

An Extension of a Curious Binomial Identity

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Abstract

In 2002 Z. W. Sun published a curious identity involving binomial coefficients. In this paper we obtain the following generalization of the identity:

$$\begin{aligned} & (x + (m + 1)z) \sum_{n=0}^m (-1)^n \binom{x + y + nz}{m - n} \binom{y + n(z + 1)}{n} \\ = & z \sum_{0 \leq l \leq n \leq m} (-1)^n \binom{n}{l} \binom{x + l}{m - n} (1 + z)^{n+l} (1 - z)^{n-l} + (x - m) \binom{x}{m}. \end{aligned}$$

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1 Introduction

In 2002 Z. W. Sun [9] established the following combinatorial identity:

$$\begin{aligned} & (x + m + 1) \sum_{n=0}^m (-1)^n \binom{x + y + n}{m - n} \binom{y + 2n}{n} \\ & = \sum_{n=0}^m \binom{x + n}{m - n} (-4)^n + (x - m) \binom{x}{m}, \end{aligned} \tag{1.1}$$

where $m \in \mathbb{N} = \{0, 1, 2, \dots\}$. Later A. Panholzer and H. Prodinger [8] gave a new proof using generating functions, D. Merlini and R. Sprugnoli [7] obtained another proof by means of Riordan arrays, S. B. Ekhad and M. Mohammed [4] presented a WZ proof of the identity, and W. Chu and L.V.D. Claudio [3] re-proved the identity by using Jensen's formula.

In this paper we aim to extend the curious identity as follows.

Theorem 1.1. *For any $m = 0, 1, 2, \dots$, we have*

$$\begin{aligned} & (x + (m + 1)z) \sum_{n=0}^m (-1)^n \binom{x + y + nz}{m - n} \binom{y + n(z + 1)}{n} \\ = & z \sum_{0 \leq l \leq n \leq m} (-1)^n \binom{n}{l} \binom{x + l}{m - n} (1 + z)^{n+l} (1 - z)^{n-l} + (x - m) \binom{x}{m}; \end{aligned} \tag{1.2}$$

equivalently,

$$\begin{aligned} & (x + (m + 1)z + 1) \sum_{n=0}^m (-1)^n \binom{x + y + nz}{m - n} \binom{y + n(z + 1)}{n} \\ &= (z + 1) \sum_{0 \leq l \leq n \leq m} (-1)^n \binom{n}{l} \binom{x + l + 1}{m - n} (1 + z)^{n+l} (1 - z)^{n-l} + (x - m) \binom{x}{m}. \end{aligned} \quad (1.3)$$

Remark 1.1. Soon after the initial version of this paper was posted as a preprint ([arXiv:math.CO/0401057](https://arxiv.org/abs/math.CO/0401057)), D. Callan [C] found a nice combinatorial interpretation of the identity (1.1) (which was called “Sun’s identity” by him) and also a slightly more complicated combinatorial proof of our generalization (1.2).

Clearly, (1.2) in the case $z = 1$ gives Sun’s identity (1.1), and (1.3) in the case $z = 1$ yields the following equivalent form of (1.1).

Corollary 1.2. *Let $m \in \mathbb{N}$. Then*

$$\begin{aligned} & (x + m + 2) \sum_{n=0}^m (-1)^n \binom{x + y + n}{m - n} \binom{y + 2n}{n} \\ &= 2 \sum_{n=0}^m \binom{x + n + 1}{m - n} (-4)^n + (x - m) \binom{x}{m}. \end{aligned} \quad (1.4)$$

Remark 1.2. (1.4) in the special case $x - m \in \mathbb{N}$ and $y = 1$, was ever conjectured by Z. H. Sun.

Corollary 1.3. *For any $m \in \mathbb{N}$ we have*

$$\sum_{0 \leq l \leq n \leq m} (-1)^n \binom{n}{l} \binom{l + (m + 1)z}{m - n} (1 + z)^{n-l} (1 - z)^{n+l} = (m + 1) \binom{(m + 1)z - 1}{m}.$$

Proof. Just take $x = -(m + 1)z$ in (1.2) and then replace z by $-z$.

2 Proof of Theorem 1.1

The starting point of our proof of Theorem 1.1 is the following known identity:

$$\sum_{n=0}^{\infty} \binom{\alpha + n\beta}{n} \left(\frac{x - 1}{x^\beta} \right)^n = \frac{x^{\alpha+1}}{(1 - \beta)x + \beta}. \quad (2.1)$$

It appeared as (9) of H. W. Gould [5], and dates back to an identity of Lambert (cf. (E.3.1) of [1]). Both (2.1) and Lambert’s identity can be proved by Lagrange’s inversion formula

(see pp. 631–632 of [1]). In 2005 V. J. W. Guo and J. Zeng [6] applied (2.1) to deduce some combinatorial identities originally motivated by the enumeration of convex polyominoes.

Let \mathbb{C} be the complex field. For a formal power series $f(t) \in \mathbb{C}[[t]]$, the coefficient of t^n in $f(t)$ will be denoted by $[t^n]f(t)$.

Proof of Theorem 1.1. In the case $m = 0$, both (1.2) and (1.3) are trivial. Below we assume that m is a positive integer.

Putting $\alpha = y$, $\beta = z + 1$ and $x = 1/(1 + t)$ in (2.1), we find that

$$\sum_{n=0}^{\infty} \binom{y + n(z + 1)}{n} (-t(1 + t)^z)^n = \frac{(1 + t)^{-y}}{1 + t(z + 1)}.$$

Thus

$$\begin{aligned} [t^m] \frac{(1 + t)^x}{1 + t(z + 1)} &= [t^m] \sum_{n=0}^{\infty} \binom{y + n(z + 1)}{n} (-t)^n (1 + t)^{nz + x + y} \\ &= \sum_{n=0}^m (-1)^n \binom{y + n(z + 1)}{n} \binom{x + y + nz}{m - n}. \end{aligned}$$

(As pointed out by one of the referees, this identity can be reproved by a mixed use of Lagrange's inversion formula and the Riordan array method.) On the other hand,

$$\begin{aligned} [t^m] \frac{(1 + t)^x}{(1 + t(z + 1))^2} &= [t^m] \frac{(1 + t)^x}{1 + t(z + 1)(t(z + 1) + 2)} \\ &= [t^m] (1 + t)^x \sum_{n=0}^{\infty} (-t(z + 1)(t(z + 1) + 2))^n \\ &= \sum_{n=0}^m (-1)^n (z + 1)^n [t^{m-n}] (1 + t)^x (t(z + 1) + 2)^n \\ &= \sum_{n=0}^m (-1)^n (z + 1)^n [t^{m-n}] (1 + t)^x ((z + 1)(1 + t) + 1 - z)^n \\ &= \sum_{n=0}^m (-1)^n (z + 1)^n [t^{m-n}] (1 + t)^x \sum_{l=0}^n \binom{n}{l} (z + 1)^l (1 + t)^l (1 - z)^{n-l} \\ &= \sum_{n=0}^m (-1)^n (z + 1)^n \sum_{l=0}^n \binom{n}{l} \binom{x + l}{m - n} (1 + z)^l (1 - z)^{n-l} \\ &= \sum_{0 \leq l \leq n \leq m} (-1)^n \binom{n}{l} \binom{x + l}{m - n} (1 + z)^{n+l} (1 - z)^{n-l}. \end{aligned}$$

Since

$$\begin{aligned} [t^m] \frac{(1 + t)^x}{1 + t(z + 1)} &= [t^m] \frac{(1 + t)^x}{(1 + t(z + 1))^2} ((1 + t)(z + 1) - z) \\ &= (z + 1) [t^m] \frac{(1 + t)^{x+1}}{(1 + t(z + 1))^2} - z [t^m] \frac{(1 + t)^x}{(1 + t(z + 1))^2}, \end{aligned}$$

by the above we have

$$\begin{aligned}
& \sum_{n=0}^m (-1)^n \binom{x+y+nz}{m-n} \binom{y+n(z+1)}{n} \\
&= (z+1) \sum_{0 \leq l \leq n \leq m} (-1)^n \binom{n}{l} \binom{x+1+l}{m-n} (1+z)^{n+l} (1-z)^{n-l} \\
&\quad - z \sum_{0 \leq l \leq n \leq m} (-1)^n \binom{n}{l} \binom{x+l}{m-n} (1+z)^{n+l} (1-z)^{n-l}.
\end{aligned}$$

From this we immediately see that (1.2) and (1.3) are equivalent.

Observe that

$$\begin{aligned}
m[t^m] \frac{(1+t)^x}{1+t(z+1)} &= [t^{m-1}] \frac{\partial}{\partial t} \left(\frac{(1+t)^x}{1+t(z+1)} \right) \\
&= [t^{m-1}] \left(\frac{-(z+1)(1+t)^x}{(1+t(z+1))^2} + \frac{x(1+t)^{x-1}}{1+t(z+1)} \right) \\
&= [t^m] \left(-\frac{t(z+1)(1+t)^x}{(1+t(z+1))^2} + \frac{xt(1+t)^{x-1}}{1+t(z+1)} \right) \\
&= [t^m] \left(\frac{(1+t)^x}{(1+t(z+1))^2} + \frac{xt(1+t)^{x-1} - (1+t)^x}{1+t(z+1)} \right)
\end{aligned}$$

and hence

$$(m+1)[t^m] \frac{(1+t)^x}{1+t(z+1)} - [t^m] \frac{(1+t)^x}{(1+t(z+1))^2} = [t^m] \frac{xt(1+t)^{x-1}}{1+t(z+1)}.$$

It follows that

$$\begin{aligned}
& (x+(m+1)z)[t^m] \frac{(1+t)^x}{1+t(z+1)} - z[t^m] \frac{(1+t)^x}{(1+t(z+1))^2} \\
&= x[t^m] \frac{(1+t)^x}{1+t(z+1)} + z[t^m] \frac{xt(1+t)^{x-1}}{1+t(z+1)} = x[t^m] \frac{(1+t)^{x-1}(1+t+zt)}{1+t(z+1)} \\
&= x[t^m] (1+t)^{x-1} = x \binom{x-1}{m} = (x-m) \binom{x}{m}.
\end{aligned}$$

This, together with the previous arguments, yields the identity (1.2).

The proof of Theorem 1.1 is now complete.

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