

$\mathbb{Q} \setminus \mathbb{Z}$ IS DIOPHANTINE OVER \mathbb{Q} WITH 32 UNKNOWNNS

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ABSTRACT. In 2016 J. Koenigsmann refined a celebrated theorem of J. Robinson by proving that $\mathbb{Q} \setminus \mathbb{Z}$ is diophantine over \mathbb{Q} , i.e., there is a polynomial $P(t, x_1, \dots, x_n) \in \mathbb{Z}[t, x_1, \dots, x_n]$ such that for any rational number t we have

$$t \notin \mathbb{Z} \iff \exists x_1 \cdots \exists x_n [P(t, x_1, \dots, x_n) = 0]$$

where variables range over \mathbb{Q} , equivalently

$$t \in \mathbb{Z} \iff \forall x_1 \cdots \forall x_n [P(t, x_1, \dots, x_n) \neq 0].$$

In this paper we prove that we may take $n = 32$. Combining this with a result of Z.-W. Sun, we show that there is no algorithm to decide for any $f(x_1, \dots, x_{41}) \in \mathbb{Z}[x_1, \dots, x_{41}]$ whether

$$\forall x_1 \cdots \forall x_9 \exists y_1 \cdots \exists y_{32} [f(x_1, \dots, x_9, y_1, \dots, y_{32}) = 0],$$

where variables range over \mathbb{Q} .

1. INTRODUCTION

Hilbert’s Tenth Problem (HTP) asks for an algorithm to determine for any given polynomial $P(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$ whether the diophantine equation $P(x_1, \dots, x_n) = 0$ has solutions $x_1, \dots, x_n \in \mathbb{Z}$. This was solved negatively by Yu. Matiyasevich [8] in 1970, on the basis of the important work of M. Davis, H. Putnam and J. Robinson [5]; see also Davis [4] for a nice introduction. Z.-W. Sun [15] proved his 11 unknowns theorem which states that there is no algorithm to determine for any $P(x_1, \dots, x_{11}) \in \mathbb{Z}[x_1, \dots, x_{11}]$ whether the equation $P(x_1, \dots, x_{11}) = 0$ has solutions over \mathbb{Z} .

It remains open whether HTP over \mathbb{Q} is undecidable. However, Robinson [14] used the theory of quadratic forms to prove that one can characterize \mathbb{Z} by using the language of \mathbb{Q} in the following way: For any $t \in \mathbb{Q}$ we have

$$t \in \mathbb{Z} \iff \forall x_1 \forall x_2 \exists y_1 \cdots \exists y_7 \forall z_1 \cdots \forall z_6 [f(t, x_1, x_2, y_1, \dots, y_7, z_1, \dots, z_6) = 0],$$

where f is a polynomial with integer coefficients. (Throughout this paper, variables always range over \mathbb{Q} .) In 2009 B. Poonen [13] improved this by finding a polynomial $F(t, x_1, x_2, y_1, \dots, y_7)$ with integer coefficients such that

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for any $t \in \mathbb{Q}$ we have

$$t \in \mathbb{Z} \iff \forall x_1 \forall x_2 \exists y_1 \cdots \exists y_7 [F(t, x_1, x_2, y_1, \dots, y_7) = 0].$$

In 2016 J. Koenigsmann [7] improved Poonen's result by proving that the set $\mathbb{Q} \setminus \mathbb{Z}$ is diophantine over \mathbb{Q} , i.e., there is a polynomial $P(t, x_1, \dots, x_n) \in \mathbb{Q}[t, x_1, \dots, x_n]$ such that for any $t \in \mathbb{Q}$ we have

$$t \notin \mathbb{Z} \iff \exists x_1 \cdots \exists x_n [P(t, x_1, \dots, x_n) = 0],$$

i.e.,

$$t \in \mathbb{Z} \iff \forall x_1 \cdots \forall x_n [P(t, x_1, \dots, x_n) \neq 0].$$

The number n of unknowns in Koenigsmann's diophantine representation of $\mathbb{Q} \setminus \mathbb{Z}$ over \mathbb{Q} is over 400 but below 500. In 2018 N. Daans [2] significantly simplified Koenigsmann's approach and proved that $\mathbb{Q} \setminus \mathbb{Z}$ has a diophantine representation over \mathbb{Q} with 50 unknowns. The number 50 could be reduced to 38 by applying a recent result [3, Theorem 1.4] obtained by model theory.

In this paper we establish the following new result.

Theorem 1.1. *$\mathbb{Q} \setminus \mathbb{Z}$ has a diophantine representation over \mathbb{Q} with 32 unknowns, i.e., there is a polynomial $P(t, x_1, \dots, x_{32}) \in \mathbb{Z}[t, x_1, \dots, x_{32}]$ such that for any $t \in \mathbb{Q}$ we have*

$$t \notin \mathbb{Z} \iff \exists x_1 \cdots \exists x_{32} [P(t, x_1, \dots, x_{32}) = 0]. \quad (1.1)$$

Furthermore, the polynomial P can be constructed explicitly with $\deg P < 2.1 \times 10^{11}$.

To obtain this theorem, we start from Daans' work [2], and mainly use a new relation-combining theorem on diophantine representations over \mathbb{Q} (which is an analogue of Matiyasevich and Robinson's relation-combining theorem [9, Theorem 1]) as an auxiliary tool. Now we state our relation-combining theorem for diophantine representations over \mathbb{Q} .

Theorem 1.2. *Let $\mathcal{J}_k(x_1, \dots, x_k, x)$ denote the expression*

$$\prod_{s=1}^k x_s^{(k-1)2^{k+1}} \times \prod_{\varepsilon_1, \dots, \varepsilon_k \in \{\pm 1\}} \left(x + \sum_{s=1}^k \varepsilon_s \sqrt{x_s} W(x_1, \dots, x_k)^{s-1} \right),$$

where

$$W(x_1, \dots, x_k) = \left(k + \sum_{s=1}^k x_s^2 \right) \left(1 + \sum_{s=1}^k x_s^{-2} \right).$$

Then $\mathcal{J}_k(x_1, \dots, x_k, x)$ is a polynomial with integer coefficients. Moreover, for any $A_1, \dots, A_k \in \mathbb{Q}^* = \mathbb{Q} \setminus \{0\}$, we have

$$A_1, \dots, A_k \in \square \iff \exists x [\mathcal{J}_k(A_1, \dots, A_k, x) = 0], \quad (1.2)$$

where $\square = \{r^2 : r \in \mathbb{Q}\}$.

Remark 1.1. In view of its proof, Theorem 1.2 can be generalized by replacing \mathbb{Q} with any subfield of the real field \mathbb{R} or any ordered field.

When $\rho_s \in \{\forall, \exists\}$ for all $s = 1, \dots, k$, we say that $\rho_1 \cdots \rho_k$ over \mathbb{Q} is undecidable if there is no algorithm to decide for any polynomial $P(x_1, \dots, x_k)$ over \mathbb{Q} whether

$$\rho_1 x_1 \cdots \rho_k x_k [P(x_1, \dots, x_k) = 0]$$

or not. For convenience we adopt certain abbreviation, for example, $\forall^2 \exists^3$ denotes $\forall \forall \exists \exists \exists$.

Combining Theorem 1.1 and its proof with a result of Sun [15, Theorem 1.1], we obtain the following theorem.

Theorem 1.3. $\forall^9 \exists^{32}$ over \mathbb{Q} is undecidable, i.e., there is no algorithm to determine for any $P(x_1, \dots, x_{41}) \in \mathbb{Z}[x_1, \dots, x_{41}]$ whether

$$\forall x_1 \cdots \forall x_9 \exists y_1 \cdots \exists y_{32} [P(x_1, \dots, x_9, y_1, \dots, y_{32}) = 0].$$

Also, $\exists^9 \forall^{32} \exists$ over \mathbb{Q} and $\exists^{10} \forall^{31} \exists$ over \mathbb{Q} are undecidable.

We remark that Sun [16] obtained some undecidability results on mixed quantifier prefixes over diophantine equations with integer variables; for example, he proved that $\forall^2 \exists^4$ over \mathbb{Z} is undecidable.

In the next section we will prove Theorem 1.2. Sections 3 and 4 are devoted to our proofs of Theorems 1.1 and 1.3 respectively.

2. PROOF OF THEOREM 1.2

Proof of Theorem 1.2. Clearly,

$$I_k(x_1, \dots, x_k, x, y) = \prod_{\varepsilon_1, \dots, \varepsilon_k \in \{\pm 1\}} (x + \varepsilon_1 x_1 + \varepsilon_2 x_2 y + \cdots + \varepsilon_k x_k y^{k-1}).$$

is a polynomial with integer coefficients. As

$$I_k(x_1, \dots, x_k, x, y) = \prod_{\varepsilon_i \in \{\pm 1\} \text{ for } i \neq t} \left(\left(x + \sum_{\substack{s=1 \\ s \neq t}}^k \varepsilon_s x_s y^{s-1} \right)^2 - x_t^2 y^{2(t-1)} \right)$$

for all $t = 1, \dots, k$, we see that

$$I_k(x_1, \dots, x_k, x, y) = I_k^*(x_1^2, \dots, x_k^2, x, y)$$

for some polynomial I_k^* with integer coefficients. Note that

$$\begin{aligned} \mathcal{J}_k(x_1, \dots, x_k, x) &= \prod_{s=1}^k x_s^{(k-1)2^{k+1}} \\ &\quad \times I_k^* \left(x_1, \dots, x_k, x, \left(k + \sum_{j=1}^k x_j^2 \right) \left(1 + \sum_{j=1}^k x_j^{-2} \right) \right) \end{aligned}$$

is a polynomial with integer coefficients.

Now let $A_1, \dots, A_k \in \mathbb{Q}^*$. We claim that for any rational number

$$W_k \geq \frac{1 + \sum_{s=1}^k |\sqrt{A_s}|}{\min\{|\sqrt{A_1}|, \dots, |\sqrt{A_k}|\}}, \quad (2.1)$$

we have

$$A_1, \dots, A_k \in \square \iff \exists x[I_k^*(A_1, \dots, A_k, x, W_k) = 0].$$

The “ \Rightarrow ” direction is easy. If $A_1 = a_1^2, \dots, A_k = a_k^2$ for some $a_1, \dots, a_k \in \mathbb{Q}$, then, for $x = a_1 + a_2 W_k + \dots + a_k W_k^{k-1} \in \mathbb{Q}$ we have $I_k^*(A_1, \dots, A_k, x, W_k) = 0$.

We use induction on k to prove the “ \Leftarrow ” direction of the claim. In the case $k = 1$, if $I_1^*(A_1, x, W_1) = x^2 - A_1$ is zero for some $x \in \mathbb{Q}$ then we obviously have $A_1 \in \square$.

Now let $k > 1$ and assume that the “ \Leftarrow ” direction of the claim holds for all smaller values of k . Let W_k be any rational number satisfying the inequality (2.1). Suppose that $I_k^*(A_1, \dots, A_k, x, W_k) = 0$ for some $x \in \mathbb{Q}$. Then there are $\varepsilon_1, \dots, \varepsilon_k \in \{\pm 1\}$ such that

$$x + \sum_{s=1}^k \varepsilon_s \sqrt{A_s} W_k^{s-1} = 0.$$

If $A_k = a_k^2$ for some $a_k \in \mathbb{Q}$, then, for $x' = x + \varepsilon_k |a_k| W_k^{k-1}$ we have

$$x' + \varepsilon_1 \sqrt{A_1} + \varepsilon_2 \sqrt{A_2} W_k + \dots + \varepsilon_{k-1} \sqrt{A_{k-1}} W_k^{k-2} = 0$$

and hence $I_{k-1}^*(A_1, \dots, A_{k-1}, x', W_k) = 0$. Note that

$$|\sqrt{A_t}| W_k \geq 1 + \sum_{s=1}^k |\sqrt{A_s}| \geq 1 + \sum_{s=1}^{k-1} |\sqrt{A_s}|$$

for each $t = 1, \dots, k-1$. So, in the case $A_k \in \square$, we get $A_1, \dots, A_{k-1} \in \square$ by the induction hypothesis.

To finish the induction step, it remains to prove $A_k \in \square$. As the characteristic of \mathbb{Q} is zero, $\mathbb{Q}(\sqrt{A_s})$ is a Galois extension of \mathbb{Q} for any $s = 1, \dots, k$. Thus

$$\mathbb{Q}(\sqrt{A_1}, \dots, \sqrt{A_k}) = \mathbb{Q}(\sqrt{A_1}) \cdots \mathbb{Q}(\sqrt{A_k})$$

is also a Galois extension of \mathbb{Q} in view of [10, p. 50, Problem 10(d)]. Suppose that $A_k \notin \square$. Then $\sqrt{A_k} \notin \mathbb{Q}$, and hence there is an automorphism $\sigma \in \text{Gal}(K/\mathbb{Q})$ with $\sigma(\sqrt{A_k}) \neq \sqrt{A_k}$, where $K = \mathbb{Q}(\sqrt{A_1}, \dots, \sqrt{A_k})$. Recall that

$$0 = x + \sum_{s=1}^k \varepsilon_s \sqrt{A_s} W_k^{s-1}.$$

Hence

$$0 = 0 - \sigma(0) = \sum_{s=1}^k \varepsilon_s (\sqrt{A_s} - \sigma(\sqrt{A_s})) W_k^{s-1}. \quad (2.2)$$

Note that $\sigma(\sqrt{A_k}) = -\sqrt{A_k}$, and $\sigma(\sqrt{A_s}) \in \{\pm \sqrt{A_s}\}$ for all $s = 1, \dots, k-1$. Thus, by (2.2) we have

$$2|\sqrt{A_k}| W_k^{k-1} = |2\varepsilon_k \sqrt{A_k} W_k^{k-1}| \leq \sum_{s=1}^{k-1} 2|\sqrt{A_s}| W_k^{s-1}.$$

On the other hand,

$$\begin{aligned} |\sqrt{A_k}|W_k^{k-1} &\geq W_k^{k-2} \left(1 + \sum_{s=1}^k |\sqrt{A_s}| \right) \\ &> W_k^{k-2} \sum_{s=1}^{k-1} |\sqrt{A_s}| \geq \sum_{s=1}^{k-1} |\sqrt{A_s}| W_k^{s-1}. \end{aligned}$$

So we get a contradiction and this concludes our proof of the claim.

Note that

$$\begin{aligned} W &:= \left(\sum_{s=1}^k (1 + A_s^2) \right) \left(1 + \sum_{s=1}^k A_s^{-2} \right) \\ &= \sum_{s=1}^k (1 + A_s^2) + \sum_{r=1}^k \sum_{s=1}^k A_r^{-2} (1 + A_s^2). \end{aligned}$$

For $0 \leq \alpha \leq 1$ clearly $1 + \alpha^4 \geq 1 \geq \alpha$; if $\alpha \geq 1$ then $1 + \alpha^4 \geq \alpha^4 \geq \alpha$. So $1 + \alpha^4 \geq \alpha$ for all $\alpha \geq 0$, and hence $1 + A_s^2 \geq |\sqrt{A_s}|$ for all $s = 1, \dots, k$. Therefore,

$$W \geq \sum_{s=1}^k (1 + A_s^2) + 1 \geq 1 + \sum_{s=1}^k |\sqrt{A_s}|.$$

If $t \in \{1, \dots, k\}$ and $|A_t| \geq 1$, then

$$|\sqrt{A_t}|W \geq W \geq 1 + \sum_{s=1}^k |\sqrt{A_s}|.$$

If $1 \leq t \leq k$ and $|A_t| < 1$, then $|\sqrt{A_t}| = |A_t|^{1/2} > A_t^2$ and hence

$$\begin{aligned} |\sqrt{A_t}|W &\geq |\sqrt{A_t}| \left(1 + \sum_{s=1}^k A_t^{-2} (1 + A_s^2) \right) \\ &\geq |\sqrt{A_t}| + \sum_{s=1}^k (1 + A_s^2) = |\sqrt{A_t}| + (1 + A_t^2) + \sum_{\substack{s=1 \\ s \neq t}}^k (1 + A_s^2) \\ &\geq 1 + \sum_{s=1}^k |\sqrt{A_s}|. \end{aligned}$$

Therefore the inequality (2.1) holds if we take $W_k = W$. Applying the proved claim we immediately obtain the desired result. This concludes our proof of Theorem 1.2. \square

3. PROOF OF THEOREM 1.1

Let p be any prime. As usual, we let \mathbb{Q}_p and \mathbb{Z}_p denote the p -adic field and the ring of p -adic integers respectively. We also define

$$\mathbb{Z}_{(p)} = \mathbb{Q} \cap \mathbb{Z}_p = \left\{ \frac{a}{b} : a, b \in \mathbb{Z} \text{ and } p \nmid b \right\}.$$

D. Flath and S. Wagon [6] attributed the following lemma as an observation of J. Robinson, but we cannot find it in any of Robinson's papers.

Lemma 3.1. *Let r be any rational number. Then*

$$r \in \mathbb{Z}_{(2)} \iff \exists x \exists y \exists z [7r^2 + 2 = x^2 + y^2 + z^2]. \quad (3.1)$$

Proof. The Gauss-Legendre theorem on sums of three squares (cf. [11, pp. 17-23]) states that $n \in \mathbb{N} = \{0, 1, \dots\}$ is a sum of three integer squares if and only if $n \notin \{4^k(8m+7) : k, m \in \mathbb{N}\}$.

If $r = a/b$ with $a, b \in \mathbb{Z}$ and $2 \nmid b$, then $7a^2 + 2b^2 \equiv 2 - a^2 \equiv 1, 2 \pmod{4}$ and hence $7a^2 + 2b^2$ is a sum of three squares, thus $7r^2 + 2 = (7a^2 + 2b^2)/b^2$ can be expressed as $x^2 + y^2 + z^2$ with $x, y, z \in \mathbb{Q}$.

Suppose that $r = a/b$ with $a, b \in \mathbb{Z}$, $2 \nmid a$, $b \neq 0$ and $2 \mid b$. If $7r^2 + 2 = x^2 + y^2 + z^2$ for some $x, y, z \in \mathbb{Q}$, then there is a nonzero integer c such that $c^2(7r^2 + 2)$ is a sum of three integer squares and hence $c^2(7r^2 + 2) \notin \{4^k(8m+7) : k, m \in \mathbb{N}\}$. Note that any odd square is congruent to 1 modulo 8 and $7a^2 + 2b^2 \equiv 7 \pmod{8}$ as $2 \nmid a$ and $2 \mid b$. Thus the integer $c^2(7r^2 + 2) = (c/b)^2(7a^2 + 2b^2)$ has the form $(2^k)^2(8m+7)$ with $k, m \in \mathbb{N}$ which leads to a contradiction.

In view of the above, we have completed the proof of Lemma 3.1.

For any prime p and $t \in \mathbb{Q}$, as usual we denote the p -adic valuation of t by $\nu_p(t)$. For $A \subseteq \mathbb{Q}$ we define $A^\times = \{a \in A \setminus \{0\} : a^{-1} \in A\}$.

Lemma 3.2. *Let p be a prime, and let $t \in \mathbb{Q}$. Then*

$$t \in \mathbb{Z}_{(p)}^\times \iff t \neq 0 \wedge (t + t^{-1} \in \mathbb{Z}_{(p)}). \quad (3.2)$$

Proof. For $t \in \mathbb{Q}^*$, we have $\nu_p(t^{-1}) = -\nu_p(t)$. So the desired result follows. \square

Remark 3.1. This easy lemma was used by Daans [2].

For first-order formulas ψ_1, \dots, ψ_k , we simply write

$$\psi_1 \vee \dots \vee \psi_k \quad \text{and} \quad \psi_1 \wedge \dots \wedge \psi_k$$

as $\bigvee_{s=1}^k \psi_s$ and $\bigwedge_{s=1}^k \psi_s$ respectively.

Definition 3.1. We set $\square^* = \{x^2 : x \in \mathbb{Q}^*\}$. A subset T of \mathbb{Q} is said to be *m-good* if there are polynomials

$$f_s(t, x_1, \dots, x_m), g_{s1}(t, x_1, \dots, x_m), \dots, g_{s\ell_s}(t, x_1, \dots, x_m) \quad (s = 1, \dots, k)$$

with integer coefficients such that a rational number t belongs to T if and only if

$$\exists x_1 \dots \exists x_m \left[\bigvee_{s=1}^k \left(f_s(t, x_1, \dots, x_m) = 0 \wedge \bigwedge_{j=1}^{\ell_s} (g_{sj}(t, x_1, \dots, x_m) \in \square^*) \right) \right].$$

Remark 3.2. (i) Clearly a rational number t is nonzero if and only if $t^2 \in \square^*$. For any polynomial $P(x) \in \mathbb{Z}[x]$ of degree d , we have $x^{2d}P(x^{-1}) \in \mathbb{Z}[x]$, and

$$t^{2d}P(t^{-1}) \in \square^* \iff P(t^{-1}) \in \square^*$$

for all $t \in \mathbb{Q}^*$.

(ii) For any $a, b \in \mathbb{Q}$, clearly $(a = 0 \wedge b = 0) \iff a^2 + b^2 = 0$. In view of this and the distributive law concerning disjunction and conjunction, if $S \subseteq \mathbb{Q}$ is m -good and $T \subseteq \mathbb{Q}$ is n -good then $S \cap T$ is $(m + n)$ -good.

Lemma 3.3. *Both $\mathbb{Z}_{(2)}$ and $\mathbb{Z}_{(2)}^\times$ are 2-good.*

Proof. For any $t \in \mathbb{Q}$, by Lemma 3.1 we have

$$t \in \mathbb{Z}_{(2)} \iff \exists x \exists y [7t^2 + 2 - x^2 - y^2 \in \square].$$

Note also that

$$t \in \mathbb{Z}_{(2)}^\times \iff t \neq 0 \wedge (t + t^{-1} \in \mathbb{Z}_{(2)})$$

by Lemma 3.2. Combining these with Remark 3.2 we immediately get the desired result. \square

Let $a, b \in \mathbb{Q}^*$. As in Poonen [13], we define

$$S_{a,b} = \{2x_1 \in \mathbb{Q} : \exists x_2 \exists x_3 \exists x_4 [x_1^2 - ax_2^2 - bx_3^2 + abx_4^2 = 1]\} \quad (3.3)$$

and

$$T_{a,b} = \{x + y : x, y \in S_{a,b}\}. \quad (3.4)$$

Lemma 3.4. *Let $a, b \in \mathbb{Q}^*$ with $a > 0$ or $b > 0$. Then $T_{a,b}$ and $T_{a,b}^\times$ are 5-good.*

Proof. Let $r \in \mathbb{Q}$. Note that

$$\left(\frac{r}{2}\right)^2 - a \left(\frac{x}{2}\right)^2 - b \left(\frac{y}{2}\right)^2 + ab \left(\frac{z}{2}\right)^2 = 1 \iff ab(4 - r^2 + ax^2 + by^2) = (abz)^2.$$

So

$$\begin{aligned} r \in S_{a,b} &\iff \exists x \exists y [ab(4 - r^2 + ax^2 + by^2) \in \square] \\ &\iff \exists x \exists y [ab(4 - r^2 + ax^2 + by^2) = 0 \vee ab(4 - r^2 + ax^2 + by^2) \in \square^*] \end{aligned}$$

and hence $S_{a,b}$ is 2-good.

For $t \in \mathbb{Q}$, we obviously have

$$t \in T_{a,b} \iff \exists r (r \in S_{a,b} \wedge t - r \in S_{a,b}).$$

As $S_{a,b}$ is 2-good, $T_{a,b}$ is 5-good by Remark 3.2(ii).

By Koenigsmann [7, Proposition 6],

$$T_{a,b}^\times = \bigcap_{p \in \Delta_{a,b}} \mathbb{Z}_{(p)}^\times,$$

where

$$\Delta_{a,b} = \{p : p \text{ is prime and } (a, b)_p = -1\}$$

with $(a, b)_p$ the Hilbert symbol. (We view an empty intersection of subsets of \mathbb{Q} as \mathbb{Q} , thus $T_{a,b}^\times = \mathbb{Q}$ if $\Delta_{a,b} = \emptyset$.) Let $t \in \mathbb{Q}^*$. By Lemma 3.2, we have

$$t \in T_{a,b}^\times \iff \forall p \in \Delta_{a,b} (t + t^{-1} \in \mathbb{Z}_{(p)}) \iff t + t^{-1} \in T_{a,b}.$$

In view of Remark 3.2, from the above we see that $T_{a,b}^\times$ is 5-good.

The proof of Lemma 3.4 is now complete. \square

For $S, T \subseteq \mathbb{Q}$ we set

$$ST = \{st : s \in S \text{ and } t \in T\}.$$

For $a, b, c \in \mathbb{Q}^*$ with $a > 0$ or $b > 0$, we define

$$J_{a,b}^c = T_{a,b} \{cy^2 : y \in \mathbb{Q} \text{ and } 1 - cy^2 \in \square T_{a,b}^\times\}. \quad (3.5)$$

By Koenigsmann [7, Proposition 6] and Daans [2, Lemma 5.4],

$$J_{a,b}^c = \bigcap_{\substack{p \in \Delta_{a,b} \\ 2 \nmid \nu_p(c)}} p\mathbb{Z}_{(p)}. \quad (3.6)$$

Lemma 3.5. *Let $a, b, c \in \mathbb{Q}^*$ with $a > 0$ or $b > 0$. Then $J_{a,b}^c$ is 12-good.*

Proof. As $0 \in J_{a,b}^c$ by (3.6), we have $T_{a,b}^\times \neq \emptyset$. For any $x \in \mathbb{Q}$, clearly

$$x \in \square T_{a,b}^\times \iff x = 0 \vee \exists y(xy^2 \in T_{a,b}^\times).$$

So $\square T_{a,b}^\times$ is 6-good in light of Lemma 3.4. As $\pm 2 \in S_{a,b}$, both $T_{a,b}$ and $J_{a,b}^c$ contain 0. Let $x \in \mathbb{Q}$. Note that

$$x \in J_{a,b}^c \iff x = 0 \vee \exists y \neq 0 \left[\frac{x}{cy^2} \in T_{a,b} \wedge (1 - cy^2 \in \square T_{a,b}^\times) \right].$$

Thus, with the aid of Remark 3.2 and Lemma 3.4, we see that $J_{a,b}^c$ is 12-good. \square

Proof of Theorem 1.1. Let $t \in \mathbb{Q}$. Clearly,

$$t \in \mathbb{Q} \setminus \mathbb{Z} \iff t \neq 0 \wedge t^{-1} \in \bigcup_{p \in \mathbb{P}} p\mathbb{Z}_{(p)},$$

where \mathbb{P} is the set of all primes. By Daans [2, (1)], we have

$$\bigcup_{p \in \mathbb{P}} p\mathbb{Z}_{(p)} = 2\mathbb{Z}_{(2)} \cup \bigcup_{(a,b) \in \Phi} (J_{a,b}^a \cap J_{a,b}^{2b}), \quad (3.7)$$

where

$$\Phi = \{(1 + 4u^2, 2v) : u, v \in \mathbb{Z}_{(2)}^\times\}. \quad (3.8)$$

In view of this and Lemma 3.1, when $t \neq 0$ we have

$$\begin{aligned} t \notin \mathbb{Z} &\iff \frac{1}{2t} \in \mathbb{Z}_{(2)} \vee \exists u \exists v \left[u, v \in \mathbb{Z}_{(2)}^\times \wedge \frac{1}{t} \in J_{1+4u^2, 2v}^{1+4u^2} \cap J_{1+4u^2, 2v}^{4v} \right] \\ &\iff \exists u \exists v \left(\frac{7}{4t^2} + 2 - u^2 - v^2 \in \square \right) \\ &\quad \vee \exists u \exists v \left[u, v \in \mathbb{Z}_{(2)}^\times \wedge \frac{1}{t} \in J_{1+4u^2, 2v}^{1+4u^2} \cap J_{1+4u^2, 2v}^{4v} \right] \\ &\iff \exists u \exists v \left[8t^2 + 7 - u^2 - v^2 \in \square \right. \\ &\quad \left. \vee \left(u, v \in \mathbb{Z}_{(2)}^\times \wedge t^{-1} \in J_{1+4u^2, 2v}^{1+4u^2} \wedge t^{-1} \in J_{1+4u^2, 2v}^{4v} \right) \right]. \end{aligned}$$

Combining this with Lemmas 3.3 and 3.5, we obtain that $\mathbb{Q} \setminus \mathbb{Z}$ is 30-good in view of Remark 3.2.

By the above, there are polynomials

$$f_s(t, x_1, \dots, x_{30}), g_{s1}(t, x_1, \dots, x_{30}), \dots, g_{s\ell_s}(t, x_1, \dots, x_{30}) \quad (s = 1, \dots, k)$$

with integer coefficients such that a rational number t is not an integer if and only if

$$\exists x_1 \cdots \exists x_{30} \left[\bigvee_{s=1}^k \left(f_s(t, x_1, \dots, x_{30}) = 0 \wedge \bigwedge_{j=1}^{\ell_s} (g_{sj}(t, x_1, \dots, x_{30}) \in \square^*) \right) \right].$$

Note that

$$g_{sj}(t, x_1, \dots, x_{30}) \neq 0 \quad \text{for all } j = 1, \dots, \ell_s$$

if and only if

$$x_{31} \prod_{j=1}^{\ell_s} g_{sj}(t, x_1, \dots, x_{30}) - 1 = 0$$

for some $x_{31} \in \mathbb{Q}$. By Theorem 1.2, when $\prod_{j=1}^{\ell_s} g_{sj}(t, x_1, \dots, x_{30}) \neq 0$, we have

$$g_{sj}(t, x_1, \dots, x_{30}) \in \square \quad \text{for all } j = 1, \dots, \ell_s$$

if and only if

$$\mathcal{J}_{\ell_s}(g_{s1}(t, x_1, \dots, x_{30}), \dots, g_{s\ell_s}(t, x_1, \dots, x_{30}), x_{32}) = 0$$

for some $x_{32} \in \mathbb{Q}$. Combining these we see that $t \notin \mathbb{Z}$ if and only if there are $x_1, \dots, x_{32} \in \mathbb{Q}$ such that the product of all those

$$f_s(t, x_1, \dots, x_{30})^2 + \left(x_{31} \prod_{j=1}^{\ell_s} g_{sj}(t, x_1, \dots, x_{30}) - 1 \right)^2 \\ + \mathcal{J}_{\ell_s}(g_{s1}(t, x_1, \dots, x_{30}), \dots, g_{s\ell_s}(t, x_1, \dots, x_{30}), x_{32})^2$$

($s = 1, \dots, k$) is zero.

In the spirit of the above proof, we can actually construct an explicit polynomial $P(t, x_1, \dots, x_{32})$ with integer coefficients satisfying (1.1) with the total degree of P smaller than 2.1×10^{11} . This concludes our proof of Theorem 1.1. \square

4. PROOF OF THEOREM 1.3

It is known that each nonnegative integer can be written as a sum of four squares of rational numbers. This result due to Euler (cf. [12]) is weaker than Lagrange's four-square theorem (cf. [11, pp. 5-7]). Clearly, any nonnegative rational number can be written as $a/b = (ab)/b^2$ with $a, b \in \mathbb{N}$ and $b > 0$. So we have the following lemma.

Lemma 4.1. *Let $r \in \mathbb{Q}$. Then*

$$r \geq 0 \iff \exists w \exists x \exists y \exists z [r = w^2 + x^2 + y^2 + z^2]. \quad (4.1)$$

We also need a known result of Sun [15, Theorem 1.1].

Lemma 4.2 (Sun [15]). *Let $\mathcal{A} \subseteq \mathbb{N}$ be an r.e. (recursively enumerable) set.*

(i) *There is a polynomial $P_{\mathcal{A}}(x_0, x_1, \dots, x_9)$ with integer coefficients such that for any $a \in \mathbb{N}$ we have $a \in \mathcal{A}$ if and only if $P_{\mathcal{A}}(a, x_1, \dots, x_9) = 0$ for some $x_1, \dots, x_9 \in \mathbb{Z}$ with $x_9 \geq 0$.*

(ii) *There is a polynomial $Q_{\mathcal{A}}(x_0, x_1, \dots, x_{10})$ with integer coefficients such that for any $a \in \mathbb{N}$ we have $a \in \mathcal{A}$ if and only if $Q_{\mathcal{A}}(a, x_1, \dots, x_{10}) = 0$ for some $x_1, \dots, x_{10} \in \mathbb{Z}$ with $x_{10} \neq 0$.*

Proof of Theorem 1.3. It is well known that there are nonrecursive r.e. sets (see, e.g., [1, pp. 140-141]). Let us take any nonrecursive r.e. set $\mathcal{A} \subseteq \mathbb{N}$.

(i) Let $P_{\mathcal{A}}$ and P be polynomials as in Lemma 4.2 and Theorem 1.1. In view of Lemmas 4.1-4.2 and Theorem 1.1, for any $a \in \mathbb{N}$ we have

$$\begin{aligned} a \notin \mathcal{A} &\iff \forall x_1 \cdots \forall x_9 [\neg(x_1, \dots, x_9 \in \mathbb{Z} \wedge x_9 \geq 0) \vee P_{\mathcal{A}}(a, x_1, \dots, x_9) \neq 0] \\ &\iff \forall x_1 \cdots \forall x_9 \left[\bigvee_{t=1}^9 (x_t \notin \mathbb{Z}) \vee x_9 < 0 \vee P_{\mathcal{A}}(a, x_1, \dots, x_9) \neq 0 \right] \\ &\iff \forall x_1 \cdots \forall x_9 \left[\bigvee_{t=1}^9 \exists y_1 \cdots \exists y_{32} (P(x_t, y_1, \dots, y_{32}) = 0) \right. \\ &\quad \left. \vee -x_9 > 0 \vee \exists y_1 (y_1 P_{\mathcal{A}}(a, x_1, \dots, x_9) - 1 = 0) \right] \\ &\iff \forall x_1 \cdots \forall x_9 \exists y_1 \cdots \exists y_{32} [P_0(a, x_1, \dots, x_9, y_1, \dots, y_{32}) = 0], \end{aligned}$$

where

$$\begin{aligned} &P_0(a, x_1, \dots, x_9, y_1, \dots, y_{32}) \\ &= (y_1 P_{\mathcal{A}}(a, x_1, \dots, x_9) - 1) \prod_{t=1}^9 P(x_t, y_1, \dots, y_{32}) \\ &\quad \times ((x_9 y_1 - 1)^2 + (x_9 + y_2^2 + y_3^2 + y_4^2 + y_5^2)^2). \end{aligned}$$

It follows that for any $a \in \mathbb{N}$ we have

$$a \in \mathcal{A} \iff \exists x_1 \cdots \exists x_9 \forall y_1 \cdots \forall y_{32} \exists y_{33} [y_{33} P_0(a, x_1, \dots, x_9, y_1, \dots, y_{32}) - 1 = 0]$$

As both \mathcal{A} and $\mathbb{N} \setminus \mathcal{A}$ are nonrecursive, by the above we get that $\forall^9 \exists^{32}$ over \mathbb{Q} and $\exists^9 \forall^{32} \exists$ over \mathbb{Q} are undecidable.

(ii) Let $Q_{\mathcal{A}}$ be the polynomial in Lemma 4.2(ii). For any $a \in \mathbb{N}$, we have

$$\begin{aligned} a \notin \mathcal{A} &\iff \forall x_1 \cdots \forall x_{10} [\neg(x_1, \dots, x_{10} \in \mathbb{Z} \wedge x_{10} \neq 0) \vee Q_{\mathcal{A}}(a, x_1, \dots, x_{10}) \neq 0] \\ &\iff \forall x_1 \cdots \forall x_{10} \left[\bigvee_{t=1}^{10} (x_t \notin \mathbb{Z}) \vee x_{10} = 0 \vee Q_{\mathcal{A}}(a, x_1, \dots, x_{10}) \neq 0 \right]. \end{aligned}$$

By the proof of Theorem 1.1, $\mathbb{Q} \setminus \mathbb{Z}$ is 30-good. Thus, in view of Theorem 1.2, there are polynomials

$$f_s(x, y_1, \dots, y_{31}) \text{ and } g_s(x, y_1, \dots, y_{31}) \quad (s = 1, \dots, k)$$

with integer coefficients such that for any $x \in \mathbb{Q}$ we have

$$x \notin \mathbb{Z} \iff \exists y_1 \cdots \exists y_{31} \left[\bigvee_{s=1}^k (f_s(x, y_1, \dots, y_{31}) = 0 \wedge g_s(x, y_1, \dots, y_{31}) \neq 0) \right]$$

Thus, for any $a \in \mathbb{N}$, we have

$$\begin{aligned} a \notin \mathcal{A} \iff & \forall x_1 \cdots \forall x_{10} \exists y_1 \cdots \exists y_{31} \\ & \left[\bigvee_{t=1}^{10} \left(\bigvee_{s=1}^k (f_s(x_t, y_1, \dots, y_{31}) = 0 \wedge g_s(x_t, y_1, \dots, y_{31}) \neq 0) \right. \right. \\ & \left. \left. \vee x_{10} = 0 \vee Q_{\mathcal{A}}(a, x_1, \dots, x_{10}) \neq 0 \right) \right] \end{aligned}$$

and hence

$$\begin{aligned} a \in \mathcal{A} \iff & \exists x_1 \cdots \exists x_{10} \forall y_1 \cdots \forall y_{31} \\ & \left[\bigwedge_{t=1}^{10} \left(\bigwedge_{s=1}^k (f_s(x_t, y_1, \dots, y_{31}) \neq 0 \vee g_s(x_t, y_1, \dots, y_{31}) = 0) \right. \right. \\ & \left. \left. \wedge x_{10} \neq 0 \wedge Q_{\mathcal{A}}(a, x_1, \dots, x_{10}) = 0 \right) \right]. \end{aligned}$$

Let $\Gamma = \{1, \dots, k\} \times \{1, \dots, 10\}$. By the distributive law concerning disjunction and conjunction,

$$\bigwedge_{t=1}^{10} \bigwedge_{s=1}^k (f_s(x_t, y_1, \dots, y_{31}) \neq 0 \vee g_s(x_t, y_1, \dots, y_{31}) = 0)$$

is equivalent to

$$\bigvee_{\Delta \subseteq \Gamma} \left(\bigwedge_{(s,t) \in \Delta} (f_s(x_t, y_1, \dots, y_{31}) \neq 0) \wedge \bigwedge_{(s',t') \in \Gamma \setminus \Delta} (g_{s'}(x_{t'}, y_1, \dots, y_{31}) = 0) \right).$$

Thus, for any $a \in \mathbb{N}$, we have

$$\begin{aligned} a \in \mathcal{A} \iff & \exists x_1 \cdots \exists x_{10} \forall y_1 \cdots \forall y_{31} \\ & \left[\bigvee_{\Delta \subseteq \Gamma} \left(x_{10} \prod_{(s,t) \in \Delta} f_s(x_t, y_1, \dots, y_{31}) \neq 0 \right. \right. \\ & \left. \left. \wedge \bigwedge_{(s',t') \in \Gamma \setminus \Delta} (g_{s'}(x_{t'}, y_1, \dots, y_{31}) = 0) \wedge Q_{\mathcal{A}}(a, x_1, \dots, x_{10}) = 0 \right) \right] \\ \iff & \exists x_1 \cdots \exists x_{10} \forall y_1 \cdots \forall y_{31} \exists z \\ & \left[\bigvee_{\Delta \subseteq \Gamma} \left(1 - zx_{10} \prod_{(s,t) \in \Delta} f_s(x_t, y_1, \dots, y_{31}) = 0 \right. \right. \\ & \left. \left. \wedge \bigwedge_{(s',t') \in \Gamma \setminus \Delta} (g_{s'}(x_{t'}, y_1, \dots, y_{31}) = 0) \wedge Q_{\mathcal{A}}(a, x_1, \dots, x_{10}) = 0 \right) \right] \end{aligned}$$

and hence

$$a \in \mathcal{A} \iff \exists x_1 \cdots \exists x_{10} \forall y_1 \cdots \forall y_{31} \exists z [P_1(a, x_1, \dots, x_{10}, y_1, \dots, y_{31}, z) = 0],$$

where we view an empty product as 1, and $P_1(a, x_1, \dots, x_{10}, y_1, \dots, y_{31}, z)$ stands for the product of

$$\begin{aligned} & \left(1 - zx_{10} \prod_{(s,t) \in \Delta} f_s(x_t, y_1, \dots, y_{31}) \right)^2 \\ & + \sum_{(s',t') \in \Gamma \setminus \Delta} g_{s'}(x_{t'}, y_1, \dots, y_{31})^2 + Q_{\mathcal{A}}(a, x_1, \dots, x_{10})^2 \end{aligned}$$

over $\Delta \subseteq \Gamma$. As \mathcal{A} is nonrecursive, we obtain that $\exists^{10} \forall^{31} \exists$ over \mathbb{Q} is undecidable.

In view of the above, we have completed the proof of Theorem 1.3. \square

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