  by parts (a) and (c), respectively, of Lemma 5. In view of this,  $\{u_1, u_4, v_2, v_3, v_4\}$  is a five-element independent set in  $G$ , a contradiction.

*Subcase (b):  $v_2$  is adjacent to  $u_3$  and  $u_6$ .* The proof is similar to that of subcase (a). First  $u_2u_5 \notin E(G)$  by part (b) of Lemma 5. Then for  $v \in \{v_3, v_4\}$  either  $u_2v \in E(G)$  or  $u_5v \in E(G)$ . Finally, for  $u_1v \notin E(G)$  and  $u_4v \notin E(G)$  for  $v \in \{v_3, v_4\}$ , so  $\{u_1, u_4, v_1, v_3, v_4\}$  is a five-element independent set in  $G$ , a contradiction. This completes the proof in Part I.

*Part II:  $|E(C, I)| = 6$ .* In this part, each vertex in  $C$  is adjacent to precisely one vertex in  $I$ , so if  $v \in I$  is adjacent to  $u_i$  and  $u_j$  then  $u_iu_j \in E(G)$ . Do not assume that  $v_1$  and  $v_2$  are both adjacent to  $w \in W$ , only that some pair  $v_i, v_j \in I$  have this property. Without loss of generality,  $v_1$  is adjacent to two vertices in  $C$ . There are two cases.

*Case (i):  $v_1$  is adjacent to  $u_1$  and  $u_4$ .* Then we may assume that  $u_2$  is adjacent to  $v_2$ . In view of parts (a), (b), and (c) of Lemma 5 and the fact that each vertex in  $C$  is adjacent to precisely one vertex in  $I$ , it is clear that  $u_iu_2 \notin E(G)$  for  $i \neq 2$ . In the same way, we may assume that  $u_3$  is adjacent to  $v_3$  and then find that  $u_iv_3 \notin E$  for  $i \neq 3$ . Then we may assume that  $u_5$  is adjacent to  $v_4$ . Finally, however,  $v_6$  cannot be adjacent to any vertex in  $I$ , a contradiction.

*Case (ii):  $v_1$  is adjacent to  $u_1$  and  $u_3$ .* Then  $u_1u_3 \in E(G)$ . We may assume that  $u_2$  is adjacent to  $v_2$ . As before, we then find that  $u_iv_2 \notin E(G)$  for  $i \neq 2$ . Then, in the only acceptable configuration,  $u_4$  and  $u_6$  are both adjacent to  $v_3$ ,  $u_4u_6 \in E(G)$ ,  $u_5v_4 \in E(G)$  and  $u_iv_4 \notin E(G)$  for  $i \neq 5$ . Now we use the fact that there are two vertices  $v_i, v_j \in I$  that are both adjacent to  $w \in W$ . If  $v_1$  and  $v_3$  are both adjacent to  $w$  then  $(w, v_1, u_1, u_2, u_3, u_4, v_3, w)$  is a  $C_7$  in  $G$ . If  $v_1$  and  $v_4$  are both adjacent to  $w$  then  $(w, v_1, u_1, u_3, u_4, u_5, v_4, w)$  is a  $C_7$  in  $G$ . If  $v_2$  and  $v_4$  are adjacent to  $w$  then  $(w, v_2, u_2, u_3, u_4, u_5, v_4, w)$  is a  $C_7$  in  $G$ . Hence, by symmetry, we may assume that  $v_1$  and  $v_2$  are both adjacent to  $w \in W$ . Let  $Z = \{u_1, \dots, u_6, v_1, \dots, v_4, w\}$  and  $Z' = V(G) \setminus Z$ .

As one may readily verify, for each vertex  $z \in Z \setminus \{v_1, v_2, w\}$  there is a path of length six from  $w$  to  $z$ . Also for each  $z \in Z \setminus \{u_4, u_6, v_4\}$  there is a path of length six from  $u_5$  to  $z$ . Since  $C_7 \not\subseteq G$ , the degrees of  $u_5, v_2, v_3, w$  in  $\langle Z \rangle_G$  are 3, 2, 2, 2, respectively. Since  $\delta(G) \geq 6$  there are at least  $3 + 4 + 4 + 4 = 15$  edges joining  $S \stackrel{\text{def}}{=} \{u_5, v_2, v_3, w\}$  and  $Z'$ . Since  $|Z'| = 14$ , there must be two vertices in  $S$  that are adjacent to the same  $w' \in Z'$ . Finally, the following path system shows that any two

vertices in  $S$  are joined by a path of length five in  $\langle Z \rangle_G$ :

$$\begin{array}{ll} (u_5, u_4, u_3, u_1, u_2, v_2), & (u_5, u_4, u_3, u_1, u_6, v_3), \\ (u_5, u_4, u_3, u_2, v_2, w), & (v_2, u_2, u_1, u_3, u_4, v_3), \\ (v_2, u_2, u_1, u_3, v_1, w), & (v_3, u_4, u_3, u_2, v_2, w). \end{array}$$

Since there are two vertices in  $S$  that are both adjacent to  $w' \in Z'$ , this gives a  $C_7$  in  $G$ , a contradiction.  $\square$

**Lemma 7.**  $r(C_8, K_5) = 29$ .

*Proof.* Assume the standard configuration. The edge count  $7 = |C| \leq |E(C, I)| \leq 8$  gives two cases for consideration.

*Case (i):*  $|E(C, I)| = 7$ . In this case, each vertex in  $C$  is adjacent to exactly one vertex in  $I$ , one (exceptional) vertex in  $I$  is adjacent to only one vertex in  $C$ , and the other three are each adjacent to two vertices on the cycle. We may assume that  $v_1$  is not the exceptional vertex. Let  $N$  denote the neighbors of  $v_1$  in  $C$ . By symmetry, there are two subcases.

*Subcase (a):*  $N = \{u_1, u_3\}$ . Then  $u_1 u_3 \in E(G)$ , and we may assume that  $u_2$  is adjacent to  $v_2$ . It is easily checked that there is a path of order eight joining  $v_2$  and  $u_i$  for  $i = 4, 5, 6, 7$ . Since there would be a  $C_8$  otherwise, we may assume that  $u_i v_2 \notin E(G)$  for  $i = 1, 3, 4, 5, 6, 7$ , so  $v_2$  must be the exceptional vertex. Then we may assume that  $v_3$  is adjacent to  $u_4$  and  $u_6$ , and that  $v_4$  is adjacent to  $u_5$  and  $u_7$ , so  $u_5 u_7 \in E(G)$ . But this violates part (b) of Lemma 5.

*Subcase (b):*  $N = \{u_1, u_4\}$ . Then  $u_1 u_4 \in E(G)$ , and we may assume that  $u_2$  is adjacent to  $v_2$  and  $u_3$  is adjacent to  $v_3$ . Note that there is a path of order eight joining  $v_i$  and  $u_j$  for  $i = 2, 3$  and  $j = 5, 6, 7$ . But  $v_2$  and  $v_3$  are not both exceptional, so we have a contradiction.

*Case (ii):*  $|E(C, I)| = 8$ . In this case, one (exceptional) vertex in  $C$  is adjacent to two vertices in  $I$ , and each vertex in  $I$  is adjacent to two vertices in  $C$ . As noted earlier, we may assume that there is a vertex  $w \in W$  that is adjacent to both  $v_1$  and  $v_2$ . Again let  $N$  denote the neighbors of  $v_1$  in  $C$ .

*Subcase (a):*  $N = \{u_1, u_3\}$ . Note that there is a path of order eight joining  $v_2$  and  $u_i$  for  $i = 4, 5, 6, 7$ , so  $v_2$  cannot be adjacent to  $u_4, u_5, u_6$  or  $u_7$ . Also  $v_2$  cannot be adjacent to  $u_1$  and  $u_2$  or to  $u_2$  and  $u_3$  by part (a) of Lemma 5. Finally,  $v_2$  cannot be adjacent to both  $u_1$  and  $u_3$  since there is only one exceptional vertex in  $C$ . Hence there do not exist two vertices on the cycle that can serve as neighbors of  $v_2$ , a contradiction.

*Subcase (b):*  $N = \{u_1, u_4\}$ . Note that there is a path of order eight joining  $v_2$  and  $u_i$  for  $i = 1, 4, 5, 7$ . Hence we may assume that  $v_2$  is adjacent to  $u_2$  and  $u_6$ . However, this violates part (c) of Lemma 5.

Since a contradiction arises in each subcase, the lemma is proved.  $\square$

**Lemma 8.**  $r(C_9, K_5) = 33$ .

*Proof.* Assume the standard configuration. The edge count  $8 = |C| \leq |E(C, I)| \leq 8$  requires each vertex in  $C$  to be adjacent to exactly one vertex of  $I$  and each vertex in

$I$  to be adjacent to exactly two vertices in  $C$ . We may assume that there is a vertex  $w \in W$  that is adjacent to both  $v_1$  and  $v_2$ . Let  $N = \{u_i, u_j\}$  denote the neighbors of  $v_1$  on the cycle. Since there is no five-element independent set,  $u_i, u_j \in E(G)$ . By symmetry, there are three cases.

*Case (i):*  $N = \{u_1, u_3\}$ . It is easily checked that for  $4 \leq i \leq 8$  there is a path of order seven joining  $v_1$  and  $u_i$ . The paths  $(v_1, u_1, u_8, u_7, u_6, u_5, u_4)$  and  $(v_1, u_3, u_1, u_8, u_7, u_6, u_5)$  serve for  $i = 4$  and  $i = 5$ , respectively, and their counterparts by symmetry take care of  $i = 8$  and  $i = 7$ . The required path for  $i = 6$  may be taken to be  $(v_1, u_1, u_2, u_3, u_4, u_5, u_6)$ . Hence there do not exist two vertices on the cycle that can serve as neighbors of  $v_2$ .

*Case (ii):*  $N = \{u_1, u_4\}$ . In this case for  $5 \leq i \leq 8$  there is a path of order seven joining  $v_1$  and  $u_i$ . The paths  $(v_1, u_4, u_1, u_8, u_7, u_6, u_5)$  and  $(v_1, u_1, u_2, u_3, u_4, u_5, u_6)$  serve for  $i = 5$  and  $i = 6$ , respectively, and symmetric counterparts take care of  $i = 8$  and  $i = 7$ . Therefore  $v_2$  cannot be adjacent to any of the vertices  $u_5, u_6, u_7, u_8$ . By part (a) of Lemma 5,  $v_2$  cannot be adjacent to  $u_2$  and  $u_3$ . Hence there do not exist two vertices on the cycle that can serve as the neighbors of  $v_2$ .

*Case (iii):*  $N = \{u_1, u_5\}$ . In this case, there is a path of order seven joining  $v_1$  to  $u_i$  for  $i = 2, 4, 6, 8$ , so the neighbors of  $v_2$  on the cycle must be  $u_3$  and  $u_7$ . Without loss of generality,  $u_2$  is adjacent to  $v_3$ , and by symmetry the neighbors of  $v_3$  on the cycle are either  $u_2$  and  $u_4$  or  $u_2$  and  $u_6$ . In the first instance,  $u_2 u_4 \in E(G)$  and  $(v_1, u_1, u_8, u_7, v_2, u_3, u_2, u_4, u_5, v_1)$  is a  $C_9$  in  $G$ . In the second,  $u_2 u_6 \in E(G)$  and  $(v_1, u_1, u_8, u_7, u_6, u_2, u_3, u_4, u_5, v_1)$  is a  $C_9$  in  $G$ .

Since a contradiction arises in each case, the proof is complete.  $\square$

*Completion of the proof of Theorem 1.* For  $n \geq 10$ , the edge count  $n - 1 = |C| \leq |E(C, I)| \leq 8$  gives an immediate contradiction.  $\square$

### 3 Appendix - Possible Induced Subgraphs $\langle W \rangle$ for Case (i) in Lemma 3

Here we give the promised collection of graphs of order 12 that contain no  $C_5$  and have independence number 3.

**Proposition.** *If  $G$  is a graph of order twelve such that  $C_5 \not\subseteq G$  and  $\alpha(G) = 3$  then  $G$  is isomorphic to  $3K_4$  or to one of the five graphs shown below, obtained by adding appropriate edges to  $3K_4$ .*



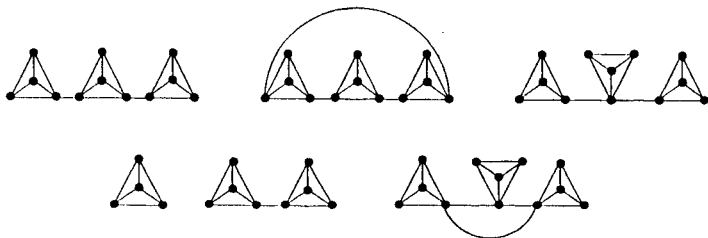


FIGURE 1. Graphs of order twelve with  $C_5 \not\subseteq G$  and  $\alpha(G) = 3$ .

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