

**A NEW EXTENSION OF THE
ERDŐS-HEILBRONN CONJECTURE**

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ABSTRACT. Let A_1, \dots, A_n be finite subsets of a field F , and let

$$f(x_1, \dots, x_n) = x_1^k + \dots + x_n^k + g(x_1, \dots, x_n) \in F[x_1, \dots, x_n]$$

with $\deg g < k$. We obtain a lower bound for the cardinality of

$$\{f(x_1, \dots, x_n) : x_1 \in A_1, \dots, x_n \in A_n, \text{ and } x_i \neq x_j \text{ if } i \neq j\}.$$

The result extends the Erdős-Heilbronn conjecture in a new way.

1. INTRODUCTION

In 1964 P. Erdős and H. Heilbronn [EH] made the following challenging conjecture: If p is a prime, then for any subset A of the finite field $\mathbb{Z}/p\mathbb{Z}$ we have

$$|\{x_1 + x_2 : x_1, x_2 \in A \text{ and } x_1 \neq x_2\}| \geq \min\{p, 2|A| - 3\}.$$

It had remained open for thirty years until it was confirmed fully by Dias da Silva and Hamidoune [DH] who actually obtained the following generalization with the help of the representation theory of symmetric groups: For any finite subset A of a field F , we have the inequality

$$\begin{aligned} & |\{x_1 + \dots + x_n : x_1, \dots, x_n \in A, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \\ & \geq \min\{p(F), n(|A| - n) + 1\}, \end{aligned}$$

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where $p(F) = p$ if the characteristic of F is a prime p , and $p(F) = +\infty$ if F is of characteristic zero. Recently P. Balister and J. P. Wheeler [BW] extended the Erdős-Heilbronn conjecture to any finite group; namely they showed that for any nonempty subsets A_1 and A_2 of a finite group G written additively we have

$$|\{x_1 + x_2 : x_1 \in A_1, x_2 \in A_2 \text{ and } x_1 \neq x_2\}| \geq \min\{p(G), |A_1| + |A_2| - 3\},$$

where $p(G)$ is the least positive order of a nonzero element of G , and $p(G)$ is regarded as $+\infty$ if G is torsion-free.

In 1996 N. Alon, M. B. Nathanson and I. Z. Ruzsa [ANR] used the so-called polynomial method (see also Alon [A], Nathanson [N, pp. 98–107], and T. Tao and V. H. Vu [TV, pp. 329–345]) to deduce the following result: If A_1, \dots, A_n are finite subsets of a field F with $0 < |A_1| < \dots < |A_n|$, then

$$\begin{aligned} & |\{x_1 + \dots + x_n : x_1 \in A_1, \dots, x_n \in A_n, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \\ & \geq \min \left\{ p(F), 1 + \sum_{i=1}^n (|A_i| - i) \right\}. \end{aligned}$$

Consequently, if A_1, \dots, A_n are finite subsets of a field F with $|A_i| \geq i$ for all $i = 1, \dots, n$, then

$$\begin{aligned} & |\{x_1 + \dots + x_n : x_1 \in A_1, \dots, x_n \in A_n, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \\ & \geq \min \left\{ p(F), 1 + \sum_{i=1}^n \min_{i \leq j \leq n} (|A_j| - j) \right\}. \end{aligned}$$

(Choose $A'_i \subseteq A_i$ with $|A'_i| = i + \min_{i \leq j \leq n} (|A_j| - j) \leq |A_i|$. Then $|A'_1| < \dots < |A'_n|$.) For other results on restricted sumsets obtained by the polynomial method, the reader may consult [HS], [PS], [S03], [SY] and [S08a].

Recently Z. W. Sun [S08] obtained the following result on value sets of polynomials.

Theorem 1.1 (Z. W. Sun [S08]). *Let A_1, \dots, A_n be finite nonempty subsets of a field F , and let*

$$f(x_1, \dots, x_n) = a_1 x_1^k + \dots + a_n x_n^k + g(x_1, \dots, x_n) \in F[x_1, \dots, x_n] \quad (1.1)$$

with

$$k \in \mathbb{Z}^+ = \{1, 2, 3, \dots\}, \quad a_1, \dots, a_n \in F^* = F \setminus \{0\} \text{ and } \deg g < k. \quad (1.2)$$

(i) We have

$$\begin{aligned} & |\{f(x_1, \dots, x_n) : x_1 \in A_1, \dots, x_n \in A_n\}| \\ & \geq \min \left\{ p(F), 1 + \sum_{i=1}^n \left\lfloor \frac{|A_i| - 1}{k} \right\rfloor \right\}. \end{aligned} \quad (1.3)$$

(ii) If $k \geq n$ and $|A_i| \geq i$ for $i = 1, \dots, n$, then

$$\begin{aligned} & |\{f(x_1, \dots, x_n) : x_1 \in A_1, \dots, x_n \in A_n, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \\ & \geq \min \left\{ p(F), 1 + \sum_{i=1}^n \left\lfloor \frac{|A_i| - i}{k} \right\rfloor \right\}. \end{aligned} \quad (1.4)$$

Throughout this paper, for a predicate P we let

$$\llbracket P \rrbracket = \begin{cases} 1 & \text{if } P \text{ holds,} \\ 0 & \text{otherwise.} \end{cases}$$

For $a \in \mathbb{Z}$ and $k \in \mathbb{Z}^+$, we use $\{a\}_k$ to denote the least nonnegative residue of a modulo k .

Let \mathbb{C} be the field of complex numbers. By [S08, Example 4.1], if $k \in \mathbb{Z}^+$, $q \in \{0, 1, \dots\}$, and $A = \{z \in \mathbb{C} : z^k \in \{1, \dots, q\}\} \cup R$ with $R \subseteq \{z \in \mathbb{C} : z^k = q + 1\}$ and $|R| = r < k$, then $|A| = kq + r$ and

$$\begin{aligned} & |\{x_1^k + \dots + x_n^k : x_1, \dots, x_n \in A, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \\ & = \frac{n(|A| - n) - \{n\}_k \{ |A| - n \}_k}{k} + r \llbracket \{n\}_k > r \rrbracket + 1. \end{aligned}$$

Motivated by this example, Sun [S08] raised the following extension of the Erdős-Heilbronn conjecture.

Conjecture 1.1 (Z. W. Sun [S08]). *Let $f(x_1, \dots, x_n)$ be a polynomial over a field F given by (1.1) and (1.2). Provided $n \geq k$, for any finite subset A of F we have*

$$\begin{aligned} & |\{f(x_1, \dots, x_n) : x_1, \dots, x_n \in A, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \\ & \geq \min \left\{ p(F) - \llbracket n = 2 \ \& \ a_1 = -a_2 \rrbracket, \frac{n(|A| - n) - \{n\}_k \{ |A| - n \}_k}{k} + 1 \right\}. \end{aligned} \quad (1.5)$$

Sun [S08] noted that this conjecture in the case $n = 2$ follows from [PS, Corollary 3], and proved (1.5) with the lower bound replaced by $\min\{p(F), |A| - n + 1\}$.

In this paper we establish a similar version of (1.4) for the case $n \geq k$ under the condition $a_1 = \dots = a_n$. It implies Conjecture 1.1 in the case $a_1 = \dots = a_n$.

Here is our first result.

Theorem 1.2. *Let A_1, \dots, A_n be finite subsets of a field F with $|A_i| \geq i$ for $i = 1, \dots, n$. Let*

$$f(x_1, \dots, x_n) = x_1^k + \dots + x_n^k + g(x_1, \dots, x_n) \in F[x_1, \dots, x_n] \quad (1.6)$$

with $\deg g < k \leq n$. Then

$$\begin{aligned} & |\{f(x_1, \dots, x_n) : x_1 \in A_1, \dots, x_n \in A_n, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \\ & \geq \min\{p(F), q_1 + \dots + q_n + 1\} \end{aligned} \quad (1.7)$$

where

$$q_i = \min_{\substack{i \leq j \leq n \\ j \equiv i \pmod{k}}} \left\lfloor \frac{|A_j| - j}{k} \right\rfloor \quad \text{for } i = 1, \dots, n. \quad (1.8)$$

Remark 1.1. If $k \geq n$ then $q_i = \lfloor (|A_i| - i)/k \rfloor$ for $i = 1, \dots, n$. So Theorem 1.2 is a complement to Theorem 1.1(ii). In the case $k = 1$, Theorem 1.2 yields the main result of [ANR].

Theorem 1.2, together with Theorem 1.1(ii), implies the following extension of the Erdős-Heilbronn conjecture.

Theorem 1.3. *Let A_1, \dots, A_n be finite subsets of a field F with $|A_1| = \dots = |A_n| = m \geq n$, and let $f(x_1, \dots, x_n)$ be given by (1.6) with $\deg g < k$. Then*

$$\begin{aligned} & |\{f(x_1, \dots, x_n) : x_1 \in A_1, \dots, x_n \in A_n, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \\ & \geq \min \left\{ p(F), \frac{n(m-n) - \{n\}_k \{m-n\}_k}{k} + \{n\}_k \llbracket \{m\}_k < \{n\}_k \rrbracket + 1 \right\}. \end{aligned} \quad (1.9)$$

Remark 1.2. If n or $m - n$ is divisible by k , then the lower bound in (1.9) becomes $\min\{p(F), n(m-n)/k + 1\}$. In the case $k = 1$ and $A_1 = \dots = A_n$, Theorem 1.3 yields the Dias da Silva-Hamidoune extension (cf. [DH]) of the Erdős-Heilbronn conjecture.

In the next section we are going to present an auxiliary theorem. Theorems 1.2 and 1.3 will be proved in Section 3.

2. AN AUXILIARY THEOREM

For a polynomial $P(x_1, \dots, x_n)$ over a field, by $[x_1^{k_1} \dots x_n^{k_n}]P(x_1, \dots, x_n)$ we mean the coefficient of the monomial $x_1^{k_1} \dots x_n^{k_n}$ in $P(x_1, \dots, x_n)$.

In this section we prove the following auxiliary result.

Theorem 2.1. Let $q_1, \dots, q_n \in \mathbb{N} = \{0, 1, 2, \dots\}$ and $k \in \{1, \dots, n\}$. Then

$$\begin{aligned} & \left[\prod_{j=1}^n x_j^{kq_j+j-1} \right] (x_1^k + \dots + x_n^k)^N \prod_{1 \leq i < j \leq n} (x_j - x_i) \\ &= N! \prod_{s=1}^k \frac{\prod_{0 \leq i < j \leq \lfloor (n-s)/k \rfloor} (q_{jk+s} + j - (q_{ik+s} + i))}{\prod_{j=0}^{\lfloor (n-s)/k \rfloor} (q_{jk+s} + j)!}, \end{aligned} \quad (2.1)$$

where $N = q_1 + \dots + q_n$.

To prove Theorem 2.1, we need a lemma.

Lemma 2.1. Let σ be a permutation of a finite nonempty set X . Suppose that A is a subset of X with $\sigma(A) = A$. Then

$$\varepsilon(\sigma) = \varepsilon(\sigma|_A) \varepsilon(\sigma|_{X \setminus A}), \quad (2.2)$$

where $\varepsilon(\sigma)$ stands for the sign of σ and $\sigma|_A$ denotes the restriction of σ on A .

Proof. Write $\sigma = \tau_1 \tau_2 \dots \tau_k$, where $\tau_1, \tau_2, \dots, \tau_k$ are disjoint cycles. As $\sigma(A) = A$, for each $i = 1, \dots, k$, either all elements in the cycle τ_i lie in A , or none of the elements in the cycle τ_i belongs to A . Set

$$I = \{1 \leq i \leq k : \text{all the elements in the cycle } \tau_i \text{ lie in } A\}$$

and

$$\bar{I} = \{1 \leq i \leq k : \text{all the elements in the cycle } \tau_i \text{ lie in } X \setminus A\}$$

Then

$$I \cup \bar{I} = \{1, \dots, k\}, \quad \sigma|_A = \prod_{i \in I} \tau_i|_A \quad \text{and} \quad \sigma|_{X \setminus A} = \prod_{i \in \bar{I}} \tau_i|_{X \setminus A}.$$

Therefore

$$\varepsilon(\sigma) = \prod_{i=1}^k \varepsilon(\tau_i) = \prod_{i \in I} \varepsilon(\tau_i) \times \prod_{j \in \bar{I}} \varepsilon(\tau_j) = \varepsilon(\sigma|_A) \varepsilon(\sigma|_{X \setminus A}).$$

This completes the proof. \square

For a finite nonempty set X , we let $S(X)$ denote the symmetric group of all permutations of X . If $|X| = n$, then the group $S(X)$ is isomorphic to the symmetry group $S_n = S(\{1, \dots, n\})$. Recall that the determinant of a matrix $[a_{i,j}]_{1 \leq i, j \leq n}$ over a field is defined as

$$\det[a_{i,j}]_{1 \leq i, j \leq n} = \sum_{\sigma \in S_n} \varepsilon(\sigma) \prod_{i=1}^n a_{i, \sigma(i)} = \sum_{\sigma \in S_n} \varepsilon(\sigma) \prod_{j=1}^n a_{\sigma(j), j}.$$

Proof of Theorem 2.1. By the multinomial theorem,

$$(x_1^k + \cdots + x_n^k)^N = \sum_{\substack{i_1, \dots, i_n \in \mathbb{N} \\ i_1 + \cdots + i_n = N}} \frac{N!}{i_1! \cdots i_n!} x_1^{ki_1} \cdots x_n^{ki_n}.$$

In view of linear algebra,

$$\sum_{\sigma \in S_n} \varepsilon(\sigma) \prod_{j=1}^n x_j^{\sigma(j)-1} = \det[x_j^{i-1}]_{1 \leq i, j \leq n} = \prod_{1 \leq i < j \leq n} (x_j - x_i) \text{ (Vandermonde).}$$

Thus

$$\begin{aligned} & \left[\prod_{j=1}^n x_j^{kq_j+j-1} \right] (x_1^k + \cdots + x_n^k)^N \prod_{1 \leq i < j \leq n} (x_j - x_i) \\ &= \left[\prod_{j=1}^n x_j^{kq_j+j-1} \right] N! \sum_{\substack{i_1, \dots, i_n \in \mathbb{N} \\ i_1 + \cdots + i_n = N}} \sum_{\substack{\sigma \in S_n \\ k|\sigma(j)-j \\ \text{for } j=1, \dots, n}} \varepsilon(\sigma) \prod_{j=1}^n \frac{x_j^{ki_j+\sigma(j)-1}}{i_j!} \\ &= \left[\prod_{j=1}^n x_j^{kq_j+j-1} \right] N! \sum_{\substack{i_1, \dots, i_n \in \mathbb{N} \\ i_1 + \cdots + i_n = N}} \sum_{\substack{\sigma \in S_n \\ \sigma(X_s) = X_s \\ \text{for } s=1, \dots, k}} \varepsilon(\sigma) \prod_{j=1}^n \frac{x_j^{ki_j+\sigma(j)-1}}{i_j!}, \end{aligned}$$

where

$$X_s = \{1 \leq j \leq n : j \equiv s \pmod{k}\}.$$

Set $n_s = |X_s|$. Then

$$n_s = |\{q \in \mathbb{N} : s + kq \leq n\}| = \left\lfloor \frac{n-s}{k} \right\rfloor + 1.$$

If $\sigma \in S_n$ and $\sigma(X_s) = X_s$ for all $s = 1, \dots, k$, then

$$\varepsilon(\sigma) = \varepsilon(\sigma|_{X_1}) \varepsilon(\sigma|_{X_2 \cup \dots \cup X_k}) = \cdots = \varepsilon(\sigma|_{X_1}) \cdots \varepsilon(\sigma|_{X_k})$$

by Lemma 2.1.

Let $(x)_0 = 1$ and $(x)_i = \prod_{r=0}^{i-1} (x - r)$ for $i = 1, 2, 3, \dots$. By the above,

$$\begin{aligned}
 & \left[\prod_{j=1}^n x_j^{kq_j+j-1} \right] \frac{(x_1^k + \dots + x_n^k)^N}{N!} \prod_{1 \leq i < j \leq n} (x_j - x_i) \\
 &= \left[\prod_{j=1}^n x_j^{kq_j+j-1} \right] \sum_{\substack{i_1, \dots, i_n \in \mathbb{N} \\ i_1 + \dots + i_n = N}} \prod_{s=1}^k \left(\sum_{\sigma \in S(X_s)} \varepsilon(\sigma) \prod_{j \in X_s} \frac{x_j^{ki_j + \sigma(j) - 1}}{i_j!} \right) \\
 &= \prod_{s=1}^k \left(\sum_{\substack{\sigma \in S(X_s) \\ q_j + (j - \sigma(j))/k \geq 0 \\ \text{for } j \in X_s}} \varepsilon(\sigma) \prod_{j \in X_s} \frac{1}{(q_j + (j - \sigma(j))/k)!} \right) \\
 &= \prod_{s=1}^k \left(\sum_{\sigma \in S_{n_s}} \varepsilon(\sigma) \prod_{j=1}^{n_s} \frac{(q_{(j-1)k+s} + j - 1)_{\sigma(j)-1}}{(q_{(j-1)k+s} + j - 1)!} \right) \\
 &= \prod_{s=1}^k \frac{\det[(q_{(j-1)k+s} + j - 1)_{i-1}]_{1 \leq i, j \leq n_s}}{\prod_{j=1}^{n_s} (q_{(j-1)k+s} + j - 1)!} = \prod_{s=1}^k \frac{\det[(q_{jk+s} + j)_i]_{0 \leq i, j \leq n_s - 1}}{\prod_{j=0}^{n_s - 1} (q_{jk+s} + j)!}.
 \end{aligned}$$

It is well known that

$$y^i = (y)_i + \sum_{0 \leq r < i} S(i, r)(y)_r \quad \text{for } i = 0, 1, 2, \dots,$$

where $S(i, r)$ ($0 \leq r < i$) are Stirling numbers of the second kind. So

$$\begin{aligned}
 & \det[(q_{jk+s} + j)_i]_{0 \leq i, j < n_s} = \det[(q_{jk+s} + j)^i]_{0 \leq i, j < n_s} \\
 &= \prod_{0 \leq i < j < n_s} (q_{jk+s} + j - (q_{ik+s} + i)) \quad (\text{Vandermonde}),
 \end{aligned}$$

and hence (2.1) follows. \square

3. PROOFS OF THEOREMS 1.2 AND 1.3

Let us recall the following powerful tool.

Combinatorial Nullstellensatz (Alon [A]). *Let A_1, \dots, A_n be finite subsets of a field F , and let $P(x_1, \dots, x_n) \in F[x_1, \dots, x_n]$. Suppose that $\deg P = k_1 + \dots + k_n$ where $0 \leq k_i < |A_i|$ for $i = 1, \dots, n$. If*

$$[x_1^{k_1} \dots x_n^{k_n}]P(x_1, \dots, x_n) \neq 0,$$

then $P(x_1, \dots, x_n) \neq 0$ for some $x_1 \in A_1, \dots, x_n \in A_n$.

Proof of Theorem 1.2. Let m be the least nonnegative integer not exceeding n such that $\sum_{m < i \leq n} q_i < p(F)$. For each $m < i \leq n$ let A'_i be a subset of

A_i with cardinality $kq_i + i \leq |A_i|$. In the case $m > 0$, $p = p(F)$ is a prime and we let A'_m be a subset of A_m with

$$|A'_m| = k \left(p - 1 - \sum_{m < i \leq n} q_i \right) + m < kq_m + m \leq |A_m|.$$

If $0 < i < m$ then we let $A'_i \subseteq A_i$ with $|A'_i| = i$. Clearly $q'_i = (|A'_i| - i)/k \leq q_i$. Whether $m = 0$ or not, we have $\sum_{i=1}^n (|A'_i| - i) = k \sum_{i=1}^n q'_i = k(N - 1)$, where

$$N = \min\{p(F), q_1 + \cdots + q_n + 1\}.$$

Let $s \in \{1, \dots, k\}$. For any $0 < i < n_s = \lfloor (n - s)/k \rfloor + 1$ we have

$$q_{(i-1)k+s} = \min_{\substack{(i-1)k+s \leq j \leq n \\ j \equiv s \pmod{k}}} \left\lfloor \frac{|A_j| - j}{k} \right\rfloor \leq \min_{\substack{ik+s \leq j \leq n \\ j \equiv s \pmod{k}}} \left\lfloor \frac{|A_j| - j}{k} \right\rfloor = q_{ik+s}$$

and hence $q'_{(i-1)k+s} \leq q'_{ik+s}$. (If $(i-1)k + s = m$ then $q'_{(i-1)k+s} \leq q_{(i-1)k+s} \leq q_{ik+s} = q'_{ik+s}$.) So

$$0 \leq q'_s < q'_{k+s} + 1 < q'_{2k+s} + 2 < \cdots < q'_{(n_s-1)k+s} + n_s - 1.$$

Define

$$P(x_1, \dots, x_n) = (x_1^k + \cdots + x_n^k)^{N-1} \prod_{1 \leq i < j \leq n} (x_j - x_i) \in F[x_1, \dots, x_n].$$

In light of Theorem 2.1,

$$\left[\prod_{j=1}^n x_j^{kq'_j + j - 1} \right] P(x_1, \dots, x_n) = he,$$

where e is the identity of the field F , and

$$h = (N - 1)! \left/ \prod_{s=1}^k \prod_{j=0}^{n_s-1} \prod_{\substack{0 \leq r < q'_{jk+s} + j \\ r \notin \{q'_{ik+s} + i : 0 \leq i < j\}}} (q'_{jk+s} + j - r) \right.$$

is an integer dividing $(N - 1)!$. Since $p(F) > N - 1$, we have $he \neq 0$.

Set

$$C = \{f(x_1, \dots, x_n) : x_1 \in A'_1, \dots, x_n \in A'_n, \text{ and } x_i \neq x_j \text{ if } i \neq j\}.$$

Suppose that $|C| \leq N - 1$ and let $Q(x_1, \dots, x_n)$ denote the polynomial

$$f(x_1, \dots, x_n)^{N-1-|C|} \prod_{c \in C} (f(x_1, \dots, x_n) - c) \times \prod_{1 \leq i < j \leq n} (x_j - x_i).$$

Then

$$\deg Q = k(N - 1) + \binom{n}{2} = \sum_{i=1}^n (|A'_i| - 1) = \sum_{i=1}^n (kq'_i + i - 1)$$

and

$$\left[x_1^{|A'_1|-1} \dots x_n^{|A'_n|-1} \right] Q(x_1, \dots, x_n) = \left[\prod_{j=1}^n x_j^{kq'_j + j - 1} \right] P(x_1, \dots, x_n) \neq 0.$$

In light of the Combinatorial Nullstellensatz, there are $x_1 \in A'_1, \dots, x_n \in A'_n$ such that $Q(x_1, \dots, x_n) \neq 0$. This contradicts the fact $f(x_1, \dots, x_n) \in C$.

By the above, we have

$$|\{f(x_1, \dots, x_n) : x_1 \in A_1, \dots, x_n \in A_n, \text{ and } x_i \neq x_j \text{ if } i \neq j\}| \geq |C| \geq N.$$

This concludes the proof. \square

Proof of Theorem 1.3. Write $n = kq_0 + n_0$ with $q_0 \in \mathbb{N}$ and $1 \leq n_0 \leq k$. Then

$$\begin{aligned} & \sum_{i=1}^n \min_{\substack{i \leq j \leq n \\ j \equiv i \pmod{k}}} \left\lfloor \frac{|A_j| - j}{k} \right\rfloor \\ &= \sum_{r=1}^k \sum_{0 \leq q \leq \lfloor (n-r)/k \rfloor} \min_{\substack{kq+r \leq j \leq n \\ j \equiv r \pmod{k}}} \left\lfloor \frac{m-j}{k} \right\rfloor \\ &= \sum_{r=1}^k \sum_{0 \leq q \leq \lfloor (n-r)/k \rfloor} \left\lfloor \frac{m-r-k\lfloor (n-r)/k \rfloor}{k} \right\rfloor \\ &= \sum_{r=1}^k \left(\left\lfloor \frac{n-r}{k} \right\rfloor + 1 \right) \left(\left\lfloor \frac{m-r}{k} \right\rfloor - \left\lfloor \frac{n-r}{k} \right\rfloor \right) \\ &= \sum_{r=1}^{n_0} (q_0 + 1) \left(\left\lfloor \frac{m-r}{k} \right\rfloor - q_0 \right) + \sum_{n_0 < r \leq k} q_0 \left(\left\lfloor \frac{m-r}{k} \right\rfloor - q_0 + 1 \right) \\ &= q_0 \sum_{r=1}^k \left\lfloor \frac{m-r}{k} \right\rfloor + \sum_{r=1}^{n_0} \left\lfloor \frac{m-r}{k} \right\rfloor - q_0((q_0 + 1)n_0 + (q_0 - 1)(k - n_0)). \end{aligned}$$

Observe that

$$\sum_{r=1}^k \left\lfloor \frac{m-r}{k} \right\rfloor = \sum_{r=1}^k \left(\frac{m-r}{k} - \frac{\{m-r\}_k}{k} \right) = m - \sum_{r=1}^k \frac{r}{k} - \sum_{s=0}^{k-1} \frac{s}{k} = m - k.$$

So we have

$$\begin{aligned} & \sum_{i=1}^n \min_{\substack{i \leq j \leq n \\ j \equiv i \pmod{k}}} \left\lfloor \frac{|A_j| - j}{k} \right\rfloor \\ &= \sum_{r=1}^{n_0} \left\lfloor \frac{m-r}{k} \right\rfloor + q_0(m-k) - q_0(2n_0 + k(q_0 - 1)) \\ &= \sum_{r=1}^{n_0} \left(\left\lfloor \frac{m-r}{k} \right\rfloor - q_0 \right) + q_0(m-n). \end{aligned}$$

To simplify the last sum, we now subtract a term which will be added later. Clearly

$$\begin{aligned} & \sum_{r=1}^{n_0} \left(\left\lfloor \frac{m-r}{k} \right\rfloor - q_0 \right) - n_0 \left\lfloor \frac{m-n}{k} \right\rfloor \\ &= \sum_{r=1}^{n_0} \left(\left\lfloor \frac{m-n+n_0-r}{k} \right\rfloor - \left\lfloor \frac{m-n}{k} \right\rfloor \right) \\ &= \sum_{s=0}^{n_0-1} \left\lfloor \frac{\{m-n\}_k + s}{k} \right\rfloor. \end{aligned}$$

If $\{m\}_k \geq n_0$, then

$$\sum_{s=0}^{n_0-1} \left\lfloor \frac{\{m-n\}_k + s}{k} \right\rfloor = \sum_{s=0}^{n_0-1} \left\lfloor \frac{\{m\}_k - \{n\}_k + s}{k} \right\rfloor = 0.$$

If $\{m\}_k < n_0$, then

$$\begin{aligned} & \sum_{s=0}^{n_0-1} \left\lfloor \frac{\{m-n\}_k + s}{k} \right\rfloor = \sum_{s=0}^{n_0-1} \left\lfloor \frac{\{m\}_k - n_0 + k + s}{k} \right\rfloor \\ &= |\{s \in \{0, \dots, n_0-1\} : s \geq n_0 - \{m\}_k\}| = \{m\}_k. \end{aligned}$$

Therefore

$$\begin{aligned} & \sum_{i=1}^n \min_{\substack{i \leq j \leq n \\ j \equiv i \pmod{k}}} \left\lfloor \frac{|A_j| - j}{k} \right\rfloor \\ &= q_0(m-n) + n_0 \left\lfloor \frac{m-n}{k} \right\rfloor + \{m\}_k \llbracket \{m\}_k < n_0 \rrbracket \\ &= (m-n) \left\lfloor \frac{n}{k} \right\rfloor + \{n\}_k \left\lfloor \frac{m-n}{k} \right\rfloor + \{m\}_k \llbracket \{m\}_k < \{n\}_k \rrbracket \\ &= \frac{n(m-n)}{k} - \frac{\{n\}_k \{m-n\}_k}{k} + \{m\}_k \llbracket \{m\}_k < \{n\}_k \rrbracket. \end{aligned}$$

In view of the above, by applying Theorem 1.2 for $k \leq n$ and Theorem 1.1(ii) for $k \geq n$, we immediately get the desired (1.9). \square

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